

# Part Three: Explaining the Findings

The previous section examined how the Chicago Annenberg Challenge promoted improvement in Chicago public schools and the extent to which improvement occurred. This section examines those findings further and provides an assessment of the overall impact of the Challenge. It also presents a number of explanations for the successes and failures of the Challenge as a large-scale reform initiative.

## *Review of Findings*

Part Two presented findings concerning the Chicago Challenge's "bottom line": improvement in student academic achievement and nonacademic outcomes. Our evidence suggests that among the schools it supported, the Challenge did not achieve this goal

- Analyses of ITBS scores reveal that between 1996 and 2001, student achievement improved overall across Annenberg schools. This was similar to improvement across the system.
- During the same period, rates of gain in student achievement among Annenberg schools did not improve markedly. Across grade levels, the size of one-year achievement gains remained constant or fluctuated slightly. In other words, at the end of the Challenge, students in Annenberg schools achieved at much the same rate as at the beginning. This pattern was evident in both reading and mathematics, although overall rates of gain in reading were slightly larger than rates of gain in math. There were no statistically significant differences in student achievement between Annenberg schools and demographically similar non-Annenberg schools. This indicates that there was no Annenberg effect on achievement.

Analyses show both positive and negative changes across Annenberg schools among different social and psychological student outcomes.

- A. Initially, student academic engagement improved among Annenberg schools but then fell to a point where it was only slightly higher in 2001 than in 1994.
- B. Students' sense of self-efficacy first weakened and then strengthened, but remained weaker in 2001 than in 1997.
- C. Both classroom behavior and social competence among students in Annenberg schools declined slightly between 1994 and 2001.
- D. Like student academic achievement, there were no statistically significant differences in these student outcomes between Annenberg and demographically similar non-Annenberg schools, which indicates that there was no Annenberg effect on these outcomes.

Despite these findings, it nonetheless remained important to examine trends in school development. The Challenge's logic and the logic inherent in the Model of Essential Supports suggest that before improvement in student outcomes can occur, schools need to develop in ways that promote it. Therefore, it was important to determine whether Annenberg schools developed in ways that would lay the foundation for subsequent improvement in student outcomes and whether development among Annenberg schools as a group was greater than development among schools that did not participate in the Challenge.

The findings present a somewhat complicated story. Although there were some areas in which Annenberg schools improved, there were also a number in which no improvement took place, or in which there was initial improvement that was not sustained over time. In almost every instance, changes among Annenberg schools reflected those across the system as a whole. In general, then, the findings indicate that the Challenge made little difference in the long-term school improvement of the large number of schools it supported, although it was somewhat more successful in the case of the Breakthrough Schools.

Improvement in Annenberg schools was assessed according to the development of numerous measures of the Essential Supports from baseline years of 1994 or 1997.

Changes among Annenberg schools are summarized as follows (see also Table 12 and Table 13):

- A. The overall quality of classroom instruction improved somewhat, particularly teachers' use of interactive teaching strategies, the intellectual demand of instruction, and teachers' emphasis on writing. Some aspects of student learning climate also improved, particularly school safety and classroom personalism. At the same time, some small improvements occurred in school leadership, teacher professional community, parent involvement in schools, and relational trust.
- B. Concurrently, other areas of the Essential Supports failed to improve or weakened. These included student peer support for academic learning, inclusive school leadership, and teacher commitment to school.
- C. Initial improvement between 1994 or 1997 and 1999 on a number of Essential Supports representing school organizational capacity—school leadership, teacher professional community and professional development, parent and community involvement, relational trust, and instructional program coherence—was lost by 2001. Although some measures of organizational capacity were slightly stronger or weaker in 2001 than in 1994 or 1997, there was little net change. The organizational capacity of Annenberg schools at the end of the Challenge looked much like it did at the beginning.
- D. Overall, trends in the development of Annenberg schools followed those in demographically similar non-Annenberg schools, indicating little Annenberg effect on school improvement. The few initial improvements favoring Annenberg schools that began to appear in 1999 disappeared by 2001. There are only two exceptions to this general trend. First, teachers in Annenberg schools made less frequent use of didactic instruction than teachers in non-Annenberg schools at both the beginning and end of the Challenge. Second, by the end of the Challenge, Annenberg schools had less instructional program coherence than non-Annenberg schools.

In contrast, the findings indicate that the Challenge's Breakthrough Schools began to develop in ways that distinguished them from other Annenberg schools (see Table 14). Although there were no statistically significant differences between Breakthrough Schools and other Annenberg schools in student academic and nonacademic outcomes or other Essential Supports, Breakthrough Schools sustained or strengthened aspects of teacher professional community and, to a lesser extent, school leadership and relational trust while other Annenberg schools did not. This suggests that these schools may have developed a stronger foundation for subsequent development of other Supports, especially instruction and student learning climate that, in turn, may promote improvement in student outcomes in the future.

### *Achieving the Challenge's Goals*

Given these findings, what conclusions can be reached about the extent to which the Chicago Challenge achieved its goals for school development and improvement in student learning? To what extent did the Challenge “[enhance] learning for all students through dramatically improved classroom practice”? To what extent did it promote the “rethink[ing] and restructur[ing of the] basic elements of schooling” in the city? To what extent did it address the problems of “time, size, and isolation,” promote stronger school-community relationships, and encourage whole school change?

One could argue that the question of goal attainment is not a fair one to pose. A case could be made that the Challenge set impossible goals for itself, that it laid out an unachievable agenda. A case could be made that the Challenge’s stated goals were intentionally rhetorical, not made to set benchmarks for determining its success or failure but to draw attention to and mobilize support for a particular vision of educational reform. It could also be argued that the Challenge never had a chance to develop and work as was intended because the 1995 reform altered dramatically the context and support it had assumed and relied upon.

Regardless of whether the Challenge should be assessed according to its stated goals, it is reasonable to consider the extent to which it contributed to the development of the schools it supported and to the outcomes of their students. But even the question of contribution is difficult to answer—it is hard to disentangle the effects of the Challenge from the wider constellation of influences on schools, including system-level programs and policies, other improvement initiatives implemented in Annenberg schools, new human and fiscal resources that schools may have acquired, and so on. Even though this is a complicated matter, this research produced a number of clear findings about the development of Chicago Annenberg schools as a whole and these findings lead to three general conclusions.

First, the Challenge contributed in meaningful ways to the development of a number of individual schools. Some schools clearly benefited from the extra resources

the Challenge provided and from their relationships with other schools in their networks and their External Partners. As shown in Part Two and in earlier technical reports of this research project, there are numerous examples of such benefits.<sup>59</sup> For instance, the Challenge provided resources to support leadership training for teachers and school administrators; collaborative planning and development activities within and across schools to promote teacher professional community; workshops and other educational opportunities to strengthen parent involvement and support of student learning at home; the introduction of new curricula and programs of instruction, particularly in reading and writing; and numerous opportunities for teacher professional development aimed at instructional improvement.

Second, the Challenge seems to have achieved some relative success in promoting development in its Breakthrough Schools, specifically in elements of teacher professional community and to a lesser extent in leadership and relational trust. According to the logic of the Chicago Challenge and the Model of Essential Supports, these aspects of school organization serve as building blocks that support development of instruction and student learning. Whether the progress achieved in the Breakthrough Schools was sustained and built upon after 2001 is an important question and one that is left for future inquiry.

Third, despite contributions to the development of a number of individual schools and despite some relative success among Breakthrough Schools, the findings provide little evidence of an overall Annenberg effect on school development or student outcomes across the schools it supported. No overall differences were found between Annenberg and demographically similar non-Annenberg schools in student achievement or the other student outcomes that were examined. With few exceptions, the patterns of development found among Annenberg schools were similar to patterns of development among non-Annenberg schools. Although Annenberg schools were initially developing at a somewhat stronger rate than demographically similar non-Annenberg schools on several measures of school leadership and teacher professional community, those advantages were lost. At the end of the Challenge, Annenberg

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<sup>59</sup> See Wenzel et al. (2001) and Newmann and Sconzert (2000).

schools as a group resembled similar non-Annenberg schools on virtually every measure of the Essential Supports.

### *Explaining the Challenge's Successes and Failures*

How might these findings be explained? What factors might account for the lack of an overall Annenberg effect? What might explain the pattern of initial improvement and subsequent regress in different areas of school development? What factors might have contributed to the relative success of the Breakthrough Schools compared to other Annenberg schools? There are numerous possible answers to these questions. Although those presented below are largely speculative, they are not uninformed. They are consistent with the literature on educational innovation and school change. Moreover, during the course of the research, a good bit of evidence was collected that helps to explain the Challenge's successes and failures.

#### *Lack of Overall Effect*

The failure of the Chicago Annenberg Challenge to achieve an overall effect on school development and student outcomes may be explained by at least four different factors: (a) shortcomings in the design and implementation of the Challenge; (b) lack of capacity among the External Partners to promote school development; (c) lack of ability and commitment among schools to engage in the work of the Challenge; and (d) lack of external support and “countervailing system forces” that detracted from or conflicted with schools’ efforts to develop through the Challenge. Each of these are discussed separately; however, it is important to note that it is quite likely that they all contributed in some way to compromise the Challenge’s success and that other factors were at work as well.

#### Shortcomings in Design and Implementation

Three general shortcomings in the design and implementation of the Chicago

Challenge might explain, at least in part, the lack of an overall Annenberg effect. These include the breadth of the Challenge's goals and the vague nature of its strategy for school development; the inadequacy of resources to support school development; and the general weakness of levers for change, particularly the lack of accountability.

**Broad Goals, Vague Strategies.** The literature on educational change makes clear that the implementation of innovations, programs, and policies is enhanced if goals and the means to achieve them are made clear to those who must implement them.<sup>60</sup> Because of its commitment to the principles of local autonomy and self-determinism, the Challenge eschewed the articulation of specific goals and means for development. Instead, it laid out broad and diffuse goals that were perhaps overly ambitious and rhetorical. Rather than concrete ends, it provided a “vision” and a set of general principles for reform. It identified certain priorities around which schools should organize their efforts, but offered little direction on how to address them. Nor did it specify particular activities or processes for schools to follow.

Even if there is no best way to promote school development, the Challenge provided no particular “theory of change” to guide schools toward more effective improvement strategies and away from less effective ones. Instead, its “theory” of local self-determinism assigned responsibility for forming specific improvement goals and action plans to local school communities, networks, and their External Partners, which resulted in substantial variation in the primary foci of network activity and school development. As reported in Part One, slightly more than half of Annenberg's networks focused primarily on curricular and instructional improvement. Sixteen percent focused on improving student learning climate and social services for students and families, and 13 percent were concerned primarily with parent and community support and development. The remaining 16 percent adopted more comprehensive foci to develop a number of related areas, including curriculum and instruction, school leadership, student learning climate, and parent and community support. Within these general foci were a large number of specific initiatives such as

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<sup>60</sup> Fullan (2001).

parent education programs, literacy initiatives, programs to integrate the arts and technology into the curriculum, health/science education initiatives, support of small schools, middle-school restructuring, principal and teacher leadership development, and development of stronger relationships between schools and their communities.

Despite the vagueness of the Challenge's overall goals and the wide variety of the networks' foci and improvement activities, most principals at Annenberg schools perceived with some clarity what their own networks were trying to accomplish. According to 1997 survey data, 37 percent of Annenberg principals strongly agreed and 59 percent agreed that their networks had clear goals. In 2001, principals' perceptions of goal clarity remained strong. That year, 41 percent of Annenberg principals strongly agreed and 57 percent agreed that their networks had clear goals.

Although most Annenberg principals understood their network's goals, the means by which they pursued them varied substantially across schools, networks, and External Partners. Clearly, some strategies were more effective than others. For example, this project's two External Partners reports document and distinguish between more and less effective strategies for promoting school development.<sup>61</sup> The field research also documented differences in change strategies among individual schools. The analyses of developing and nondeveloping schools presented at the end of Part Two highlighted some of these differences and their varying degrees of effectiveness.

In general, some Annenberg schools, networks, and External Partners defined for themselves relatively effective strategies and others did not. And, while the Challenge provided some measure of feedback and guidance, it was constrained in this regard by the sheer number of schools and networks it supported. It may have been constrained also by its assumptions about the inherent value and effectiveness of local initiative and by its reluctance to violate those assumptions by promoting an overarching strategy for improvement. These possibilities relate to two additional matters discussed later in this section—the ability of Challenge staff to provide

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<sup>61</sup> Newmann and Sconzert (2000) and Sconzert, Wenzel, and Smylie (2003).

adequate professional support and assumptions about the capacity and commitment of local schools and Partners to participate effectively in the Challenge and implement its particular approach to reform.

**Too Few Resources for Too Many Schools.** A second problem in the design and implementation of the Chicago Challenge was its scale and the inadequacy of its resources. While there is substantial debate about the relationship between funding and school effectiveness, most researchers agree that school improvement costs some amount of money and other resources. Michael Fullan and Matthew Miles argue that change is “resource hungry” because of what it represents—“developing solutions to complex problems, learning new skills, arriving at new insights, all carried out in a social setting already overloaded with demands.”<sup>62</sup> They continue that such personal and collective development “necessarily demands resources.”

How much money, time, and energy it costs to improve a school is not clear and estimates vary. For example, Karen Louis and Matthew Miles found that an average principal with a schoolwide reform project spent 70 days, or nearly one-third of her time a year, on change management.<sup>63</sup> Teachers most closely engaged with the change effort spent some 23 days a year, or 13 percent of their time, on reform. Louis and Miles found that “serious” change in large urban high schools required an annual investment of between \$50,000 and \$100,000 (in late-1980s dollars). Others have argued that the cost of implementing comprehensive whole-school reform, while varying greatly from initiative to initiative, may cost more than \$160,000 per year with first-year costs (which may include one-time costs of training and materials) ranging between \$100,000 to \$350,000.<sup>64</sup> Although Fullan and Miles note that how schools spend money is the most important determinant, they conclude that a minimum level of stable funding is always needed to support change. Lack of resources has been found to be a common problem for schools trying to implement comprehensive whole-school models of reform.<sup>65</sup> Moreover, the literature shows

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<sup>62</sup> Fullan and Miles (1992), p. 750.

<sup>63</sup> Louis and Miles (1990).

<sup>64</sup> Keltner (1998) and Odden (1997).

<sup>65</sup> Smith et al. (1997); see also Murphy and Datnow (2003).

clearly that implementation weakens or ceases when resources that initially fueled the reform are no longer available.<sup>66</sup>

In general, the Chicago Challenge provided too few resources and too little support to too many schools and External Partners. Although it never claimed that its grants were intended to “purchase” improvement or that they were even large enough to do so, they were intended to “stoke” development through the facilitation of relationships between schools and Partners and to lever additional resources to support those relationships. In some schools, Challenge resources clearly made an important contribution to local development efforts. Overall, however, the Challenge spread its resources thinly across the 210 schools and 45 External Partners it supported. Even at the peak of its network funding, the Challenge made relatively low monetary investments in local improvement efforts. In 1999, it provided schools in implementation networks on average about \$47,000 in money and services through their External Partners. This amount was about 1 percent of a typical elementary school's operating budget. By the end of the Challenge in 2001, these modest investments had been reduced to virtually nothing. That year, the amount of money provided to local schools averaged about \$2,600.

Similarly, it would have been very difficult for Challenge staff to provide adequate professional support to all of the schools and External Partners that received funding for the many different local initiatives in which they engaged. To be sure, it organized numerous workshops for schools and Partners. It established principal support groups and sponsored fairs for schools and Partners to share their work and accomplishments. Some of the schools and Partners received direct feedback and support from Challenge staff. As noted in Part One, the primary responsibility for providing such professional support fell to one staff member—the Program Director who was joined in this work by a Grants Manager and the Challenge's Executive Director. Both the Program Director and the Grants Manager had some but not extensive experience in school development. The Executive Director was from the local foundation community and his primary experience was in grant making and

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<sup>66</sup> See Glennan (1998).

community development. It is difficult to see how a staff of this size could provide the guidance, feedback, and support that would be required by 210 schools and 45 External Partners no matter how much experience it had in school development.

Perhaps because of the need to find the right economies of scale, Challenge staff devoted much of its time to working with External Partners rather than working directly with individual schools. This is not to say, of course, that it did not provide any assistance to individual schools or groups of schools—it did. Still, because of the sheer number of schools it funded, the Challenge may have considered it more efficient and effective to focus its efforts on the External Partners, operating from the logic that through them it could reach more schools than if it tried to work with schools directly.

As discussed in the research project's second report on Annenberg External Partners, the Partners generally had positive views of the support they received from Challenge staff, particularly the workshops that the Challenge sponsored and the opportunities it provided for them to interact and learn from one another.<sup>67</sup> Specifically, the Partners that were interviewed cited as helpful the Challenge's workshops on media relations and data-driven decision making, in addition to the workshops that brought in various outside speakers. They also considered helpful the individual attention they received from members of the Challenge staff, including the Executive Director.

The Partners that were interviewed also noted certain weaknesses in the support they received, weaknesses that could be attributable in part to the breadth of the Challenge's goals and the small size of its staff. Some said that while they found the workshops helpful, they thought that the Challenge could have provided more opportunities for partner-to-partner sharing and more follow-up activities on workshop topics. They also expressed disappointment that they received little feedback on the reports of network activity that they were required to file twice a year (they almost universally complained about the burdens these reporting requirements

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<sup>67</sup> Sconzert, Wenzel, and Smylie (2003).

placed on them). They saw such feedback as a potentially valuable source of learning for improving their work with schools. And while they considered their individual relationships with Challenge staff helpful, many expressed frustration with the lack of time staff members had for on-site school visits. They understood that the small size and the wide-ranging responsibilities of the Challenge's staff made it difficult for them to become very involved at the school level. Still, they said that they had hoped for more.

The principal surveys provided additional evidence of weaknesses in staff support at the school level. Most Annenberg principals reported that Challenge staff usually made themselves available to support network activity; in 1997, 18 percent of principals strongly agreed and another 69 percent agreed that Challenge staff members were usually available to support their networks. In 2001, these proportions were virtually the same—85 percent of Annenberg principals agreed or strongly agreed that Challenge staff members were available to support their networks. At the same time, 52 percent of Annenberg principals reported in 1997 that Challenge staff had no real effect on their schools' network activities. In 1999, the last year that this question appeared on the principal survey, there was a decline in the staff's reported influence—63 percent of Annenberg principals reported that Challenge staff had no real effect on their network activities.

It is also important to recall that the Challenge considered the External Partners to be important resources for local school improvement (further discussion of External Partners appears below). Here it is important to note that one role that could have been performed by External Partners was to help schools secure additional external resources and services to support their development initiatives. Evidence from the principal surveys suggests that some Partners were more effective in this regard than others. In 2001, 35 percent of Annenberg principals strongly agreed that their External Partners were able to help their schools acquire needed services and resources. Fifty-seven percent of principals agreed that their Partners provided some such assistance. Ten percent disagreed or strongly disagreed that their Partners were helpful in this regard. No data are available to assess the usefulness or adequacy of such services and

resources; the available evidence only points to variability in principals' views of their Partners' help in securing them.

**Weak Levers for Change.** The literature on educational reform emphasizes the importance of developing new knowledge, skills, and commitments necessary for change, but it also emphasizes the importance of incentives and accountability for participation in change processes, for applying new knowledge and skills, and for incorporating change into routine practice.<sup>68</sup> The Chicago Challenge was cognizant of the need to promote the development of new knowledge and skills, to provide incentives, and to hold schools and networks accountable for the resources they received. Overall, however, while the Challenge recognized the importance of each of these “levers” for change, none were particularly well developed or particularly strong and sustained.

The Challenge stressed teacher professional development and provided a number of opportunities for principals to develop new knowledge and skills to support their schools' development. As reported in Part Two, it achieved some success in this regard. In both 1997 and 1999, participation in professional development activity across Annenberg schools was significantly greater than participation across demographically similar non-Annenberg schools. By 2001, however, these differences disappeared.

The Challenge also introduced some measures of accountability to promote school participation and change. For example, after initial rounds of network funding, it decided not to renew grants to particularly weak networks and External Partners. In later rounds of funding, it worked directly with schools and Partners to increase the overall quality of their proposals and plans for school improvement. Despite these and other such efforts, the Challenge's overall design provided few mechanisms to lever very much change. As noted above, it provided few financial resources to schools and Partners. Moreover, what little funding it did provide through 1999 was greatly reduced by 2001. Although some of the Partners that were

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<sup>68</sup> Fullan (2001); Miles (1993); and Smylie and Perry (1998).

interviewed thought that Challenge grants provided some leverage in working with their schools, it is likely that the sizes of the grants were simply not large enough to command much attention or instill a strong sense of accountability among such a large number of schools.

There was little evidence of any real or perceived consequences among schools and Partners for failing to participate actively in network or Challenge-sponsored activities. The Challenge's only real accountability mechanism was the threat of discontinuing its financial support to schools and Partners. Even though it spent substantial time and resources to monitor school and Partner activity and the expenditures of its funds, there is not much evidence that the Challenge was able to create a strong sense of imperative for participation in Annenberg activity or for change across the large number of schools it funded. Moreover, the Challenge may have weakened its own hand by making an implicit commitment to continue to support the networks and Partners it coached in the proposal development process. In the least, this most likely reduced any real or perceived threat that it would withdraw resources from the activity it helped schools and the Partner develop. Given the rather small amount of money provided, losing Annenberg money was probably of little consequence to most schools or Partners. Regardless, the threat was rarely exercised. Virtually all of the schools that received funds in 1998 kept receiving them until the end of the Challenge, albeit in diminished amounts.

### **Lack of Capacity among External Partners**

This research project did not set out to study directly the capabilities and resources of External Partners. Nonetheless, a good bit of evidence points to how differences in Partners' experience and expertise may have affected their ability to support improvement among the schools with which they worked. An earlier study of Annenberg External Partners found that most Partners achieved variable success with schools in their networks.<sup>69</sup> That is, most were more successful with some schools in their networks than with others.

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<sup>69</sup> Newmann and Sconzert (2000).

Notwithstanding that substantial proportions of Annenberg principals reported on surveys that their External Partners were a source of impetus and support for change, there is reason to believe that Partners varied substantially in their knowledge, understanding, and ability to effectively promote school improvement. First, not all Partners entered the Challenge with experience in working with schools. One-third had no experience before 1995 in working with schools on long-term improvement projects. Second, the primary network foci and activities proposed by Partners for funding reveal wide variation in thinking about how school development is achieved. As discussed earlier, most set agendas focused on developing single Essential Supports without attending to the development of other complementary supports (e.g., development of classroom instruction without attention to development of teacher professional community, parent involvement, and school leadership to support it). Only one of six External Partners pursued a more comprehensive development agenda to develop in a coordinated manner two or more related Essential Supports. Research suggests that attention to the systemic relationships among different aspects of school organization and practice is most conducive to school development.<sup>70</sup> Indeed, the Challenge recognized the problem of External Partner capacity early on. As noted in a previous research project report, over the course of the initiative, the Challenge became more intentional in its grant making and provided professional support to grant applicants, most of whom were External Partners.<sup>71</sup> This move was partly in response to what it perceived was a lack of imagination in the grant proposals it received and funded. It was also in response to concerns voiced by grantees who seemed to be unclear about the Challenge's expectations and principles of reform. The Executive Director observed that Challenge staff "[had] not been highly impressed with the creativity and inventiveness" of the implementation proposals funded in the first round of grant making. In 1997, he observed of External Partners,

We realized [that] just because you build it they will not come....[W]e had to add a strong program resource piece....We

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<sup>70</sup> See Bryk et al. (forthcoming); Fullan (2001); Louis and Miles (1990).

<sup>71</sup> Shipps and Sconzert with Swyers (1999).

[now] say, "Not only do we want to lure you into these relationships [with schools] with the money,...but we also need to lead you or expose you to a set of resources." We needed to inject ideas...with the spirit that...we're still respecting your choice.

It is not clear whether the approaches to school improvement that External Partners pursued were a function of their understanding and "theories" of school change (or lack thereof) or to other factors. It is certainly possible that the relatively few financial resources the Challenge provided may have constrained the ambitiousness of their work and made it difficult to engage in more creative and systemic school development activity. For some Partners, Annenberg grants were a substantial portion of their budgets. For others, it was an important but relatively small amount. Regardless, it was not a lot of money for any Partner to work with all the schools in their networks. The research project's first report on Annenberg External Partners noted that many Partners did not have enough staff members to work with the schools in their networks.<sup>72</sup> That report also observed that some External Partners hired teachers from their network schools to work as professional development leaders or curriculum coordinators. In some instances, this had the unintended consequence of exacerbating leadership shortages in the schools from which these teachers were hired and thereby undermining the Partners' work in those settings.

### **Lack of School Capacity to "Do Annenberg"**

Thomas Timar and David Kirp have argued that the success of school reform initiatives depends in significant ways on the capacity of schools to engage in and implement those initiatives effectively.<sup>73</sup> In their words, schools need the "institutional competence" to fulfill the demands of reform. By institutional competence, Timar and Kirp refer to the aspirations, commitments, and norms of a school that direct its work and its efforts to improve. They also refer to the knowledge and skills of teachers and administrators to respond to the reform, implement it, and achieve its objectives.

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<sup>72</sup> Newmann and Sconzert (2000).

<sup>73</sup> Timar and Kirp (1987).

Others have made the same general argument.<sup>74</sup> Gene Hall and Shirley Hord have pointed out the importance of a school's state of "readiness" and its initial commitments to an innovation and to that innovation's long-term implementation and effectiveness.<sup>75</sup> Matthew Miles has argued that successful school change most often requires schools to possess the ability to do good "problem coping."<sup>76</sup> In Miles' view, school improvement is not always rational or predictable. Schools need the ability to locate, analyze, and address problems that are inevitably part of the improvement process. Miles extends this argument in work with Michael Fullan and Karen Louis, reasoning that because change has "no blueprints" and because rational planning models for complex social change like education reform do not work, schools need the collaborative capacity for analysis, incremental decision making, and experimentation.<sup>77</sup> They also need the capacity to develop normative consensus around the improvement effort. Moreover, because change initiatives do not manage themselves, schools must have the capacity to manage them well. At a minimum, this requires that groups responsible for implementation have the ability to collaborate, solve problems, and make decisions together.

A primary organizing theme of the Chicago Challenge was the empowerment and self-determinism of local actors, members of school communities working in networks with External Partners, to improve their own schools. Closely related to this theme of local initiative and self-determinism was the theme of capacity building. The Challenge called on the schools and Partners it supported to build organizational capacity by addressing the issues of time, size, and isolation. It also challenged schools and Partners to build capacity for instructional improvement through teacher professional development. And so on. At the same time, it made certain assumptions that schools and Partners already possessed some requisite capacity to engage in decentralized, self-determined, collaborative work for local capacity development.

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<sup>74</sup> Fullan (2001); Newmann and Wehlage (1995); and Smylie, Conley, and Marks (2002).

<sup>75</sup> Hall and Hord (1987).

<sup>76</sup> Miles (1993).

<sup>77</sup> Fullan and Miles (1992); Louis and Miles (1990).

A case can be made that in order for schools to have successfully engaged in the Challenge's "style" of reform, that is, for schools to "do Annenberg" well, they would have needed to possess some base of human, social, and material resources to support collaborative development work within schools, among schools, and with External Partners. This base of resources might well have consisted of inclusive collaborative leadership, strong working relationships among teachers, and strong relationships between the school and parents. Schools would also have needed some base of commitment to the Challenge and to its approach to reform. Indicators of such commitment might well have included the alignment of Annenberg's goals with the school's own goals for improvement, the school giving priority to the Annenberg initiative over other initiatives, and committing people and time to make the effort work.

As noted earlier, the Challenge recognized that External Partners varied considerably in their understanding of its concepts and principles. It recognized variability in the imagination, creativity, and potential effectiveness of the proposals they submitted. And, as described in Part One and as will be discussed later in this section, it introduced a different funding strategy with the Breakthrough School initiative, a strategy that was more intentional in considering the development and capacities of the schools it funded. At the same time, there is little evidence that the Challenge systematically considered the capacity of schools to "do Annenberg" between 1995 and 1998 when it awarded the majority of its implementation grants and committed most of its funds.

Be that as it may, it is worthwhile to examine the capacity of Chicago Annenberg schools to engage productively in the Challenge's approach to reform. First, how schools scored at the beginning of the Challenge on different indicators of human, social, and material resources is examined. This is followed by an examination of different indicators of school commitment to participate in the Challenge. Finally, another side of the capacity issue is explored; that is, the sources of disruption and persistence that may have compromised a school's ability to improve through the Challenge's approach to reform. The evidence indicates that the Challenge

supported a substantial number of schools with relatively weak capacity to engage in its approach to reform. Coupled with potentially strong internal sources of disruption and persistence, such weakness may help explain the lack of an overall Annenberg effect on the development of schools the Challenge supported.

**Human, Social, and Material Resources.** The citywide principal surveys asked Annenberg principals whether the Challenge provided resources that were useful for their schools' development. They also asked principals whether their schools had enough of their own resources—staff, time, and other resources—to make participation in the Challenge “pay off.” Their responses reveal substantial variation. In both 1997 and 2001, more than 90 percent of Annenberg principals agreed or strongly agreed that participation in the Challenge provided their schools with useful resources. At the same time, they were divided in their assessments of the adequacy of the resources their own schools possessed to make participation worthwhile. In 1997, 45 percent of Annenberg principals disagreed or strongly disagreed that their schools had enough resources to make such a difference. In 2001, roughly the same percentage of principals considered their schools' resources inadequate.

Data from the 1997 teacher surveys were used to assess the strength among Annenberg schools of key human and social resources that might be used to support school development through participation in the Challenge. Measures of several aspects of school leadership, school orientation toward innovation, teacher professional community, relational trust, and school relationships with parents were examined. These 1997 data provide a picture of school capacity at the start of the Challenge, capacity that might have supported Annenberg work and provided a base on which to build additional capacity.

As shown in Table 18, in 1997, substantial proportions of Annenberg schools scored in the weakest categories of measures of human and social resources to support school development (see Appendix E for specific definitions of measure categories). That year, 17 percent of Annenberg schools reported minimal and limited orientation to innovation. In these schools, relatively few teachers were reported to

try new ideas and take risks to improve their practice. There was substantial disagreement that teachers were continually learning, were encouraged to grow, and had a “can do” attitude. No teachers or only some of the teachers in these schools were reported to try new ideas and take risks to improve their practice. Substantial percentages of Annenberg schools also reported weaknesses in various aspects of school leadership. Twenty-four percent of Annenberg schools reported minimal principal support for change. In these schools, some teachers agreed and some disagreed that their principals encouraged them to try new methods, were willing to make changes, and provide strong support for changes introduced at the school. Teachers in these schools disagreed that their principals encouraged them to take risks, provided adequate professional development for changes introduced at their schools, and involved teachers in such change initiatives. In addition, 18 percent of Annenberg schools reported weaknesses in principal instructional leadership. In these schools, some teachers agreed but others disagreed that their principals made teaching expectations clear, set high standards for both teaching and student learning, and communicated a clear vision for their schools. Teachers disagreed that their principals pressed them to implement what they learned in professional development activities, understand how students learn, and track students’ academic progress. In 24 percent of Annenberg schools, teachers reported that their principals promoted parent and community involvement but they disagreed that their principals worked to create a sense of community in their schools or were committed to shared decision making. Finally, 36 percent of Annenberg schools reported weaknesses in joint problem solving. While teachers reported general openness of expression in their schools, they indicated that problems and conflicts were often ignored or avoided.

Substantial percentages of Annenberg schools also reported weaknesses in different aspects of teacher professional community in 1997. One-quarter of Annenberg schools reported limited levels of peer cooperation and collaboration. A similar percentage of schools reported that teachers engaged only occasionally in reflective dialogue about their teaching. About one-third of Annenberg schools

reported weak focus on student learning and a very limited sense of teacher collective responsibility for student learning and school improvement. Substantial percentages of schools also reported weaknesses in relational trust. Twenty-one percent of Annenberg schools reported minimal levels of trust between teachers and principals. More than half of the schools reported no trust or minimal trust among their teachers. Forty-two percent reported minimal levels of trust between teachers and parents. Finally, 39 percent of Annenberg schools reported minimal and limited levels of parent involvement.

It is important to recall that some of these human and social resources grew stronger in Annenberg schools between 1997 and 1999 (e.g., inclusive leadership, principal instructional leadership, focus on student learning, teacher-parent trust). In addition, teacher participation in professional development activity and the quality of the professional development improved among Annenberg schools during this time. In almost all cases, however, these improvements were lost after 1999.

Time is another resource that is necessary to engage productively in reform. Both Annenberg principals and External Partners noted throughout the course of this study that although the Challenge made this a priority in its reform agenda, lack of time was a persistent impediment to their school development efforts. Their observations are consistent with the literature.<sup>78</sup> Most reform initiatives add new work but few alleviate other responsibilities or provide additional time for planning and implementation. Recent studies of whole school comprehensive reform models have found that insufficient time for planning, collaboration, and professional development is a common barrier to the implementation of these models and a frequent concern for teachers.<sup>79</sup>

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<sup>78</sup> Elmore and McLaughlin (1988) and Smith (2000).

<sup>79</sup> Muncey and McQuillan (1996); Ross et al. (1997); and Smith et al. (1997).

*Table 18.* Percentages of Chicago Annenberg Schools and the Weakest Categories in Which They Scored on Measures of Human and Social Resources to Support Development, 1997

Measures	Categories	Percent of Schools
Orientation toward Innovation	Minimal and limited	17%
Principal Support for Change	Minimal	24%
Principal Instructional Leadership	Mixed	18%
Inclusive Leadership	Mixed	24%
Joint Problem Solving	Weak	36%
Peer Collaboration	Limited	25%
Reflective Dialogue	Occasional	24%
Focus on Student Learning	No focus and not very focused	31%
Collective Responsibility	Very limited and limited	33%
Teacher-Principal Trust	Minimal	21%
Teacher-Teacher Trust	None and minimal	54%
Teacher-Parent Trust	Minimal	42%
Parent Involvement	Minimal and limited	39%

As noted earlier, substantial proportions of Annenberg principals reported on both 1997 and 2001 surveys that their schools lacked the staff, time, and other resources to make participation in network activity really “pay off.” The research project’s first technical report observed that six of the 12 principals that were interviewed identified lack of time as a specific impediment to their schools’ participation in network activities during their first year of funding.<sup>80</sup> Each pointed to lack of time as a reason for not attending network meetings themselves or for not sending a member of their staff. The research project’s first report on External Partners observed that the structure of the normal school day, combined with the number of programs requiring teacher participation, restricted teachers and administrators’ time to focus on the Partners’ programs.<sup>81</sup> The project’s second technical report on the development of Annenberg schools also identified lack of time as an impediment to teacher participation in Annenberg activity and to their efforts to experiment with and implement new ideas they learned from that activity.<sup>82</sup>

<sup>80</sup> Smylie et al. (1998).

<sup>81</sup> Newmann and Sconzert (2000).

<sup>82</sup> Wenzel et al. (2001).

**Commitment.** Another important element of a school's capacity for reform consists of the various commitments that support reform implementation. There are numerous indicators of these commitments, including the compatibility of the reform's goals and the school's own goals for improvement, the centrality of the reform to the school's work, and the allocation of people and time to the reform effort. The data point to substantial variation among Annenberg schools on several of these indicators.

Most principals reported that their Annenberg network's goals coincided at some level with their own school's goals for improvement. In 1997, 34 percent of Annenberg principals strongly agreed and 60 percent agreed that their schools' goals were aligned with those of their networks. Only 7 percent disagreed or strongly disagreed. In 2001, somewhat greater proportions of Annenberg principals saw alignment—38 percent strongly agreed and 61 percent agreed while only 2 percent disagreed.

Although reports of goal alignment were strong, there was more variability in the degree to which Annenberg principals saw the Challenge as central to their school's work. In 1997, 21 percent strongly agreed and 49 percent agreed that Annenberg activities were central to their school's work but 31 percent disagreed or strongly disagreed this was the case. Although principals were somewhat more positive about

the importance of Annenberg activity in 2001, variability remained. That year, 34 percent of Annenberg principals strongly agreed and 52 percent agreed that the Challenge was central to their school's work while 14 percent disagreed.

Teacher participation is another indicator of school commitment. Indeed, the commitment of people and time to participate in Annenberg activity may be more important than goal alignment and centrality. Data from the principal surveys indicate that teacher participation in Annenberg activity varied substantially among schools (see Table 19). In 1997, 30 percent of Annenberg principals reported that less than 20 percent of their teachers participated in Annenberg activity; 17 percent reported teacher participation rates of between 21 and 40 percent; 12 percent reported participation rates of between 41 and 60 percent; 17 percent reported rates of between 61 to 80 percent; and the final 15 percent of principals reported that more than 80 percent of their teachers participated in Annenberg activity. In 2001, Annenberg principals reported somewhat higher rates of teacher participation, but substantial variation remained among schools—18 percent reported that less than 20 percent of their teachers participated in Annenberg activity; 15 percent reported teacher participation rates of between 21 and 40 percent; 25 percent reported rates of between 41 to 60 percent; 18 percent reported rates of between 61 and 80 percent; and 23 percent reported that more than 80 percent of their teachers participated.

There was also substantial variation in the frequency with which teachers participated in Annenberg activity (see Table 20). Thirty-seven percent of principals reported on the 1997 survey that their teachers participated on average about once a month or less in Annenberg activity. Twenty-three percent of principals reported that on average their teachers participated two to three times a month. Sixteen percent reported weekly teacher participation and 24 percent reported participation more than once a week. In 2001, principals reported lower rates of teacher participation. Half reported that their teachers participated on average about once a month or less in Annenberg activity. Thirty-three percent reported that teachers participated two to three times a month. Three percent reported weekly participation and 15 percent reported participation more than once a week.

*Table 19.* Percentage of Annenberg Principals Reporting the Proportions of Teachers at Their Schools Who Participated in Annenberg Activities, 1997 and 2001

PROPORTIONS OF TEACHERS	1997	2001
Less than 20% of teachers	31%	18%
21 to 40% of teachers	17%	15%
41 to 60% of teachers	12%	25%
61 to 80% of teachers	17%	18%
More than 81% of teachers	22%	23%

*Table 20.* Percentage of Annenberg Principals Reporting Different Frequencies of Teacher Participation in Annenberg Activities, 1997 and 2001

FREQUENCY OF TEACHER PARTICIPATION	1997	2001
Once a month or less	37%	50%
Two or three times a month	23%	33%
Once a week	16%	3%
More than once a week	24%	15%

**Internal Sources of Disruption and Persistence.** In addition to the various resources that schools may have to support improvement, they also have sources of internal disruption and persistence that may compromise improvement. The literature is full of examples of these sources.<sup>83</sup> Studies of educational innovation find that the loss of key leaders and staff turnover make it very difficult to sustain commitment to change initiatives over time.<sup>84</sup> Often the structure of work and time makes it difficult for teachers and administrators to participate in improvement activity and implement changes in their practice.<sup>85</sup> Research on school organizational cultures and the institutions of schooling concludes that norms, beliefs, rituals and ceremonies, symbols, political relations, and work rules and relationships form routines or “scripts” that give meaning and govern much of what takes place in schools.<sup>86</sup> These scripts, which are often unspoken and taken for granted, are strong sources of organizational stability and often make persistence prevail over attempts to change. There is some evidence that such sources of disruption and persistence existed in many of the schools the Challenge supported. To the extent that they did

<sup>83</sup> Fullan (2001); Miles (1993); Smylie and Crowson (1996).

<sup>84</sup> Fullan (2001).

<sup>85</sup> Smith (2000).

<sup>86</sup> DiMaggio and Powell (1991), Firestone and Louis (1999); Rowan and Miskel (1999); Schein (1992); and Zucker (1987).

exist, they may have contributed to the failure of the Challenge to achieve an overall effect.

There is some evidence that Annenberg schools as a group experienced substantial staff turnover and such turnover may have made it very difficult to make and sustain much development. Surveyed Annenberg principals reported that they hired an average of 25.2 new teachers between 1995 and 2001 or 3.6 teachers per year. Between 1999 and 2001, they reported hiring an average of 9.7 new teachers or 3.2 teachers per year. According to CPS, the average size of an elementary school faculty is 26.3 teachers. While the number of new teachers hired is not necessarily the best indicator of turnover (it doesn't take into account the numbers of teachers who leave a school), it does give some idea of the change or "churn" in personnel taking place. A rough estimate indicates that the number of new teachers hired by Annenberg schools between 1995 and 2001 represented on average between 12 and 14 percent of their entire faculties each year. Data from CPS personnel records indicate that these principal reports underestimated the numbers of teachers who came to their schools each year. For example, these records indicate that on average, for the 1997–98 school year (the latest data available for this report), 15.7 percent of teachers in Annenberg schools were new to their schools that year. This percentage is the same as the percentage of teachers in non-Annenberg schools who were new to their schools.

In addition to change in faculty, the loss of key leaders may threaten school improvement efforts. Between 1996 and 1999, there were a number of documented instances from the field research schools where the loss of curriculum coordinators who were hired and trained by External Partners and paid for by Challenge grants all but terminated the progress schools were making toward improvement.<sup>87</sup> In other field research schools, improvement efforts stalled when the principals who initiated them retired or left for other schools.

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<sup>87</sup> Wenzel et al. (2001).

Data from teacher surveys and the field research provide evidence that some Annenberg schools had cultures that were much more conducive to change than others. Recall that in 1997, nearly 20 percent of Annenberg schools ranged from “minimal” to “limited” on the survey measure of school orientation toward innovation (see Table 18). As described earlier, minimal and limited orientation means that teachers reported that about half of the teachers in their schools really try to improve their teaching. Some agree and others disagree that teachers at their schools are continually learning, are encouraged to grow, and have a “can do” attitude. Teachers are likely to report that only some of their peers try new ideas and take risks to improve their practice.

Another indicator of a culture conducive to change is the expectations teachers hold for their students’ learning and for their future. It may be very difficult to engage schools and teachers in improvement activity if teachers have relatively low expectations. That is, if teachers do not think their students can learn or be successful, they may also believe that efforts to change schools are for naught.

Two items on the teacher survey give some indication of the variability of expectations that teachers in Annenberg schools held for their students. These items asked teachers what percentage of their students they believed would graduate from high school and what percentage would go on to attend a two- or four-year college. In 1997, teachers in Annenberg schools held a range of expectations for their students (see Table 21). Some of these expectations were quite low, perhaps contributing to school cultures that were not particularly conducive to improvement.

Table 21. Expectations of Annenberg Teachers for Their Students' Educational Futures, 1997 and 1999

	PERCENT OF ANNENBERG TEACHERS
<i>Percentage of the students I teach who I expect will graduate from high school</i>	
1 to 25 percent	4%
26 to 50 percent	13%
50 to 75 percent	35%
76 to 100 percent	48%
<i>Percentage of the students I teach who I expect will attend a two- or four-year college</i>	
1 to 25 percent	23%
26 to 50 percent	23%
50 to 75 percent	21%
76 to 100 percent	21%

The field research revealed that External Partners sometimes encountered school cultures that confounded their efforts to promote development. This project's first report on External Partners noted that some Partners confronted a culture of privacy and autonomy among teachers that made it difficult to promote joint problem solving and collaborative professional development.<sup>88</sup> Several of the Partners observed that some teachers with whom they worked believed that since previous reform initiatives did not seem ever to be sustained—that they came and went with change in school administrators—it was not prudent for them to invest seriously in new initiatives. Similarly, the Partners reported that in a number of cases, principals with whom they worked were either unwilling to or incapable of infusing Partner-sponsored activities into their schools. Some principals appeared to Partners to be “victims” of an administrative culture that worked against the Partners' efforts for school development. Similar evidence was presented in the research project's technical report on the development of Annenberg schools between 1996 and 1999.<sup>89</sup>

Program overload and fragmentation among many improvement initiatives were yet other problems that Annenberg schools faced. The literature on school improvement has long identified the potential problems that multiple change initiatives pose to the effective allocation of teachers' time and other resources.<sup>90</sup> The

<sup>88</sup> Newmann and Sconzert (2000).

<sup>89</sup> Wenzel et al. (2001).

<sup>90</sup> Hatch (1998) and Kimbrough and Hill (1981).

issues of program overload and fragmentation and the attendant problem of incoherence among programs were examined in detail in a separate report of the research project.<sup>91</sup> Here it is useful to highlight some of the evidence that illustrates the problems posed by the presence of too many initiatives.

The effects of too many programs and the competition among them were first identified in the research project's first technical report, *Getting Started*.<sup>92</sup> In that report, some Partners who were interviewed observed that the work they sought to accomplish often competed with other initiatives for teachers' and administrators' time and attention. In these cases, Partners who tried to promote whole school development found they had limited influence. In later interviews, more than half reported that their schools were involved in so many programs besides Annenberg "it was often difficult to get the schools to pay serious attention to [their] programs."<sup>93</sup>

The scope of this problem in Annenberg schools is suggested by data from the principal surveys. Both 1997 and 1999 surveys asked principals to report the extent to which they saw the Challenge as just one of many programs they had at their schools and the degree to which their teachers devoted time to Annenberg activities as opposed to other projects. In 1997, 77 percent of Annenberg principals agreed or strongly agreed that the Challenge was just one of many programs at their schools (see Table 22). In 1999, 80 percent of Annenberg principals agreed or strongly agreed that this was the case. In 1997, more than half of Annenberg principals disagreed or strongly disagreed that of all initiatives at their schools, the most teacher time was devoted to Annenberg activities (see Table 23). In 1999, a similar proportion made the same assessment. Taken together, this strongly suggests that the Challenge faced competition for time, attention, and effort from other improvement initiatives in a substantial number of the schools it supported. This competition may have made it quite difficult to engage schools in Annenberg activity in a way that might lead to significant lasting development. Indeed, this situation may have grown

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<sup>91</sup> Newmann et al (2001b).

<sup>92</sup> Smylie et al. (1998).

<sup>93</sup> Newmann and Sconzert (2000), p. 53.

worse in the Challenge's last few years. As noted above, program coherence in Annenberg schools declined between 1999 and 2001.

*Table 22.* Percentage of Annenberg Principals Who Agreed with the Statement “The Annenberg Challenge is just one of many programs we have at this school,” 1997 and 1999

	1997	1999
Strongly Agree	20%	15%
Agree	57%	65%
Disagree	21%	18%
Strongly Disagree	1%	3%

*Table 23.* Percentage of Annenberg Principals Who Agree with the Statement “Of all external projects, most teacher time is devoted to Annenberg activities,” 1997 and 1999

	1997	1999
Strongly Agree	12%	13%
Agree	35%	37%
Disagree	50%	48%
Strongly Disagree	3%	3%

### **Countervailing Forces**

Yet another reason for the failure of the Chicago Challenge to achieve an overall effect on school development may have been the influence of “countervailing system forces.” This refers to outside influences that pulled schools in directions contrary to those promoted by the Challenge and its External Partners. Of course, what one considers to be countervailing depends on where one stands. It is certainly conceivable that the Challenge itself could have been seen as a countervailing force to other reform agendas. Indeed, evidence of such a view among some CPS administrators and some of Chicago’s civic elite was presented in a 1999 research project report on the Challenge’s establishment and early operation.<sup>94</sup> In this discussion, countervailing forces are defined and examined from the perspective of the Challenge as factors that worked against or failed to support its work and what it sought to accomplish.

Some of the most visible and potentially powerful sources of countervailing influence on Annenberg activities were the school system’s major reform initiatives.

<sup>94</sup> Shipps and Sconzert with Swyers (1999).

Part One described the CPS administration's major initiatives under the 1995 reform and the potential areas of conflict with the Chicago Challenge. In this section, additional evidence is presented of how such conflicts were perceived and how they may have compromised the overall effectiveness of the Challenge.

First, the problem of conflict among multiple policy initiatives and reforms has been discussed in the literature on educational change for some time. In an early study of federal Title I programs, Jackie Kimbrough and Paul Hill found evidence of conflict in the implementation of core programs and multiple federal categorical programs in each of the 24 schools they studied.<sup>95</sup> Their research pointed to the difficulty faced by teachers and school-level administrators in managing multiple programs, particularly those with conflicting goals and those that competed with each other for scarce monetary and human resources. Kimbrough and Hill found that where conflict existed, the implementation of both core school programs and categorical programs could be compromised.

This problem remains part of today's reform landscape. Timar and Kirp, as well as Thomas Hatch, argue that because of the magnitude of today's reform efforts, tensions and conflicts among policies and improvement initiatives are all but inevitable.<sup>96</sup> In a recent review of the literature, Michael Knapp and his colleagues provide new insight.<sup>97</sup> They examined the convergence of different types of educational reform at the school level—decentralized governance and decision making; systemic innovations in curriculum, instruction, and student assessment; and integrated educational, social, and health services for students. While they found that little empirical evidence has been presented in the literature about the converging effects of different initiatives, they argued that research examining each initiative separately allowed them to anticipate the effects of convergence on teachers and administrators and to anticipate their likely responses.

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<sup>95</sup> Kimbrough and Hill (1981).

<sup>96</sup> Hatch (2002); Timar and Kirp (1987).

<sup>97</sup> Knapp et al. (1998).

Knapp and his colleagues argued that, at a minimum, these reforms would add new responsibilities to teachers' and administrators' workloads. The reforms would increase pressure for collaboration and call on teachers and administrators to form different conceptions of professional work and develop new knowledge and skills to perform that work well. The reforms would increase demands on teachers' and administrators' time both in the short and long term and create inevitable compromises in how they allocated their time and effort. Knapp and his colleagues predicted that when faced with such convergence, teachers and principals would likely respond strategically and defensively. They would find ways to cope and to reduce demands to manageable levels. Particularly where converging reforms might conflict, but certainly where the demands of reform exceeded available time and effort, teachers and administrators would prioritize reforms, give them selective attention and, with regard to those reforms that conflicted with their own values and practices or threatened "better" reforms, engage in organized or passive resistance. Knapp and his colleagues acknowledge the possibility that teachers and administrators could proactively consider, adopt, and make incremental adjustments to accommodate converging reforms. They warn, however, that a likely outcome would be pro forma and superficial implementation as opposed to deep implementation.

An important focus of the recent literature on converging and conflicting reforms has been on the relationship between district-level policy and school-level reform initiatives. For some time, the literature has pointed to the important role that school districts play in local school improvement. Districts can have a strong effect on the implementation and quality of school-level reform initiatives.<sup>98</sup> They can help local school reform succeed by setting the tone for local initiatives, establishing priorities and expectations, and allocating resources.<sup>99</sup> Moreover, districts can shape the direction for instructional reform at the school level through central programs of professional development, curriculum guidelines and materials, and student tests and

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<sup>98</sup> Bodilly and Berends (1999); Ross et al. (1997); Stringfield, Datnow, and Ross (1998); Tyack and Cuban (1995).

<sup>99</sup> Elmore and McLaughlin (1988).

assessments.<sup>100</sup> Indeed, studies of instructionally effective schools find substantial consistency and coordination between district policy and local school improvement goals.<sup>101</sup> Studies of comprehensive school reform initiatives have found that support and guidance as well as effective coordination from the district level are critical assets to the reform effort.<sup>102</sup> In all, there is a general consensus in the literature that district support is needed in order to promote and sustain change at the school level and to “scale-up” school-level reforms to the system level.<sup>103</sup>

A key issue raised in recent literature on educational reform is the potential conflict between local school reform efforts and accountability systems introduced at district and state levels. In his study of New American Schools, Thomas Glennan found that the lack of alignment between local school reform efforts and district and state accountability and testing systems significantly impeded implementation of local reform.<sup>104</sup> Likewise, in their case studies of New American Schools, Susan Bodilly and Mark Berends found that new methods of teaching and learning were often abandoned in favor of preparation for standardized tests.<sup>105</sup> They observed that high-stakes testing can work at cross purposes. It can motivate teachers and schools to adopt new curriculum and instructional strategies associated with local reform initiatives, but at the same time discourage teachers and administrators from adopting a richer, more in-depth curriculum. In yet other studies, comprehensive whole-school reforms were compromised by teachers’ fears that implementing such reforms would harm student performance on assessments.<sup>106</sup>

This study gathered numerous pieces of evidence of similar tensions and conflicts between CPS policy and local school improvement initiatives promoted by the

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<sup>100</sup> Spillane (1996).

<sup>101</sup> Elmore and Burney (1997) and Murphy and Hallinger (1988).

<sup>102</sup> Haynes (1998) and Winfield (1991).

<sup>103</sup> See Bodilly and Berends (1999); Cooper, Slavin, and Madden (1998); Honig (1999); McAdoo, 1998.

<sup>104</sup> Glennan (1998).

<sup>105</sup> Bodilly and Berends (1999). See also Mitchell (1996).

<sup>106</sup> Ross et al. (1997) and Murphy and Datnow (2003).

Challenge and its External Partners. This evidence suggests that where tensions and conflicts were perceived, it was usually the Annenberg work that was compromised.

The 1997 and 2001 principals surveys asked principals to report the extent to which the system's priorities conflicted with those of their Annenberg networks. While there were variations in their responses, 26 percent of Annenberg principals observed in 1997 that CPS priorities often conflicted with those of their networks (see Table 24). In 2001, that percentage increased to 36 percent.

*Table 24.* Percentage of Annenberg Principals Who Agreed with the Statement "Central Office priorities often conflict with those of our network," 1997 and 2001

	1997	2001
Strongly Agree	6%	7%
Agree	20%	29%
Disagree	68%	56%
Strongly Disagree	5%	8%

Conflicts were also reported in interviews of External Partners. One-fifth of the 30 Partners who were interviewed in 1996 cited difficulty in carrying out their network's activities in the face of changing CPS policies. According to these Partners, the system's elimination of student social promotion, tying promotion to standardized test performance, mandatory summer school for low-achieving students, and the threat of academic probation and reconstitution disrupted their work and distracted schools' attention from long-term network goals.<sup>107</sup>

External Partners reported similar problems in subsequent interviews. Seven of the nine Partners that were studied in-depth for this project's first report on External Partners reported that the CPS central administration's emphasis on the ITBS, including the score-based policies of student retention and school probation, posed obstacles to the school improvement activities they promoted.<sup>108</sup> The Partners described several problems generated by pressure to score well on the ITBS. Teachers were so preoccupied with teaching directly to the test, and so many school activities were oriented in this direction, that they had little opportunity to engage in

<sup>107</sup> Smylie et al. (1998).

<sup>108</sup> Newmann and Sconzert (2000).

professional development on other issues, such as selecting engaging and rigorous children's literature or developing a positive learning climate. In some cases, the goals of both Partners and school staff extended beyond teaching proficiency in basic skills to teaching higher order thinking, complex problem solving, and project-based learning. Since the standardized tests failed to assess these intellectual processes, the pressure to succeed on the tests diminished the importance of these other educational goals and thereby undermined the efforts of Partners and schools to achieve them. One field research school was close to being placed on academic probation and was assigned a partner by the CPS central office to help it improve. The CPS partner encouraged the school to teach to the test while the Annenberg External Partner worked to help teachers implement more intellectually challenging instruction.

External Partners identified other disruptions associated with CPS administrative procedures. They observed, for example, that with little advance notice, the CPS central administration would issue directives for principal or teacher meetings that disrupted or forced cancellation of Annenberg activities scheduled long in advance. In interviews conducted in 2001, Partners continued to report that CPS mandates and administrative procedures, particularly testing and high-stakes accountability, interfered with their goals for local school development.<sup>109</sup> Thus, from the beginning to the end of the Challenge, even the most persistent Partners felt challenged in their work by conflicting CPS policies and procedures.

It could be problematic to take at face value such criticism of the CPS administration by Annenberg principals and External Partners. After all, the argument goes, both have a self-interest to appear successful and to provide alternative explanations for lack of accomplishment. However, evidence from longitudinal field research provides corroborating evidence of the tensions and conflicts reported by principals and External Partners. This project's technical report on Annenberg school development between 1996 and 1999 presented a somewhat complex picture of the relationship between CPS policy initiatives and the work of the Challenge.<sup>110</sup> It

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<sup>109</sup> Sconzert, Wenzel, and Smylie (2003).

<sup>110</sup> Wenzel et al. (2001).

described some areas where the system's initiatives and the Challenge's efforts to promote school development were compatible and mutually supportive. For example, at some of the field research schools, the system's capital development initiative for school repairs and new school construction was instrumental in developing learning climates that were more conducive to teaching and learning.

On the other hand, the report concluded that the Challenge promoted a reform agenda that at times collided with specific system policies, creating tensions and dilemmas for principals and teachers at the school and classroom levels. Observations, school-level documents, and interviews with school personnel other than principals and External Partners indicated that nowhere were the tensions and dilemmas between the Challenge and the system more sharply pronounced than in the interaction between high-stakes standardized testing and efforts to improve instruction. When these tensions and dilemmas were examined closely, however, it was clear that high-stakes testing, coupled with the system's probation and student retention policies, could play a positive and even necessary role in creating a press for accountability and a perceived need for change. These policies could move a school from complacency into action. At the same time, the evidence indicated that high-stakes testing could push teachers and principals to focus on the quickest means available to achieve administrative compliance—test preparation—and to abandon, or push aside at least for a while, efforts to achieve more ambitious, long-term instructional improvement.

CPS student testing, retention, and school probation policies were among the strongest motivators for change that were documented among Annenberg schools. In most cases, these policies and the emphasis they placed on student performance on the ITBS put pressure on principals to improve test scores or risk sanctions. They also influenced teacher practice. In all but two of the 14 field research schools, teachers and other staff members expressed concern about test scores. This concern appeared to affect not only teacher classroom practice, but also constrained most schools' efforts to develop.

Data from the project's field research indicated clearly that when the Challenge's priorities came into conflict with CPS policy, the Challenge came in second. It is not clear the extent to which CPS policy and the Challenge's efforts found a way to co-exist in Annenberg schools. Likewise, it is not clear the extent to which CPS policy may have overwhelmed or "swamped" the Challenge's initiatives across the large number of schools it supported. While it is difficult to gauge the extent of the influence, it is clear that CPS policy, while supportive and a positive stimulus in some respects, served as a general countervailing force on Annenberg school improvement initiatives.

### *Loss of Initial Improvement*

Part Two of this report identified several areas of school leadership and teacher professional community where until 1999, Annenberg schools seemed to be improving at a stronger rate than demographically similar schools that did not participate in the Challenge. These areas included inclusive leadership, joint problem solving, teacher influence in school-level decision making, and teacher commitment. After 1999, these initial improvements disappeared. By 2001, levels of development in Annenberg schools were statistically equivalent to those in non-Annenberg schools.

As this discussion of how loss of initial improvement may have occurred begins, it is important to note that the literature on educational change has long described school improvement as a long and fragile process.<sup>111</sup> Progress can disappear with a reduction in resources or the loss of key personnel; succumb to external pressures; and collapse under new demands that the work of change imposes on teachers, administrators, and school organizations.

Several coincident changes in the implementation of the Chicago Challenge and in CPS policies may have had some regressive influence on the initial potentially promising development of Annenberg schools. Recall that between 1996 and 1999,

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<sup>111</sup> Elmore and McLaughlin (1988); Fullan (2001); Murphy and Datnow (2003).

the Challenge both expanded the financial resources it made available to schools and increased the professional support it provided to schools and External Partners. In 1999, it reached its peak level of per-school funding. It had sponsored workshops on its organizational themes of time, size, and isolation. It had begun to place greater emphasis on teacher professional development, whole-school change, and improving student achievement. It had begun working more directly with its External Partners and had provided support and guidance to Partners and networks to develop more creative and effective proposals for funding. Finally, it was beginning to introduce workshops on improving instructional quality. At the same time, CPS was intensifying the high-stakes accountability policies it introduced in 1996. It had begun to retain greater numbers of students and raise the threshold for student promotion. It also raised the level of student performance on the ITBS that had to be achieved if schools were to avoid academic probation.

As CPS intensified its accountability policies, the Challenge changed course. In 1999, it began its Breakthrough School initiative, providing these specially selected schools continued funding in its last two years that, on average, was slightly greater than that year's peak average funding levels (possible explanations for the relative success of the Breakthrough Schools are discussed below). The Challenge continued to provide support to almost 200 other schools but, by shifting a substantial portion of its remaining financial resources to the Breakthrough Schools, it dramatically reduced the amounts it provided them, from a peak of \$46,983 in 1999, to \$28,808 in 2000, to \$2,553 in 2001. At the same time, it focused more of its direct professional support on the Breakthrough Schools, leaving other schools with more general professional support from staff.

While the evidence is only suggestive, it is possible that the loss of Annenberg support coupled with the growing press of CPS accountability policies may have made it more difficult for teachers to participate in Annenberg activity. While there is no evidence to directly attribute declines in teacher participation to the loss of Challenge funds or to the growing demands of CPS policies, the evidence is clear that the frequency of teacher participation in Annenberg activities was substantially

lower in 2001 than in 1997 (see Table 19). The field research documented numerous cases where loss of Challenge funds resulted in the loss of key personnel provided by Partners, thereby compromising the Partners' ability to work with their schools. Loss of personnel was often followed by declines in teacher commitment to and participation in Partner-sponsored development activity. Moreover, in interviews conducted throughout the research project, Partners consistently pointed to tensions and conflicts between their work and CPS accountability policies. Finally, the field research provides independent documentation of cases where pressure from CPS policy drew schools away from Annenberg activity and eroded progress that had been achieved.

### *Relative Success of Breakthrough Schools*

Between 1999 and 2001, the Challenge's 18 Breakthrough Schools achieved greater success in the development of teacher professional community and, to some extent, school leadership and relational trust than did other Annenberg schools. Recall from the previous section that Breakthrough Schools were successful in that they improved slightly or maintained initial improvements while other Annenberg schools regressed. As stated earlier, this research was not designed to develop evidence to explain differences in development between Breakthrough Schools and other Annenberg schools. Indeed, this initiative was not introduced until 1999, three years after the research was designed. Nevertheless, the research project did produce evidence that suggests several possible explanations for the relative success of the Breakthrough Schools.

These explanations are of two sorts. The first is that Breakthrough Schools were different than most Annenberg schools in that they had greater capacity for development. The second is that unlike most Annenberg schools, Breakthrough Schools were able to draw on a different and perhaps a stronger set of resources to support their development. Each of these possibilities is explored below.

### **Greater Capacity for Development**

In selecting Breakthrough Schools, Challenge staff recognized some qualities that allowed them to characterize these schools as more “on board” and “farther along” in their development than other Annenberg schools. Although analyses of survey data reveal no statistically significant differences in 1999 between Breakthrough Schools and other Annenberg schools on any indicator of the Essential Supports (with only one exception), other evidence appears to corroborate the Challenge’s assessments and suggests that there may have been some small but important differences between the two groups of schools.

Breakthrough Schools were chosen because the Challenge staff saw them as having greater capacity than Annenberg schools generally to make substantive improvement; that is, they had developed somewhat stronger capacity on which to develop further. While not statistically different, Breakthrough Schools as a group were slightly stronger on a number of key indicators of organizational capacity than other Annenberg schools in 1999, including teacher influence in decision making, teacher peer collaboration and reflective dialogue, faculty focus on and collective responsibility for student learning, school orientation toward innovation, and trust among teachers and between teachers and their principals. Together, these slight differences may have given Breakthrough Schools a somewhat stronger foundation for further improvement. It is important to note that by 2001, Breakthrough Schools achieved a statistically significant advantage over other Annenberg schools on these measures.

In addition, Breakthrough Schools may have had an advantage of somewhat greater stability in their administrative leadership and faculties. As discussed above, school improvement may be more difficult to achieve with frequent turnover among personnel. The Challenge staff specifically considered the consequences of principal turnover when selecting Breakthrough Schools. For example, a new principal was hired in one of the schools initially identified to be a Breakthrough School. When she raised concerns about the school’s participation in the Challenge and the

relationship with the school's External Partner, the Challenge eliminated the school from its list of candidates.

Data from the principal surveys suggest that there may have been somewhat greater stability in the faculties of Breakthrough Schools than in Annenberg schools generally. As described earlier, Annenberg principals reported hiring on average 3.6 new teachers to their schools each year between 1995 and 1999. Principals of Breakthrough Schools reported hiring 3.0 new teachers on average each year during this period. Between 1999 and 2001, Annenberg principals reporting hiring on average 3.2 new teachers per year, while Breakthrough School principals reported hiring 2.3 new teachers per year. As cautioned earlier, the number of new teachers hired is not the best indicator of teacher attrition. Nevertheless, it is one indicator of "churn" among personnel that may challenge a school's ability to introduce, develop, and sustain improvement over time. And, while this indicator points to substantial "churn" among faculty in Breakthrough Schools, it was less than that experienced by other Annenberg schools and warrants consideration. CPS personnel records for 1997–98 confirm such a difference, indicating that 13 percent of teachers in Breakthrough schools were new to their schools, whereas about 16 percent of teachers in other Annenberg schools were new to their schools that year.

Schools were also selected for Breakthrough status and funding because of their relatively greater commitments to the Challenge. One of the primary criteria used by Challenge staff in Breakthrough School selection was participation in Challenge-sponsored activities. On average, these schools were perceived by Challenge staff as having made more effective use of Challenge resources and had participated more frequently in Challenge activities. If the Challenge staff was correct in its assessments, these differences may account in part for some advantages Breakthrough Schools seem to have had in promoting school improvement.

As discussed earlier, another indicator of school commitment is teacher participation in Annenberg activities. Principals survey data reveal substantial differences between Breakthrough Schools and other Annenberg schools on this

score. As shown in Table 25, 38 percent of Breakthrough School principals reported in 1997 that 80 percent or more of their teachers participated regularly in Annenberg activity whereas only 15 percent of principals of other Annenberg schools reported such high levels of participation. Although this difference narrowed somewhat in 1999, in 2001 almost twice the percentage of Breakthrough principals reported this high-level teacher participation. It is also important to note that in 2001, no principal of a Breakthrough School reported that fewer than 40 percent of her teachers participated in Annenberg activities. This stands in contrast to the finding that one-third of all Annenberg principals reported that year that fewer than 40 percent of their teachers participated in Annenberg activity. These relatively higher rates of teacher participation, coupled with relatively lower indicators of teacher turnover point to a potential advantage among Breakthrough Schools of having somewhat more stable, critical masses of teachers to promote school improvement.

*Table 25.* Percentage of Principals of Breakthrough and Other Annenberg Schools Reporting That 80 Percent or More of Their Teachers Participated in Annenberg Activities, 1997 to 2001

	BREAKTHROUGH SCHOOLS	OTHER ANNENBERG SCHOOLS
1997	38%	15%
1999	40%	32%
2001	44%	23%

### **Different and Sustained Resources**

The Breakthrough School initiative provided more concentrated resources to a group of schools that may have had, on average, a stronger capacity for development. It was noted earlier that on average Breakthrough Schools received sustained and somewhat higher levels of funding during the Challenge's last two years while funds provided to other Annenberg schools were substantially reduced (see Figure 5). In 2000 and 2001, Breakthrough Schools received a yearly average of nearly \$50,000 while other Annenberg schools received about \$2,600. As argued earlier, while \$50,000 a year may not purchase very much, sustained support even at this modest level might have helped Breakthrough Schools maintain their relationships with their External Partners and sustain their improvement efforts. Moreover, Breakthrough Schools received these funds directly from the Challenge rather than through their Partners, giving them potentially more money and more discretion in its use. In addition to

sustained levels of funding, Breakthrough Schools may also have benefited from ongoing professional support from Challenge staff.

Breakthrough Schools may also have had some advantages over other Annenberg schools with regard to their External Partners. On one hand, the types of Partners that worked with Breakthrough Schools were roughly similar to those that worked with Annenberg schools as a whole, although a somewhat greater proportion of Breakthrough School Partners were university based (see Table 26). Breakthrough Schools belonged to networks with about the same number of schools on average as other Annenberg networks so they did not have fewer schools with which to compete for their Partners' attention and resources. On the other hand, Breakthrough Schools were somewhat more likely than other Annenberg schools to have had Partners with experience working in schools prior to the Challenge. About three-quarters of Partners working with Breakthrough Schools had worked previously with schools on long-term improvement projects. Overall, two-thirds of Partners working with Annenberg schools had such experience. This difference suggests that as a group Breakthrough Schools may have had Partners with stronger expertise for promoting school development than Annenberg schools generally. In addition, two-thirds of Breakthrough Schools had worked with their External Partners prior to the Challenge. Breakthrough Schools and their Partners may have had more well-developed working relationships overall than other Annenberg schools. This creates the possibility that Breakthrough Schools may have had a relatively stronger base of social resources through their relationships with their Partners, including relational trust and mutual accountability, that helped promote school improvement.

*Table 26.* Percentage of Types of Annenberg External Partners Working with Breakthrough Schools and All Annenberg Schools

TYPE OF PARTNER	PERCENT OF BREAKTHROUGH SCHOOLS ( <i>N</i> =11)	PERCENT OF ALL ANNENBERG SCHOOLS ( <i>N</i> =43)
University	45%	35%
Educational Services Organizations	27%	28%
Community Organizations	9%	14%
Cultural Institutions	18%	23%

There is also some indication that Breakthrough Schools may have been better able than Annenberg schools generally to parlay their participation in the Challenge into additional resources. Data from the 2001 principal survey indicate that Breakthrough School principals were somewhat more satisfied with the benefits of their participation in the Challenge than Annenberg school principals. That year, all Breakthrough School principals agreed or strongly agreed that participation provided their schools with useful resources. Ninety percent of all Annenberg principals made similar assessments. All of the Breakthrough School principals agreed or strongly agreed that participation in the Challenge provided resources or in-kind services needed for improvement. This compares to 84 percent of all Annenberg principals who made similar assessments. Finally, in the most substantial point of contrast, whereas all Breakthrough School principals agreed or strongly agreed that participation in the Challenge strengthened their schools' ability to obtain resources beyond those that the Challenge provided, only 69 percent of all Annenberg principals so agreed.

In addition, Breakthrough Schools were somewhat more likely than Annenberg schools to be members of networks with more comprehensive and therefore potentially more effective school improvement foci (see Table 27). As argued earlier, efforts emphasizing the coordinated development of mutually influential Essential Supports may be more effective than those focusing on only one Support to the exclusion of others. A smaller proportion of Breakthrough Schools were in networks focusing primarily on curricular and instructional improvement, suggesting perhaps that greater proportions of Breakthrough Schools were working on curricular and instructional improvement in more comprehensive and potentially more effective ways.

*Table 27.* Percentages of Breakthrough Schools and All Annenberg Schools by Primary Network Focus

PRIMARY NETWORK FOCUS	PERCENT OF BREAKTHROUGH SCHOOLS	PERCENT OF ALL ANNENBERG SCHOOLS
Curricular and Instructional Improvement	39%	51%
Learning Climate and Social Services	17%	20%
Partner and Community Development	11%	10%
“Comprehensive”	33%	19%

In sum, a number of factors might explain the relative success of Breakthrough Schools. The evidence suggests that Breakthrough Schools may have had somewhat greater capacity for development than Annenberg schools generally. They seemed to have lower turnover in administrative leadership and faculty. Breakthrough Schools had generally higher levels of teacher participation in school-level Challenge activities and as a group they participated more regularly and consistently in Challenge-sponsored programs. Their Challenge funding was sustained at a slightly higher level over a longer period of time. Moreover, they received their funds directly from the Challenge rather than through their External Partners, giving them potentially more money with which to work and more discretion in its use. Breakthrough Schools received more sustained professional support from Challenge staff. In addition, they may have had access to different resources through their External Partners. As a group, greater proportions of their Partners had previous experience working in schools and they were more likely to have had working relationships with their Partners that extended to before the Challenge. Breakthrough Schools may have been better able to leverage their participation in the Challenge and their relationships with their Partners to obtain additional resources. Finally, greater proportions of Breakthrough Schools belonged to networks with more comprehensive and potentially more effective foci for promoting school development. It is likely that no one of these factors explains the relative success of Breakthrough Schools documented in this report but that a number of factors worked in combination to promote improvement.

One final comment is in order. The relative success of Breakthrough Schools may also be attributed to a motivational boost that may have accompanied the award of

Breakthrough status and funding. According to one of Annenberg's External Partners,

I think what this served to do, and you see this in a lot of areas, is the schools [that] got the Breakthrough grants felt motivated and honored, and [this made them think] "We're not going to let them down, we're going to do it." And the other [schools] are kind of like, "Well, we didn't get that money."

How much of a motivational boost this initiative provided is unclear. Neither is how much influence such a boost might have had on the ongoing development of Breakthrough Schools. It may have played an important role in sustaining commitments to promote school improvement at a time when support in other Annenberg schools was waning and the influence of CPS policies was intensifying. It remains to be seen whether the Breakthrough Schools sustained their progress after the Challenge shut its doors and the financial support, professional support, and motivational impetus it provided had ended.

### ***Summary***

This section addressed the third general question of this research: What factors might explain the improvement or the lack thereof among Annenberg schools? A number of factors were discussed that provide possible explanations for the lack of overall effect of the Chicago Challenge on school improvement, the loss of initial improvement midway through the Challenge, and the relative success of the Breakthrough Schools. In summary, the failure of the Challenge to achieve an overall effect on school improvement could be due to a number of shortcomings in the design and implementation of the Challenge itself. These include the breadth of its goals and the vagueness of its strategies for school development; the numbers of participating schools and the inadequacy of the resources they received; and general weaknesses in the levers for change that it developed, particularly with regards to accountability. Failure to achieve an overall effect could also be due to weakness in the capabilities and resources of the External Partners and the organizational capacities of Annenberg schools to engage effectively in the Challenge's approach to reform. Finally, CPS

policies could have acted as a countervailing force at the school level. Most likely, no one of these factors alone would fully explain the lack of an Annenberg effect. Rather, these and perhaps other factors worked in combination.

The loss of initial improvement among Annenberg schools in some areas of the Essential Supports could be explained by a convergence of intensifying CPS accountability policies with the Challenge's shift in strategy to focus its efforts on Breakthrough Schools and reduce its support of others. The evidence suggests that reductions in support occurred concurrently with teachers' growing concern about CPS accountability policies, declining teacher participation in Annenberg activity, and an increase in the difficulty External Partners faced in sustaining their work with less funding from the Challenge.

Finally, the relative success of Breakthrough Schools could be explained by their somewhat greater capacity for improvement and for engaging in Annenberg-style reform. When they were selected in 1999, these schools had somewhat stronger leadership and professional community than other Annenberg schools. They had somewhat greater stability in their teaching and administrative staffs. In addition, they exhibited stronger commitments to the Challenge in their histories of participation in Challenge-sponsored activities and teacher participation in school-level Annenberg work. Finally, Breakthrough Schools had access to different resources than other Annenberg schools. They received sustained financial support from the Challenge for two additional years while funds for other Annenberg schools were withdrawn. Finally, the networks and the External Partners with which they were associated may have had qualities that distinguished them from networks and Partners generally associated with other Annenberg schools, including longer working relationships on which to build, stronger experience among Partners in working with schools, potentially stronger Partner expertise in school improvement, and more comprehensive foci on school improvement.

# Part Four: Lessons for Promoting Large-Scale School Improvement

The experience of the Chicago Annenberg Challenge suggests a number of lessons about promoting large-scale school improvement, or the simultaneous improvement of many schools in different contexts. Several of these lessons are presented below as answers to particular questions that arise when developing and implementing such initiatives. These lessons relate in a number of ways to the field research findings presented in Part Two about what makes individual school improvement successful. Such relationships are noted as relevant.

## *How to Promote Large-scale School Improvement: One Way? Any Way? or Better Ways?*

The literature on educational change makes clear that there is no one best way to improve individual schools or groups of schools. As Richard Elmore writes, “[Improvement is] a function of learning to do the right thing in the setting where you work.”<sup>112</sup> Numerous studies have found that successful school improvement requires the discretion of local actors to identify and solve site-specific problems and to adapt programs and policies to meet local needs.<sup>113</sup> Indeed, some studies contend that local self-determinism is essential to build the commitments necessary to implement and institutionalize reform into the life of the school.

At the same time, the literature also argues that some strategies for improvement are better than others. In other words, some can supply Elmore’s “right thing” in a more efficient and effective way. Fullan and Miles contend that one of the main reasons educational reforms fail is that they are often based on “faulty maps of

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<sup>112</sup> Elmore (2000).

<sup>113</sup> See Fullan (2001) and McLaughlin (1990)

change.”<sup>114</sup> Maps of change refer to assumptions about how change happens, the means required to achieve specific ends, and perhaps assumptions about conditions that must be in place for those means to operate effectively. Fullan and Miles argue that some of the maps for reform initiatives are too vague to provide reliable or valid guidance. Some fail to recognize the complexities of schools and the broader system of schooling. Some are directly contradicted by empirical evidence. Others, while attractive politically, do not work and may even create new problems or exacerbate the problems they were intended to solve. Fullan and Miles argue that important change cannot be mandated. Instead, change requires “skill, commitment, motivation, and discretionary judgment on the part of those who must change.”<sup>115</sup> And yet, even though local discretion is important to successful change, they contend that consistently reliable and effective maps can and should be used to guide improvement within and across schools.

The experience of the Chicago Annenberg Challenge illustrates a problem that reformers face when trying to figure out how best to promote improvement among a large number of schools. Following the national Annenberg Challenge, the Chicago Challenge was founded on the well-established premise that there is no one best way to promote local school development. Adding to this premise a view of the importance of local initiative and control in school development and faith in decentralization and democratic localism, the Challenge eschewed common goals and specific processes. It sought to guide local development in particular directions and to provide some measure of accountability to focus schools’ efforts in these directions. Moreover, it laid out reform principles of pluralism and local self-determinism. What the Challenge did not do, however, was “privilege” one reform strategy over another. It left local school communities to set their own goals and strategies for development. As a result, it ended up supporting a wide range of local strategies, with some no doubt less well informed and less effective than others.

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<sup>114</sup> See Fullan and Miles (1992) and Argyris and Schon (1975).

<sup>115</sup> Fullan and Miles (1992), p. 746.

Both the Challenge's experience and the literature on educational change point to a middle ground. As Fullan and Miles suggest, between "one way" and "any way" are "better ways" to promote improvement among groups of schools. While it may be important to encourage local pluralism and self-determinism in developing, adopting, and implementing initiatives to make schools better, it may be equally important to provide guidance for local initiatives in the form of well-researched and well-thought-out maps for change. Such maps would not impose scripts for local actors to follow; rather, they would present sound theories and principles that might enhance the effectiveness of local thinking and action. Some insights into what such theories and principles might be were presented at the end of Part Two in the discussion of what makes local school improvement successful.

### ***Which Schools to Support?***

This study raises the important issue of which schools should be supported and in what kinds of reform they should engage. As argued in Part Three, different reforms make different kinds of demands on schools and the success of any particular reform may depend on the capacity of schools to engage in and implement that reform well. The implication is that if a school or a group of schools lacks the capacity to implement a particular reform well, another type may be warranted.

As discussed in Part One, the national Annenberg Challenge made a clear argument that local school reform is best pursued through a plurality of approaches that privileges none. The Chicago Challenge was designed on this principle. Proceeding from this principle, however, both the national Annenberg Challenge and the Chicago Challenge paradoxically promoted one particular approach—one that was local, collaborative, and self-directed. Evidence in Part Three showed that substantial proportions of the schools receiving support from Chicago Challenge were weak in key organizational capacities of leadership and professional community, that arguably would be important to implement this type of reform. Indeed, it is unlikely that schools that were particularly weak in these and perhaps other organizational capacities would be able to take full advantage of the opportunities

that participation in the Challenge extended.<sup>116</sup> And as argued in Part Three, this might be one of the reasons for the Challenge's failure to achieve an overall effect on school development. Other reform strategies might have been more appropriate and more effective for these schools. Such strategies might have included efforts to hire and retool faculty and administrators, new systems of accountability, and more direct intervention from the CPS central administration or external organizations.

On the other hand, there is evidence that one of the reasons for the relative success of the Breakthrough Schools is that they were chosen, in part, because they possessed somewhat stronger capacities to "do Annenberg." One can make the argument that the relative success of the Breakthrough Schools was due to a selection bias, that the Challenge hand-picked schools with particular qualities that gave them an "edge" to succeed, and rightly so. The Breakthrough School initiative represented a significant departure from the earlier, less discriminating, and perhaps less effective, strategy that the Chicago Challenge used to identify schools to support.

The experience of the Chicago Challenge also raised the important issue of how many schools a large-scale reform effort ought to support. How this issue is addressed depends on a number of factors, including the amount of resources that are available, the ability of those responsible for the reform to manage those resources well, and, taking the point above, the number of schools that may have the capacity to implement the reform well. The Chicago Challenge used two different strategies to address this issue. Between 1995 and 1998, it spread its resources thinly among as many as 211 schools, or nearly 40 percent of all Chicago public schools, through up to 45 networks and External Partners. Moreover, it took upon itself the substantial burden of providing some measure of professional support to all the schools and their Partners.<sup>117</sup> In 1999, it changed course and redirected its remaining resources to a smaller number of selected schools. As one Challenge staff member explained in an interview:

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<sup>116</sup> See Hargreaves (2003).

<sup>117</sup> The processes by which the Challenge made its early grants are described in detail in Shipps and Sconzert with Swyers (1999).

It was a different way of doing business than we had done before. I guess it was just our effort to say whole-school change requires more resources than what we had initially...not what we had initially thought.

While not conclusive, the relative success of the Breakthrough Schools suggests that it is more effective to concentrate greater amounts of resources on a smaller number of schools that are selected in part for their capacity to implement the reform well. It is less effective to distribute relatively small amounts of resources among a very large number of schools that have been selected with less discrimination.

### ***What Resources Are Needed?***

The literature on school change and this research on the Chicago Challenge indicate that resources matter a great deal in the promotion of improvement among individual schools or groups of schools, particularly among those that are underresourced. This study suggests that financial resources are important to school improvement; that the provision of stable financial support over time may be associated with ongoing improvement and that the loss of resources, particularly early on in the reform, may slow or terminate improvement. Recall that most Annenberg schools received two or maybe three years of “full” support before 1999, at which point the Challenge reduced substantially its general financial support. As will be discussed later in this section, two to three years is not a lot of time for the hard, steady work required to improve schools.

It is important to note that the particular resources that are needed to promote school improvement are likely to depend on a number of considerations. The type and amount of the resources are likely to be contingent upon what resources the system already has and what resources are at the school’s command. Schools and school systems that are poorly funded may need substantially more financial support than those that have more money at their disposal. Schools that are weak in organizational and human capacity may need additional personnel support and central guidance. The type and amount of resources would also depend on the

ambitiousness of the improvements that are sought. The assumption is that the more ambitious the improvement, the more resources are required.

That said, this research provides little guidance as to how much money may be needed to promote lasting improvement in individual schools or among a large number of schools in an underresourced urban system like Chicago's. The highest level of average per-school funding that the Challenge provided was only about 1 percent of an elementary school's annual operating budget. Certainly, this money was helpful—Annenberg principals and External Partners said as much on surveys and in interviews. Still, in underresourced urban schools, the average amount of money the Challenge allocated provided very little support relative to the likely need. As the Breakthrough School initiative suggests, the Challenge might have had more success allocating larger amounts of resources to a smaller number of schools with greater capacity to engage in its particular approach to reform.

The findings presented here suggest that while financial resources are important to the improvement of individual schools and groups of schools, how the money is spent matters more. It was beyond the scope of this work to engage in an in-depth study of network and school-level budgets. Field research and interviews with External Partners reveal that Annenberg funds were used to support a wide range of activities, some of which helped promote school improvement and some of which did not. The field research documented schools that were rich in accumulated resources but made little productive use of them. It also documented schools that strategically acquired and allocated their funds to align with and support their school improvement goals and activities. As shown in comparisons of improving and nonimproving schools in Part Two, it was the strategic acquisition, allocation, and alignment of resources rather than mere acquisition that seemed to be associated with individual school improvement.

Another insight from this research is that money appears to be a necessary but insufficient resource to promote and support the improvement of individual schools and groups of schools. The nature of the external support that is provided is also

important. This study identified several sources of external professional support that might be important to school improvement, from External Partners, to relationships among teachers and principals at different schools, to the Challenge itself as the central sponsoring agent of development. Findings pointed to the importance of external experience and expertise in developing strong “theories” of change and effective school improvement strategies. They pointed to the importance of social capital—the resources of trust, shared expectations, and mutual accountability—that come from strong working relationships. The findings also suggested that political capital was important to help buffer schools from conflicting external influences and to link them with still other resources to promote improvement. As was the case with the Breakthrough Schools, it seems to be a combination of strong and varied resources that are sustained for some period of time that matters most to school improvement.

Finally, this study points to the importance of alignment or coherence among resources for successful school improvement. The findings revealed difficulties that school personnel and Partners faced as they tried to promote improvement in schools with multiple, conflicting programs and reform initiatives. Problems occurred when local school improvement initiatives and the work of the Challenge itself as a large-scale initiative conflicted with the school system’s policies. As an earlier report on instructional program coherence demonstrated, and as the cases of improving and nonimproving schools in Part Two illustrate, school improvement, be it in individual schools or among a large group of schools, appears to be enhanced when resources and action cohere around a shared agenda.<sup>118</sup> When resources and action are fragmented and pull schools in multiple and perhaps conflicting directions, improvement is less likely to occur.

### *Working With or Against the System?*

The Chicago Challenge promoted local school reform, but it also had an agenda to change the school system (a matter that was not explored in the research). As such, it was designed to be “in the system” but not “of the system.” It was set up to work

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<sup>118</sup> Newmann et al., (2001b).

against the bureaucracy and centralized policies and practices that were believed to be constraining local school governance and improvement. However cooperative the Challenge's initial relationship with the CPS central administration was when the Challenge was founded, the 1995 reform changed everything. As discussed in Part One, both structurally and politically, the Challenge had difficulty developing a productive working relationship with the system's central administration after 1995. While its leadership sought to cultivate a working relationship with CPS leadership, it never achieved a level of cooperation that might have been conducive to its efforts to promote local school improvement.

The experience of the Chicago Challenge raises a dilemma in thinking about the relationship between large-scale reform initiatives and the school systems in which they operate. On one hand, the critical perspective of central system bureaucracy that the founders of the Challenge held had substantial merit. The failures of the CPS central administration and its lack of accountability were legion; they were primary reasons for adoption of both the 1988 and 1995 reforms.<sup>119</sup> So, there was a strong argument to be made that the system and its central administration were legitimate targets for reform. At the same time, lessons from experience and numerous studies of other reform initiatives conclude that efforts to improve both individual and large groups of schools are unlikely to be successful, at least for very long, without the school system's support. In his reflections on 40 years of research on school reform, Matthew Miles observed that large-scale reform initiatives require continued close central-local interaction.<sup>120</sup> Local changes need to be embedded in stable and supportive system-level routines and linked well to system policies.

Reform advocates face the dilemma of how to be partners with a system in order to support improvement across a large number of schools and, at the same time, confront and challenge the system itself to change. It may be extraordinarily difficult to manage this dilemma, but a minimal condition for success seems to be

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<sup>119</sup> For example, see Hess (1991) and Shipps, Kahne, and Smylie (1999).

<sup>120</sup> Miles (1993).

constructive interaction between reform and system leadership and a direct engagement of the dilemma. In the case of the Chicago Challenge, there was neither a history of constructive interaction nor the engagement of the issues related to the relationship between the reforms supported by the Challenge and those advanced by the school system. As a result, the conflicts and contradictions between the two were played out in the schools, often to the detriment of improvement efforts supported by the Challenge.

### *When Are We Going to Get There?*

An additional issue raised by the Chicago Annenberg experience concerns the amount of time that may be required to promote and sustain school improvement. The literature on educational change is replete with warnings that reform involves long, steady work.<sup>121</sup> It is a slow process.<sup>122</sup> Research on the implementation of comprehensive reform models reports that it can take years before teachers understand what a new reform fully entails.<sup>123</sup> Researchers have given various estimates of the amount of time required for schools to fully implement and institutionalize different types of reform. For example, Henry Levin estimated that it takes approximately six years for a school to transform completely into an Accelerated School.<sup>124</sup> Nancy Haynes concluded that it can take five to seven years to institutionalize the Comer School Development model.<sup>125</sup> In their study of the development of New American Schools, Susan Bodilly and Mark Berends found that even after three years, many New American Schools' designs were only partially implemented.<sup>126</sup> Michael Fullan contends that it takes at least three years to turn around a poorly performing elementary school and six years to turn around a poorly

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<sup>121</sup> Elmore and McLaughlin (1997).

<sup>122</sup> Cohen (1994) and Cuban (1984).

<sup>123</sup> Bodilly (1998).

<sup>124</sup> Levin (1991) and Murphy and Datnow (2003).

<sup>125</sup> Haynes (1998).

<sup>126</sup> Bodilly and Berends (1999).

performing high school.<sup>127</sup> Linda Darling-Hammond and Theodore Sizer have estimated that it can easily take 10 years to completely reform a single school.<sup>128</sup>

The literature on school change indicates that the implementation of new reforms can be undermined if support for them is withdrawn prematurely.<sup>129</sup> From their study of “theory-based reforms,” Milbrey McLaughlin and Dana Mitra wrote that the sustainability of these efforts depends not only on an ongoing, adequate base of resources, but on several other conditions as well.<sup>130</sup> These include what reform advocates learn from taking a reform idea and putting it into practice and what actions they may take to adapt the reform to the specific conditions in which it is to be implemented. They also cite as necessary a thorough understanding among school personnel of the reform’s underlying principles, the support of the community of practice within the school, a knowledgeable and supportive principal, and a supportive district context. Developing these conditions may take a substantial amount of time and effort.

In 1999, the Challenge began its Breakthrough School initiative and, at the same time, reduced the amount of resources it provided to other Annenberg schools. As of 1999, most Annenberg schools had received only two or three years of support from the Challenge. While there was evidence that Annenberg schools as a group were beginning to develop in some areas of the Essential Supports at a rate greater than non-Annenberg schools, there were also doubts among members of the Challenge staff and its Board of Directors that continuing this course of action—supporting a large number of schools that implemented a wide variety of local initiatives, some better than others—would result in much overall success. These doubts fueled the Breakthrough School initiative. It is not clear that the initial improvement among Annenberg schools would have grown had the Challenge stayed its initial course. By most estimates in the literature, it would be unreasonable to expect to see much

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<sup>127</sup> Fullan (2001).

<sup>128</sup> Darling-Hammond (1990) and Sizer (1992).

<sup>129</sup> Bodilly (1996); Muncey and McQuillan (1996).

<sup>130</sup> McLaughlin and Mitra (2001).

change in only two or three years. What the data from this study show, however, is that the reduction of support for non-Breakthrough Annenberg schools coincided with a loss of these initial improvements. The evidence also shows that Breakthrough Schools, who were provided sustained support for a total of four or five years, were able to build upon initial improvements and achieved greater overall success in some areas.

It is easy to become impatient with efforts to improve both individual schools and large groups of schools. It is not uncommon to set unreasonable goals and unreasonable timelines to achieve those goals. It is commonplace to abandon reform initiatives before enough time has passed for them to take hold and succeed or fail. It is also commonplace to move from one reform to another without taking enough time to study and learn from them.<sup>131</sup> While it may be foolish to spend too much time and too many resources on bad reform strategies, it is also foolish to give up prematurely on potentially effective ones. There remains a great deal to learn about promoting large-scale school improvement, particularly in underresourced urban public school systems like Chicago's. While the Chicago Annenberg Challenge did not achieve widespread improvement in the schools it supported, its experience leaves a legacy of important lessons that may guide future initiatives toward more productive strategies and away from less productive ones.

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<sup>131</sup> See Slavin (1989).



# Appendices

Appendix A. Chicago Annenberg External Partners and the Numbers of Schools in Their Networks

Appendix B. Indicators of High and Low States of Development on the Model of Essential Supports for Student Learning

Appendix C. Longitudinal Field Research Methods

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## Appendix A

### Chicago Annenberg External Partners and the Numbers of Schools in Their Networks

External Partners	No. of Schools
Academic Development Institute	3
Association of Illinois Middle Level Schools	3
Beverly Area Planning Association	6
Chicago Children’s Museum	3
Chicago Metropolitan History Education Center	4
Chicago State University	8 <sup>a</sup>
Chicago Symphony Orchestra	3
Chicago Teachers Union—Quest Center	3
Coalition for Improved Education in South Shore	9
Coalition of Essential Schools Regional Center at Chicago	6
Columbia College—Science Institute	3
DePaul University School of Education	4
Designs for Change	5
Erickson Institute	3
Facing History and Ourselves	3
Garfield Park Conservatory Alliance	4
Governors State University	3
Great Books Foundation	4
Hug-A-Book	3
Illinois Future Problem Solving	5
Illinois Learning Partnership	3
Illinois Resource Center	3
Imagine Chicago	4
Kohl Children’s Museum	3
Logan Square Neighborhood Association	5
Loyola University	4
National Louis University—Center for City Schools	4
National Louis University—Faculty	6
Near Northwest Neighborhood Association	5
Northeastern Illinois University—Chicago Teachers Center (Group A)	3
Northeastern Illinois University—Chicago Teachers Center (Group B)	3

**Chicago Annenberg External Partners and the  
Numbers of Schools in Their Networks (continued)**

External Partners	No. of Schools
Northeastern Illinois University—Chicago Teachers Center (Group C)	3
Northeastern Illinois University—Chicago Teachers Center (Group D)	3
Northeastern Illinois University—Chicago Teachers Center (Group E)	4
North Lawndale Learning Community	9
Participation Associates	3
People’s Reinvestment Development Effort	3
Roosevelt University	5
Success for All Foundation	3
Suzuki-Orff School for Young Musicians	4
Teachers Task Force	3
University of Chicago—Center for School Improvement	8
University of Illinois at Chicago—Small Schools Workshop	15 <sup>b</sup>
Whirlwind Performance Company	3
Youth Guidance	12

*Source:* Chicago Annenberg Challenge. This list contains External Partners of networks receiving implementation grants in 1999. All but two of these partners continued to receive support through 2001, the last year of the Challenge.<sup>a</sup> These eight schools are schools within four larger schools.<sup>b</sup> These 15 schools include some independent small schools as well as small schools within nine larger schools.

## Appendix B

### Indicators of High and Low States of Development on the Model of Essential Supports for Student Learning

Essential Support	Low State	High State
High Quality Instruction	<ul style="list-style-type: none"> <li>• Curriculum characterized by slow pacing and a great deal of review and repetition.</li> <li>• Instruction is aimed only at mastery of basic skills.</li>   <li>• High quality instructional materials are not available or not used.</li> <li>• There are many disruptions to instruction.</li> </ul>	<ul style="list-style-type: none"> <li>• Curriculum is well-paced and coordinated across classrooms and grade levels.</li> <li>• Instruction is aimed at student mastery of challenging intellectual work and basic skills.</li> <li>• High quality instructional materials are used.</li>   <li>• Instructional time is protected from interruption.</li> </ul>
Student-Centered Learning Climate	<ul style="list-style-type: none"> <li>• School is disorderly with many disruptions.</li> <li>• Students feel physical/psychological risk or danger.</li> <li>• Impersonality and alienation characterize teacher-student relations.</li> <li>• Teachers hold low academic expectations for students.</li> <li>• Students find their peers give them little support for academic learning.</li> </ul>	<ul style="list-style-type: none"> <li>• School is orderly.</li>   <li>• Students feel physically and psychologically safe.</li>   <li>• Personalism and belonging characterize teacher-student relations.</li> <li>• Teachers hold high academic expectations for students.</li> <li>• Students find high peer support for academic learning.</li> </ul>

Essential Support	Low State	High State
School Leadership	<ul style="list-style-type: none"> <li>• Principal is exclusive leader.</li> <li>• Decision making is authoritative.</li> <li>• Teachers do not meet regularly to plan improvements.</li> <li>• Leadership does not work to protect school from disruptive influences.</li> <li>• Principal fails to articulate, communicate plans and goals of organization.</li> <li>• Leadership lacks focus or focus is not on instruction.</li> <li>• Lack of accountability is the norm.</li> <li>• Principal fails to help teachers obtain professional development.</li> <li>• The school is poorly managed and chaotic.</li> </ul>	<ul style="list-style-type: none"> <li>• Leadership is broad based and includes principal, teachers, others.</li> <li>• Decision making is democratic and shared.</li> <li>• Teachers work to plan improvements regularly.</li> <li>• Leadership buffers school from disruptions.</li> <li>• Principal articulates, communicates plans and goals of organization.</li> <li>• Leadership focuses on instruction.</li> <li>• Principal and teachers take responsibility.</li> <li>• Principal promotes the development of teachers.</li> <li>• The school is efficiently managed and runs on schedule.</li> </ul>
Teacher Professional Community	<ul style="list-style-type: none"> <li>• Teachers' vision and goals are ambiguous or not shared.</li> <li>• Teachers are unable to articulate their goals and lack a common language.</li> <li>• Social groups are fragmented subcultures at the school.</li> <li>• Teachers are isolated from each other and do not share reflective dialogue, inquiry, or joint work.</li> </ul>	<ul style="list-style-type: none"> <li>• Teachers share a clear vision and goals.</li> <li>• Teachers use a common language to articulate their vision and goals.</li> <li>• There is normative coherence among social groups and subcultures at the school.</li> <li>• Teachers collaborate through reflective dialogue, inquiry, and joint work.</li> </ul>

Essential Support	Low State	High State
Teacher Professional Community (continued)	<ul style="list-style-type: none"> <li>• Teachers feel responsibility and accountability only to themselves.</li> <li>• Teachers have limited communication channels.</li> <li>• There are limited structures and time for collaboration.</li> <li>• There are disruptive, counterproductive political and intellectual tensions.</li> </ul>	<ul style="list-style-type: none"> <li>• Teachers feel that they have a shared responsibility and accountability.</li> <li>• Teachers have expansive communication channels.</li> <li>• There are sufficient structures and time for collaboration.</li> <li>• There are productive political and intellectual tensions.</li> </ul>
Parent and Community Involvement	<ul style="list-style-type: none"> <li>• Students lack parent support for learning at home.</li> <li>• The principal fails to draw on community resources and institutions for school.</li> <li>• School conducts little outreach to parents as resources.</li> </ul>	<ul style="list-style-type: none"> <li>• Parents strongly support student learning at home.</li> <li>• The principal actively draws on community resources and institutions for school.</li> <li>• School actively reaches out to parents as resources.</li> </ul>
Relational Trust	<ul style="list-style-type: none"> <li>• Teachers and principal feel distrust, cynicism.</li> <li>• Teachers feel distrust and cynicism toward each other.</li> <li>• Teachers and parents feel distrust, cynicism.</li> <li>• Teachers and students feel distrust, cynicism.</li> </ul>	<ul style="list-style-type: none"> <li>• Teachers and principal feel trust, optimism.</li> <li>• Teachers feel trust and optimism toward each other.</li> <li>• Teachers and parents feel trust and optimism.</li> <li>• Teachers and students feel trust and optimism.</li> </ul>
School Instructional Program Coherence	<ul style="list-style-type: none"> <li>• Instructional programs have different and sometimes divergent goals.</li> <li>• There are so many programs that teachers cannot keep track of them.</li> </ul>	<ul style="list-style-type: none"> <li>• Instructional programs share common focus.</li> <li>• There is a small enough number of programs that teachers can keep track of them.</li> </ul>

## **Appendix C**

### **Longitudinal Field Research Methods**

In this appendix we describe in detail the procedures we used to select our school field research sites, our data collection procedures, and our methods of analysis.

#### *Selection of Sites*

In 1996 and 1997, more than 40 networks of schools and External Partners were awarded multi-year implementation grants by the Chicago Annenberg Challenge. These networks included between 200 and 220 elementary, middle, and high schools, approximately 90 percent of which were elementary schools. From these networks and schools, we selected an initial sample of 11 networks and 23 field research schools. As we described in Part One, sample selection began with the networks. We selected networks with diverse organizational foci, networks with both newly formed and well-established relationships with schools, and networks with different types of External Partners (e.g., universities, community organizations, and cultural institutions). We then selected two or three schools as research sites from each of these networks. One to two schools were chosen because of their promise for working well with their External Partners and succeeding in their efforts to develop. An additional school was chosen because of indications that it might struggle to succeed. Our intention was to create a purposive sample of schools that would allow us to understand reasons for more or less successful development. Our site selections were informed by Consortium survey data and assessments from the External Partners of the networks we sampled.

We selected our sample of networks and schools in two stages. A first group was selected in the fall of 1996 from the networks and schools that received the first round of Annenberg funding. A second group was selected in the fall of 1997 from those receiving funding in the second round. In all, our sample included 18 elementary and middle schools and five high schools. By the end of the 2000-01 school year, the end point of analysis for this report, we collected five years of field research data from about half of the networks and schools in our sample; we collected three years of field research data in the other half.

In this report we focus particular attention on 12 elementary schools. We chose not to focus on high schools for two reasons. First, high schools represented only 10 percent of schools supported by the Challenge. Second, our high school data were not as comprehensive as our elementary school data. We also did not include six of the 18 elementary/middle school sites in our analyses for this report. These schools either dropped out of the study, were dropped from the study because of lack of

improvement activity, or did not participate fully in our field research and their data were not as complete as other schools. We chose to focus on those schools with the most complete evidence available.

Although we did not intend to select a group of schools that was demographically representative of all Annenberg schools, the 12 schools that made up our field research sample were quite typical of schools across Annenberg and the system as a whole. In addition, the External Partners working with these field research schools were generally representative of the different types of partners participating in the Challenge. Our field research schools also reflected the demographic characteristics of the system in general. Of the 12 elementary schools studied for this report, six enrolled primarily African-American students, three enrolled primarily Latino students, three enrolled a combination of both African-American and Latino students (at least 85 percent of the total enrollment), and two enrolled a more mixed group that included between 15 and 30 percent white students.

On average, 32 percent of students in our field research schools scored at or above the national average in reading on the 1999 Iowa Tests of Basic Skills (ITBS), and 37 percent scored at this level in math. Our field research schools ranged from 17 to 60 percent of students at or above the national norms on the ITBS in reading and 16 to 78 percent of students at or above national norms in math. Average student enrollment for the schools was 900, ranging from 600 to 1,600 students.

### *Data Collection*

Data collection took place between 1996-97 and 2000-01 school years. Baseline data collection took place in the 1996-97 or 1997-98 school year, depending on when the schools were awarded their implementation grants. The second major data collection point was in 1998-99. The third and last major data collection point was in the 2000-01 school year. For the description that follows, we refer to the 1996-97 school year as Year 1, 1997-98 as Year 2, 1998-99 as Year 3, 1999-2000 as Year 4, and 2000-01 as Year 5.

Field research data collection was designed to document (a) the state of schools' development on the Essential Supports at specific points in time; and (b) both Annenberg activities and schools' own development activities. As noted above, because of the two different stages of Annenberg grant making, our documentation of individual schools' development activity took place in either Years 1, 3 and 5 or Years 2, 3 and 5. About half of our schools fall in each category. Annenberg and other school development activities were documented each year.

Our data came from several sources, including interviews with teachers, school administrators, Local School Council (LSC) members, Annenberg External

Partners and Challenge staff; classroom observations and observations of Annenberg and other school development activities; documents related to Annenberg activity and school development (e.g., School Improvement Plans and reports prepared for the Challenge). The data we drew upon for this report included interviews and/or observations from 348 grade three, six and eight teachers and interviews from 225 other school staff. We interviewed an average of 22 people at each school each year. We also drew from school documents and school case reports written by Project researchers. Schools and staff members were promised anonymity in all reports of findings.

Field research was conducted during the academic year, with interview and observation data typically collected between October and March. Researchers wrote detailed case reports for each of their schools describing their state of development at primary data collection years. Because of the two-stage sampling, case reports were written for about half of the schools for Years 1, 3 and 5 and for the other half for Years 2, 3 and 5. Vignettes describing each school's development activity were also prepared.

Dozens of researchers from more than eight Chicago-area colleges and universities assisted with the field research. A team of one lead researcher and one research assistant was assigned to document the development of each school in the study. Two-thirds of the lead researchers were faculty members at local universities. Two-thirds of the research assistants were graduate students at local universities. The research assistants had the most continuous contact with the schools (up to ten hours per week during periods of data collection) and the lead researchers had the primary responsibility for writing the case reports. The authors of this report were involved in each phase of the field research and also conducted interviews, observed classroom and development activity, and wrote case reports and vignettes.

### *Data Analysis*

In this and other Project reports, the Model of Essential Supports for Students Learning framed our definition of school development and guided our data collection and analyses. The Model delineates key areas of school organization and practice that are strongly related to student achievement.

Three of the authors of this report served as the primary field research data analysts. In their analyses they used the interviews, case studies, and documentary evidence gathered by field researchers for each school in the study. Indicators for high and low states of development on the Model of Essential Supports were used to code the data and determine the extent to which the field research schools developed over time (see Appendix A). In addition, they examined these data to identify emergent themes and patterns concerning the promotion and support of school development.

Analyses of field research evidence were complicated by the contextual nature of the data. It was sometimes difficult to make clear-cut determinations of the levels of development on the Essential Supports. Therefore, the analysts independently rated the field research schools in terms of their strengths and weaknesses on each Essential Support and assessed how these levels changed over time. In general, a school was considered strong on an Essential Support if the evidence was indicative of our definition of that Support's high state of development (see Appendix A). That is, there was evidence that the Support was present and *reasonably well established* at the school. A school was considered weak on a particular Support if evidence was indicative of our definition of a low state of development. Schools were considered moderate in their development if they fell somewhere in between; that is, the evidence indicated that the Support's level fell between our definitions of high and low states or the Support's qualities were not reasonably established. Authors discussed any disagreements in their independent ratings and, where necessary, engaged in additional data analysis to reach consensus.

Field researchers were asked to verify the ratings their particular schools received and to check the factual accuracy of information about their schools that were used in this report. Researchers were also asked to review the emergent themes and patterns of the promotion of school development and compare them to what was taking place in their school.

# Appendix D

## Survey Research Methods

In the spring of 1997, 1999, and 2001, the Consortium surveyed CPS teachers, principals, and students in grades six through ten. Similar surveys were administered to teachers and students in spring 1994. In 2001, 59,663 elementary school students and 8,572 elementary school teachers completed surveys, representing 365 of the total of 492 elementary schools across the CPS system. Of the elementary school principals, 278 of the 492 provided usable surveys. We conducted a series of analyses for possible non-response bias among teachers, students, and schools in terms of basic school demographic characteristics (e.g., race/ethnicity, percent low income students, etc.). Overall, we found that the survey sample is representative of schools across CPS. For this report, we analyzed teacher and student survey data from 365 elementary schools and principal survey data from 278 schools.

Separate analyses were performed on each measure of each Essential Support and non-academic student outcome to determine whether there were changes in the measures from 1997 to 1999, from 1999 to 2001, and from 1994 to 2001 (see Appendix E for detailed descriptions of the measures used in these analyses). Annenberg elementary schools were compared to demographically similar non-Annenberg schools on each measure for each survey year (1994, 1997, 1999, 2001). Analyses were also performed to determine whether changes in the measures among Annenberg schools were different from changes in the measures among non-Annenberg schools between 1997 to 1999, 1999 to 2001, and 1994 to 2001.

We used three-level hierarchical linear models (HLMs) to make these comparisons, with each survey measure acting as the dependent variable in each separate model. Data were structured with a case for each respondent for each survey year (1994, 1997, 1999 and 2001) at Levels 1 and 2, and for each school at Level 3. The Level 1 model was used to weight each respondent's score, given the standard error in that person's measure. Level 2 models estimated variation in the measure among respondents within the schools, while Level 3 models estimated differences across schools. The models were constructed as follows.

### Level-1 Model

$$Y = P_1*(WGT94) + P_2*(WGT97) + P_3*(WGT99) + P_4*(WGT01) + e$$

## Level-2 Models

$$\begin{aligned}P_1 &= B_{10} + r1 \\P_2 &= B_{20} + r2 \\P_3 &= B_{30} + r3 \\P_4 &= B_{40} + r4\end{aligned}$$

## Level-3 Models

$$\begin{aligned}B_{10} &= G_{100} + G_{101} \dots G_{1014}(\text{DemographicVariables}) + G_{1015}(\text{Annenberg dummy}) + u_{10} \\B_{20} &= G_{200} + G_{201} \dots G_{2014}(\text{DemographicVariables}) + G_{2015}(\text{Annenberg dummy}) + u_{20} \\B_{30} &= G_{300} + G_{301} \dots G_{3014}(\text{DemographicVariables}) + G_{3015}(\text{Annenberg dummy}) + u_{30} \\B_{40} &= G_{400} + G_{401} \dots G_{4014}(\text{DemographicVariables}) + G_{4015}(\text{Annenberg dummy}) + u_{40}\end{aligned}$$

At Level 1, a measurement model was run for each person in each school to determine the most accurate estimation of that person's score on the measure, given the standard error of their measure (determined through Rasch analysis by their response pattern to the items in the question) and the average score for the school. The dependent variable (Y) was the person's score on the measure divided by the standard error on the measure. This was predicted with the inverse of the standard error on the measure, multiplied by dummy variables (scored one or zero) representing each of the survey years (WGT94, WGT97, WGT99, WGT01). That is, if the survey response for a particular case was from the 1997 survey, the values of WGT94, WGT99, and WGT01 for that case would be zero, while the value of WGT97 would be the inverse of the standard error of the measure for that person. The coefficient associated with the weight for the corresponding survey year ( $P_1, P_2, P_3, P_4$ ) represents the best estimate of that person's true score on the measure in that year.

At Level 2, models were run within each school to determine the average score for the school on the measure for each year. Each of the coefficients from level one ( $P_1, P_2, P_3, P_4$  – the best estimates of each person's true score on the measure) is modeled without any predictors. The intercepts ( $B_{10}, B_{20}, B_{30}, B_{40}$ ) represent the average score on the measure for each school for each year.

Level 3 compared schools' average scores ( $B_{10}$ ,  $B_{20}$ ,  $B_{30}$ ,  $B_{40}$ ) controlling for a number of demographic variables and a variable representing Annenberg affiliation. Demographic variables used for controls included the following: an index of the level of crime around the school neighborhood (developed from police department records on total incidence of crimes by location), the school's average ITBS scores in 1994, average social status of adults in the school neighborhood (developed from 1990 census items on the percentage of employed persons who are managers, executives, etc., and the education levels of adults over 25 years old), average housing tenancy in the school neighborhood (from 1990 census data), average poverty in the school neighborhood (developed from 1990 census items on the percentage of adult males unemployed and the percentage of families below the poverty line), percentage of limited-English proficiency students in the school in 1997, percentage of low-income students in the school in 1997, mobility rate of students in the school in 1997, and dummy variables representing the racial composition of the school (predominantly African-American, predominantly Latino, racially mixed but not integrated, and mixed minority, with integrated as the excluded group), and a dummy variable representing small school enrollment. All of the predictor variables were centered on the grand mean so that the intercepts ( $G_{100}$ ,  $G_{200}$ ,  $G_{300}$ ,  $G_{400}$ ) represented the average score for the measure across all schools for 1994, 1997, 1999, and 2001, respectively. Dummy variables representing Annenberg affiliation and Breakthrough schools were also entered as predictors to discern any difference in the average score among Annenberg schools compared to other schools, controlling for demographic variables. These dummy variables were centered around zero according to their representation in the system (e.g., Annenberg = 0.3, non-Annenberg = -0.7) so that the equation intercepts represented the average for the system as a whole.

The significance levels of the coefficients for the Annenberg dummy variable were used to determine whether Annenberg schools differed from non-Annenberg schools on the survey measure for each year. Contrast tests were performed to determine the answers to the other questions. To determine whether there was a significant level of change in the measure in the overall system from 1999 to 2001, a contrast was performed between the intercepts for 1999 and 2001 ( $G_{300}$  and  $G_{400}$ ). To determine whether Annenberg schools experienced a different rate of change in the measure than non-Annenberg schools, another contrast was performed between the coefficients associated with the Annenberg variable for 1999 and 2001 ( $G_{3015}$  and  $G_{4015}$ ). Comparable analyses were performed on each measure to identify changes in measures among Breakthrough Schools and to compare changes among Breakthrough Schools to changes among other Annenberg schools. A 0.01 level of probability was used to define statistical significance, except where noted in our analyses of Breakthrough Schools.

Detailed findings of these analyses are reported below in Appendix H. These findings are presented in terms of between group mean comparisons over time and standardized change units. The calculations of these change units, which are similar to effect size units, are described in Appendix H.

# Appendix E

## Measures Used in Survey Analyses

The statistical analyses performed for this report used Rasch measures of student social and psychological outcomes and of different elements of the Model of Essential Supports for Student Learning. These measures were developed by the Consortium on Chicago School Research from its 1994, 1997, 1999, and 2001 surveys. The measures consist of three to 15 survey items and range on a scale from 1 to 10. Negatively worded items or items that reflect the opposite of the phenomenon being measured were reversed for measure construction. This appendix provides definitions, internal reliability coefficients, and items for each of these measures. The reliability coefficients are for 1999 measures. It also provides definitions and cut points for the substantive scale categories of each measure. There are slight differences in the items used to construct these measures from year to year but these differences are not consequential conceptually or statistically. Additional information about these measures and their construction is available from the Consortium on Chicago School Research.

### Measures of Student Social and Psychological Outcomes

**Student Academic Engagement.** This is a measure of students' interest and engagement in learning, their interest in the topics they study, and their participation in the classroom generally. High levels indicate that students are highly engaged in learning. (Reliability coefficient = 0.66)

**Items:** Students agree or disagree that:

- I often count the minutes until class ends.
- I get so interested in my work I don't want to stop.
- I usually look forward to class.
- I am usually bored with what we study in this class.
- The topics we are studying are interesting and challenging.
- I work hard to do my best in this class.

Categories and Cut Points	In this school:
<p style="text-align: center;"><b>None</b></p> <p style="text-align: center;">0.00 to 2.41</p>	Students <b>disagree</b> or <b>strongly disagree</b> that they try hard to do their best and find their math topics interesting; the <b>strongly disagree</b> that they are not often bored in class, they are so interested in the work they don't want to stop, and they do not often count the minutes until class ends.
<p style="text-align: center;"><b>Limited</b></p> <p style="text-align: center;">2.41 to 4.65</p>	Students <b>agree</b> that they try hard to do their best; some agree and others disagree that their topics are interesting; however, they disagree that they are not often bored in class, they are so interested in the work that they don't want to stop, and they do not often count the minutes until class ends.
<p style="text-align: center;"><b>Moderate</b></p> <p style="text-align: center;">4.65 to 7.12</p>	Students <b>agree</b> or <b>strongly agree</b> that they work hard to do their best; they <b>agree</b> with the other items.
<p style="text-align: center;"><b>High</b></p> <p style="text-align: center;">7.12 to 10.00</p>	Students <b>strongly agree</b> with all items on the scale.

**Student Classroom Behavior.** This is a measure of students' assessments of their peers' classroom behavior with regard to how they treat each other, how often they disrupt class, if they have respect for each other, and if they help each other learn. High levels indicate that positive behaviors are more prevalent and problem behaviors are less so. (Reliability coefficient = 0.61).

*Items:* Students agree or disagree that other students in their class:

- Like to put others down.
- Just look out for themselves.
- Treat each other with respect
- Really care about each other.
- Get along together very well.

Categories and Cut Points	In this school:
<p><b>Very negative</b></p> <p>0.00 to 2.81</p>	Students <b>strongly disagree</b> with all items on the scale.
<p><b>Negative</b></p> <p>2.81 to 5.31</p>	Students <b>disagree</b> with all items on the scale, except that some students <b>strongly disagree</b> that students do not disrupt class.
<p><b>Moderately positive</b></p> <p>5.31 to 7.81</p>	Attendants <b>agree</b> or <b>strongly agree</b> that students who do well are not made fun of, and students work together to solve problems, help each other learn, get along well, care about each other, and treat each other with respect; they <b>agree</b> that students do not look out just for themselves, and do not like to put others down; some <b>agree</b> and some <b>disagree</b> that students do not disrupt class.
<p><b>Very Positive</b></p> <p>7.81 to 10.00</p>	Students <b>strongly agree</b> with all items on the scale.

**Student Social Competence.** This is a measure of students' impressions of their ability to help people end arguments; listen carefully; and share, help, and work well with each other. High levels indicate that students feel comfortably in a wide range of social situations. (Reliability coefficient = 0.69)

*Items:* Students agree or disagree that:

- I can always find a way to help people end arguments.
- It's easy for me to make suggestions without being bossy.
- I listen carefully to what other people say to me.
- I'm very good at working with other students.
- I'm good at taking turns and sharing things with others.
- I'm good at helping people.

Categories	In this school:
<b>None</b>	Students <b>strongly disagree</b> with all items on the scale.
<b>Weak</b>	Students <b>disagree</b> that they are good at helping people, taking turns, working with other students, they know how to disagree without starting a fight, listen carefully to what others say, and find it easy to make suggestions without being bossy; they <b>disagree</b> or <b>strongly disagree</b> that they can always find a way to help people end arguments.
<b>Moderate</b>	Students <b>agree</b> that they are good at helping people, taking turns, working with other students, that they know how to disagree without starting a fight, listen carefully to what others say, and find it easy to make suggestions without being bossy; some <b>agree</b> and others <b>disagree</b> that they can always find a way to help people end arguments.
<b>Strong</b>	Students <b>strongly agree</b> that they are good at helping people, taking turns, working with other students, they know how to disagree without starting a fight, listen carefully to what others say, and find it easy to make suggestions without being bossy; they <b>agree</b> or <b>strongly agree</b> they can always find a way to help people end arguments.

**Student Self-Efficacy.** This is a measure of students' level of confidence in their academic ability. Items ask students if they believe they can master new skills and succeed at even the hardest tasks if they try. High levels indicate that students feel they can meet high standards. (Reliability coefficient = 0.58)

*Items:* Students agree or disagree that:

- If I try hard, I can understand most of my class work.
- I am certain I can master the skills taught in this class.
- I can do even the hardest work in this class if I try.
- I can do better work than I'm doing now.
- With enough time, I can do a good job on all my work.
- I care if I get a bad grade I this class.

Categories	In this school:
<b>Low</b>	Students <b>disagree</b> or <b>strongly disagree</b> that they care if they get bad grades, can do better than they are now, and can do a good job if they have enough time; they <b>strongly disagree</b> that they can do the hardest work if they try, can master certain skills, and understand all class work if they try hard.
<b>Minimal</b>	Some students <b>agree</b> and some <b>disagree</b> that they care if they get bad grades and can do better than they are now; they disagree that they can do a good job if they have enough time, can do the hardest work if they tried, and can do better than they are; they <b>disagree</b> or <b>strongly disagree</b> that they can master the skills taught in class and understand all class work if they try hard.
<b>High</b>	Students <b>agree</b> or <b>strongly agree</b> that they care if they get bad grades in class, can do better than they are now, and can do a good job if they have enough time; they <b>agree</b> that they can do the hardest work if they try and are certain they can master the skills taught in class; some <b>agree</b> and others <b>disagree</b> that they can understand all class work if they try hard.
<b>Very High</b>	Students <b>strongly agree</b> that they care if they get bad grades in class, can do better than they are now, can do a good job if they have enough time, can do the hardest work if they try, and are certain they can master the skills taught in class; they <b>agree</b> or <b>strongly agree</b> that they can understand all class work if they try hard.

### Instruction Measures

**Demand for Authentic Intellectual Work.** This measure assesses the extent to which teachers report making assignments or creating tasks that require that students engage in authentic intellectual work, study a topic in depth, and produce original work. A high score indicates a teacher who assigned lessons that require challenging intellectual work from students. (Reliability coefficient = 0.76).

*Items:* Teachers report how often, the percentage of their lessons, or the percentage of classroom time the following characterize their instruction:

- Lessons focus on studying a topic in depth, rather than covering basic facts, concepts, or procedures.

- Lessons have students explaining to you or to their classmates how the topic relates to their personal experiences or to a problem in the contemporary world.
- Lessons require students to organize, interpret, evaluate, and use information to produce a piece of original work.
- Analyzing and interpreting literature.
- Differentiating fact from opinion.
- Drawing inferences from expository texts.
- Synthesizing ideas from several texts.
- Understanding the author's perspective.
- Writing tasks in which students must elaborate on their ideas and conclusions with supporting details and evidence and organize these ideas into a coherent progression of sentences and paragraphs.
- Writing tasks in which students must go beyond facts to organize and synthesize information, including consideration of alternative ideas.

Category	In this school:
<b>No Demand</b> 0.00 to 2.15	Teachers never ask students to elaborate their ideas, or organize and synthesize information; <b>spend less than 5 percent</b> of their class time on synthesizing ideas from reading, differentiating fact from opinion, drawing inferences, and analyzing or interpreting literature; <b>less than 10 percent</b> of their lessons deal with studying a topic in depth or producing original work.
<b>Low Demand</b> 2.15 to 5.15	Teachers ask students to elaborate their ideas, and organize and synthesize information less than once a week; <b>spend between 5 percent and 35 percent</b> of their class time on synthesizing ideas from reading, differentiating fact from opinion, and drawing inferences; and <b>more than 50 percent</b> of their time on analyzing or interpreting literature; <b>between 10 percent and 50 percent</b> of lessons deal with studying a topic in depth and producing original work.
<b>High Demand</b> 5.15 to 7.42	Teachers ask students to elaborate their ideas, and organize and synthesize information once or twice a week; spend <b>between 35 percent and 50 percent</b> of their class time on synthesizing ideas from reading, differentiating fact from opinion, and drawing inferences; and <b>more than 50 percent</b> of their time on analyzing and interpreting literature; <b>between 50 percent and 75 percent</b> of lessons deal with studying a topic in depth and producing original work.
<b>Very High Demand</b> 7.42 to 10.00	Teachers ask students to elaborate their ideas, and organize and synthesize information nearly every day; spend <b>more than 50 percent</b> of their class time on synthesizing ideas from reading, differentiating fact from opinion, drawing inferences, and analyzing and interpreting literature; <b>between 75 percent and 100 percent</b> of lessons deal with studying a topic in depth and producing original work.

**Emphasis on Writing.** This measure represents the amount of writing that teachers ask students to do and indicates the overall emphasis that teachers place on writing in their teaching. (Reliability coefficient = 0.85)

*Items:* Teachers report whether at least once a week they have students:

- Write four pages or more.
- Write one to three pages.
- Write one page.

- Edit/revise/publish essays.
- Brainstorm ideas for written work.
- Write one or two paragraphs.

Category	In this school:
<b>None</b> 0.00 to 1.37	Teachers have students write one to two paragraphs <b>once or twice a semester</b> and <b>never</b> assign any longer writing.
<b>Minimal</b> 1.37 to 3.91	Teachers have students write one to two paragraphs <b>once or twice a week</b> and have students revise and edit their writing <b>once or twice a semester</b> , but do <b>no</b> other writing.
<b>Moderate</b> 3.91 to 5.17	Teachers have students write one page <b>once or twice a semester</b> and one to two paragraphs <b>once or twice a week</b> ; they <b>never</b> have students write anything longer, but have the students edit and revise their writing <b>once or twice a month</b> .
<b>Fairly intensive</b> 5.17 to 6.50	Teachers have students write one to two paragraphs <b>nearly every day</b> , one page <b>once or twice a month</b> , and one to three pages <b>once or twice a semester</b> ; they have students edit and revise their written work <b>once or twice a week</b> .
<b>Intensive</b> 6.50 to 7.67	Teachers have students write four pages or more <b>once or twice a semester</b> , one to three pages <b>once or twice a month</b> , and one page <b>once or twice a week</b> .
<b>Very intensive</b> 7.67 to 10.00	Teachers have their students write four pages or more <b>once or twice a month</b> , and one to three pages <b>once or twice a week</b> ; shorter writing is assigned <b>almost every day</b> .

**Didactic Instruction.** This is a measure of the amount of time that teachers devote to whole class, teacher-centered instructional strategies including lecture, recitation, structured call and response, workbook exercises and other forms of individual student work, drill and practice, silent reading and reading aloud to other students, and preparation for standardized tests. *High levels indicate that teachers make greater use of these strategies.* (Reliability coefficient = 0.75)

**Items:** Teachers report how frequently they use or how important they consider using the following strategies in their classrooms:

- Lecture to class for more than half the period.
- Have students memorize facts or procedures.
- Use highly structured call and response activities.
- Have students complete workbook or textbook exercises in class.

- Have students take turns reading out loud.
- Have students read silently.
- Consider multiple choice, true-false tests important for judging how well students are learning.
- Consider short-answer tests important for judging how well students are learning.

Category	In this school:
<b>None</b> 0.00 to 1.60	Teachers <b>never</b> use highly structured call and response exercises, lecture to the class for at least half the period, have students memorize facts and concepts; students read out loud <b>once or twice a semester</b> .
<b>Infrequent</b> 1.60 to 4.38	Teachers do not use highly structured call and response exercises or have students memorize facts more than <b>once or twice of week</b> ; they do not lecture to the class for more than half the period more than <b>once or twice a month</b> , but they may have students read out loud as often as <b>once or twice a week</b> .
<b>Regular</b> 4.38 to 6.95	Teachers use call and response exercises and have students memorize facts and concepts <b>once or twice a week</b> ; they may lecture to the class for more than half the class at least <b>once or twice a month</b> ; and may have students read out loud <b>nearly every day</b> .
<b>Very frequent</b> 6.95 to 10.00	Teachers perform all these practices <b>nearly every day</b> .

**Interactive Instruction.** This is a measure of the amount of time that teachers devote to instructional strategies that involve more student-centered, interactive activities. These activities include having students discuss ideas in class, brainstorm, and use cooperative groups. *High levels indicate that teachers make greater use of these strategies.* (Reliability coefficient = 0.84)

*Items:* Teachers report how frequently they use or how important they consider using the following strategies in their classrooms:

- Assign projects of at least one week's duration.
- Have students work in cooperative groups.
- Have students brainstorm ideas for written work.
- Have students discuss and debate ideas for more than half a period.
- Engage in extended discussion around a key theme.
- Have students talk with one another in pairs or small groups about something they have read.

- Consider group projects important for judging how well students are learning.
- Consider individual projects important for judging how well students are learning.
- Consider student presentation of work important for judging how well students are learning.
- Consider student participation in class important for judging how well students are learning.
- Consider essay tests important for judging how well students are learning.
- Consider open-ended problems important for judging how well students are learning.

Category	In this school:
<p><b>None</b></p> <p>0.00 to 0.71</p>	Teachers <b>never</b> have students discuss what they have read in small groups, use group and individual projects for judging student learning, or assign projects of at least one week's duration; they have students work in cooperative groups <b>once or twice a semester</b> and consider student participation in class <b>not important</b> or <b>somewhat important</b> in judging student learning.
<p><b>Occasional</b></p> <p>0.71 to 3.48</p>	Teachers have students discuss what they have read in small groups not more than <b>once or twice a month</b> ; they consider open-ended problems <b>not important</b> or <b>somewhat important</b> for judging student learning; they have students work in cooperative groups <b>once or twice a week</b> or <b>once or twice a semester</b> , and consider participation in class <b>important</b> in judging student learning, and may assign projects of one week's duration <b>once or twice a semester</b> if at all.
<p><b>Regularly</b></p> <p>3.48 to 5.50</p>	Teachers assign project's of one week's duration <b>once or twice a month</b> , have students discuss what they have read in small groups, and use cooperative groups at least <b>once or twice a week</b> ; they consider student participation in class to be <b>very important</b> in judging student learning.
<p><b>Frequent</b></p> <p>5.50 to 10.00</p>	Teachers have students engage in extended discussion around a key theme, and assign projects of one week's duration at least <b>once or twice a week</b> ; they engage in the other practices <b>nearly every day</b> , and consider the indicators of student learning <b>very important</b> .

## Measures of Student Learning Climate

**Classroom Personalism.** This measure assesses the degree to which students perceive that their teachers give individual attention to and are concerned about their students. Questions ask students if their teachers know and care about them, notice if they are having trouble in class, and are willing to help with academic and personal

problems. *High levels indicate that students perceive a great deal of personalized support from their teachers.* (Reliability coefficient = 0.72)

*Items:* Students agree or disagree that their teacher:

- Relates subject matter to their personal interests.
- Really listen to what they have to say.
- Help them catch up if they are behind.
- Notice if they have trouble learning something.
- Is willing to give extra help on work if needed.
- Believe they can do well in school.

Category	In this school:
<b>None</b> 0.00 to 0.80	Students <b>disagree</b> or <b>strongly disagree</b> that their teachers believe they can do well in school, are willing to give extra help, notice if they are having trouble learning something, help them catch up if they are behind, and really listen to what they have to say; they <b>strongly disagree</b> that their teachers relate subject matter to their personal interests.
<b>Minimal</b> 0.80 to 2.93	Some <b>agree</b> and others <b>disagree</b> that their teachers believe they can do well in school; all <b>disagree</b> that their teachers are willing to give extra help, notice if they are having trouble learning something, help them catch up if they are behind, and really listen to what they have to say; they <b>disagree</b> or <b>strongly disagree</b> that their teachers relate subject matter to their personal interests.
<b>Considerable</b> 2.93 to 5.73	Students <b>agree</b> or <b>strongly agree</b> that their teachers believe they can do well in school; they <b>agree</b> that their teachers are willing to give extra help, notice if they are having trouble learning something, help them catch up if they are behind, and really listen to what they have to say; however, some <b>agree</b> and others <b>disagree</b> that their teachers relate subject matter to their personal interests.
<b>Strong</b> 5.73 to 10.00	Students <b>strongly agree</b> that their teachers believe they can do well in school, are willing to give extra help, notice if they are having trouble learning something, and help them catch up if they are behind; they <b>agree</b> or <b>strongly agree</b> that their teacher listens to what they say and relate the subject matter to their personal interests.

**School Safety.** This measure reflects students' sense of personal safety inside and outside of the school, and traveling to and from school. *High levels indicate that students feel very safe in all these areas.* (Reliability coefficient = 0.62)

*Items:* Students indicate the extent to which they feel mostly safe:

- Outside around the school.
- Traveling between home and school.
- In the hallways and bathrooms of the school.
- In their classes.

Category	In this school:
<i>Not safe</i> 0.00 to 2.56	Students feel <b>somewhat</b> or <b>not</b> safe in their classes and in the hallways and bathrooms; they do <b>not</b> feel safe traveling between home and school and outside around the school.
<b>Somewhat safe</b> 2.56 to 5.81	Students feel <b>somewhat</b> or <b>mostly</b> safe in their classes, in the hallways and bathrooms, and traveling between home and school; they feel <b>somewhat</b> safe outside around the school.
<b>Mostly safe</b> 5.81 to 8.31	Students feel <b>very</b> safe in their classes, and <b>mostly</b> or <b>very</b> safe in the hallways and bathrooms, traveling between home and school, and outside around the school.
<b>Very safe</b> 8.31 to 10.00	Students feel <b>very</b> safe in all these areas.

**Press Toward Academic Achievement.** This measure consists of students' reports about the degree to which their teachers challenge them to meet high expectations for academic performance. Questions ask students if their teachers press them to do well in school, expect them to complete their homework and work hard, give praise, and are willing to give extra help. *High levels indicate that teachers press all students toward academic achievement.* (Reliability coefficient = 0.66)

**Items:** Students agree or disagree with statements that their teachers:

- Encourage extra work when they don't understand something.
- Praise their efforts when they work hard.
- Care if they don't do their work in the class.
- Care if they get bad grades in the class.
- Expect them to do their best all the time.
- Expect them to complete their homework every night.
- Think it is very important that they do well in the class.

Category	In this school:
<b>None</b> 0.00 to 2.47	Students <b>disagree</b> or <b>strongly disagree</b> that their teachers think it is important they do well, expect them to complete their homework and to their best, and care if they get bad grades or don't do their work; they <b>strongly disagree</b> that their teachers praise them when they work hard or encourage them to do extra work when they don't understand something.
<b>Limited</b> 2.47 to 4.33	Students <b>agree</b> and others <b>disagree</b> that their teachers think it is important they do well, expect them to complete their homework and do their best, and care if they get bad grades or don't do their work; they disagree that their teachers praise them when they work hard or encourage them to do extra work when they don't understand something.
<b>Moderate</b> 4.33 to 7.40	Students <b>agree</b> or <b>strongly agree</b> that their teachers think it is important they do well, expect them to complete their homework and do their best, do not put them down, care if they get bad grades or don't do their work, and praise them when they work hard; they <b>agree</b> that their teacher encourages them to do extra work when they don't understand something.
<b>High</b> 7.40 to 10.00	Students <b>strongly agree</b> that their teachers think it is important they do well, expect them to complete their homework and do their best, care if they get bad grades or don't do their work, praise them when they work hard, and encourage them to do extra work when they don't understand something.

**Peer Support for Academic Work.** This measure assesses norms among students with respect to their peers' support of academic work. Questions ask students how many of their peers try hard to get good grades, do homework regularly, pay attention in class, and follow school rules. High levels indicate that students support each other academically. (Reliability coefficient = 0.82)

*Items:* Students report the proportions of students in their class who:

- Think doing homework is important.
- Feel it is important to pay attention in class.
- Feel it is important to attend all their classes.
- Try hard to get good grades.
- Think getting good grades is cool.

Category	In this school:
<b>Minimal</b> 0.00 to 3.69	Few or <b>none</b> of the students in their class think getting good grades is cool, try to get good grades, attend all their classes, pay attention in class, and think doing homework is important.
<b>Limited</b> 3.69 to 5.48	Between <b>about half</b> and <b>most</b> of the students in their class think getting good grades is cool; <b>most</b> try hard to get good grades and attend all their classes; <b>a few</b> or <b>most</b> think doing homework is important and pay attention in class.
<b>Moderate</b> 5.48 to 7.86	<b>Most</b> of the students in their class try hard to get good grades and attend all their classes, and <b>about half</b> or <b>most</b> pay attention in class and think doing homework is important.
<b>Strong</b> 7.86 to 10.00	<b>All</b> of the students in their class think getting good grades is cool, try hard to get good grades, and attend all of their classes; <b>most</b> or <b>all</b> of the students in their class pay attention in class and think doing homework is important.

## School Leadership Measures

**Inclusive Leadership.** This measure reflects teachers' views of their principal as a facilitative and inclusive leader who engages parents and the community in the school, creates a sense of community, and is committed to shared decision making. *High levels indicate that teachers view their principal as a leader who strongly encourages broad participation in school affairs.* (Reliability coefficient = 0.75)

*Items:* Teachers indicate their agreement or disagreement that their principal:

- Is strongly committed to shared decision making.
- Works to create a sense of community in the school.
- Promotes parent and community involvement in the school.

Category	In this school:
<b>Negative</b> 0.00 to 1.72	Teachers <b>disagree or strongly disagree</b> that the principal promotes parent and community involvement and <b>strongly disagree</b> that the principal works to create a sense of community in the school and is committed to shared decision making.
<b>Mixed</b> 1.72 to 3.94	Teachers <b>agree</b> that the principal promotes parent and community involvement; but they <b>disagree</b> that the principal works to create a sense of community in the school or is committed to shared decision making.
<b>Positive</b> 3.94 to 8.96	Teachers <b>agree or strongly agree</b> that the principal promotes parent and community involvement; they <b>agree</b> that the principal works to create a sense of community in the school and is committed to shared decision making.
<b>Very positive</b> 8.96 to 10.00	Teachers <b>strongly agree</b> with all items on this scale.

**Joint Problem Solving.** This measure indicates the extent to which teachers engage in public dialogue to solve problems, specifically whether they use faculty meetings to discuss their alternative viewpoints, and whether there are established processes for making public decisions. *High levels indicate that there is good communication among faculty and that teachers work together to solve problems.* (Reliability coefficient = 0.82)

**Items:** Teachers agree or disagree that:

- Faculty meetings are often used for problem solving.
- The faculty has a good process for making group decisions.
- Many teachers express their personal views at faculty meetings.
- We do a good job talking through views/opinions/values.
- When a conflict arises, we [don't] "sweep it under the rug."

Category	In this school:
<b>Very weak</b> 0.00 to 1.24	Teachers <b>disagree or strongly disagree</b> with all items on the scale.
<b>Weak</b> 1.24 to 4.57	Some teachers <b>agree</b> and others <b>disagree</b> that teachers sweep conflict under the rug; they <b>agree</b> that teachers do a good job talking through views/opinions; they <b>agree or strongly agree</b> that teachers in their school express personal views at meetings, have a good process for solving problems, and use faculty meetings for problem solving.

Category	In this school:
<b>Strong</b>  4.57 to 8.57	Teachers <b>agree</b> with all items on the scale.
<b>Very strong</b>  8.57 to 10.00	Teachers <b>strongly agree</b> that teachers do not sweep conflict under the rug and do a good job talking through views and opinions; they <b>agree</b> or <b>strongly agree</b> that teachers in their school express personal views at meetings, have a good process for solving problems and use faculty meetings for problem solving.

**Teacher Influence on School Decisions.** This measure indicates the extent of teachers' involvement in school decision making. It assesses teachers' influence on the selection of instructional materials, setting of school policy, in-service program planning, discretionary funds spending, and hiring of professional staff. *High levels indicate that teachers have influence on a broad range of issues at the school.* (Reliability coefficient = 0.85)

*Items:* Teachers agree or disagree that they:

- Have some influence in hiring new professional personnel.
- Have some influence in hiring a new principal.
- Have some influence in planning how discretionary school funds are used.
- Have some influence in determining the content of in-service programs.
- Are involved in making the important decisions in this school.
- Have some influence in setting standards for student behavior.
- Have informal opportunities to influence what happens here.
- Have some influence in establishing curriculum and instruction.
- Have some influence in determining books/instructional materials used.

Category	In this school:
<b>Minimal</b>  0.00 to 2.53	Teachers have <b>none</b> or <b>a little</b> influence in determining instructional materials for their class and establishing curriculum programs; teachers <b>disagree</b> or <b>strongly disagree</b> that they feel comfortable voicing their concerns or are involved with making important decisions at the school; and teachers have <b>no influence</b> in determining in-services or teaching assignments, using discretionary funds, determining the school schedule, or hiring a new principal or personnel
<b>Limited</b>  2.53 to 4.76	Teachers have <b>a little</b> or <b>some</b> influence in determining instructional materials for their class; they <b>disagree</b> that they feel comfortable voicing their concerns or are involved in making important decisions at the school; they have <b>a little</b> influence over establishing curriculum programs and determining in-services; they have <b>none</b> or <b>a little</b> influence over teaching assignments, using discretionary funds, and hiring a new principal and personnel.
<b>Moderate</b>  4.76 to 7.47	Teachers have <b>some</b> or <b>a great deal</b> of influence in determining instructional materials for their class; they <b>agree</b> that they are comfortable voicing their concerns and are involved in making important decisions at the school; they have <b>some influence</b> over establishing curriculum programs and setting standards for student behavior; and they have <b>a little</b> or <b>some</b> influence over teaching assignments, using discretionary funds, and hiring a new principal and personnel.
<b>Extensive</b>  7.47 to 10.00	Teachers have <b>a great deal</b> of influence in determining instructional material for their class and setting standards for student behavior; teachers <b>strongly agree</b> that they feel comfortable voicing their concerns and are involved in making important decisions at the school; teachers have <b>some</b> or <b>a great deal</b> of influence in determining in-services, using discretionary funds, determine the school schedule, and hiring a new principal and personnel.

**Principal Instructional Leadership.** This is a measure of teachers' perceptions of their principals as instructional leaders with respect to teaching and learning standards, communication of a clear vision for the school, and tracking of academic progress. *High levels indicate that teachers view their principal as very involved in classroom instruction.* (Reliability coefficient = 0.86)

*Items:* Teachers agree or disagree that their principal:

- Carefully tracks student academic progress.
- Understands how children learn.
- Presses teachers to implement what they have learned in professional development.
- Communicates a clear vision for the school.
- Sets high standards for student learning.
- Sets high standards for teaching.
- Makes clear to staff his/her expectations for meeting instructional goals.

Category	In this school:
<i>Weak</i>  0.00 to 1.80	Teachers <b>disagree</b> or <b>strongly disagree</b> with all items on the scale.
<b>Mixed</b>  1.80 to 4.26	Some teachers <b>agree</b> and some <b>disagree</b> that their principal makes teaching expectations clear, sets high standards for both teaching and student learning, and communicates a clear vision for the school; they <b>disagree</b> that their principal presses them to implement what they learn in professional development activities, understands how students learn, and tracks student academic progress.
<b>Strong</b>  4.26 to 7.79	Teachers <b>agree</b> with all items on the scale.
<b>Very strong</b>  7.79 to 10.00	Teachers <b>strongly agree</b> that their principal makes teaching expectations clear, sets high standards for both teaching and student learning, and communicates a clear vision for the school; they <b>agree</b> or <b>strongly agree</b> that the principal presses teachers to implement what they learn in professional development activities, understands how students learn, and tracks student academic progress.

## Measures of Teacher Professional Community

**Peer Collaboration.** This is a measure of teachers' reports about the level of cooperation and collaboration among staff. Questions ask teachers about the quality of the relationships among faculty, if staff coordinates teaching and learning across grades, and if teachers collaborate in their design of new instructional programs. *High*

levels indicate that teachers have moved beyond cordial relationships with their colleagues to ones in which they are actively working together. (Reliability coefficient = 0.75)

*Items:* Teachers agree that in this school:

- Teachers design instructional programs together.
- Teachers coordinate teaching with instruction at other grades.
- Principal/teachers/staff collaborate to make the school run effectively.
- Most teachers are cordial.

Category	In this school:
<b>None</b> 0.00 to 1.18	Teachers <b>disagree</b> that other teachers are cordial and <b>disagree</b> and <b>strongly disagree</b> that collaborative efforts make the school run well, and that teachers coordinate instruction across grades and design instructional programs together.
<b>Limited</b> 1.18 to 3.92	Teachers <b>agree</b> that other teachers are cordial; some teachers <b>agree</b> and some <b>disagree</b> about whether collaborative efforts make the school run well; and all teachers <b>disagree</b> that teachers in their school coordinate instruction across grades and design instructional programs together.
<b>Significant</b> 3.92 to 8.63	Teachers <b>agree</b> or <b>strongly agree</b> that other teachers are cordial, and <b>agree</b> that collaborative efforts make their school run well, teachers coordinate instruction across grades, and teachers design instructional programs together.
<b>Extensive</b> 8.63 to 10.00	Teachers <b>strongly agree</b> that other teachers are cordial, and <b>agree</b> or <b>strongly agree</b> that collaborative efforts make their school run well, teachers coordinate instruction across grades, and teachers design instructional programs together.

**Reflective Dialogue.** This is a measure of teachers' assessment of how often they talk with one another about instruction and student learning. Questions ask teachers about their discussion of curriculum and instruction, the school's goals, and the best ways to help students learn and manage classroom behavior. *High levels indicate that teachers frequently discuss instruction and student learning.* (Reliability coefficient = 0.78)

*Items:* Teachers report that:

- Conversations about school's goals occur more than twice a month.
- Conversations about curriculum development occur more than twice a month.
- Conversations about managing class behavior occur more than twice a month.
- Conversations about what helps Ss learn best occur more than twice a month.
- Teachers regularly discuss assumptions about teaching and learning.

- Teachers share and discuss student work with other teachers.
- Teachers talk about instruction in the teachers' lounge.

Category	In this school:
<b>Almost none</b> 0.00 to 3.61	Teachers <b>disagree</b> or <b>strongly disagree</b> that they talk informally about instruction, share and discuss student work with other teachers, and discuss assumptions about student learning; they have conversations about how students learn best, managing student behavior, developing new curriculum, and school goals <b>less than once a month</b> .
<b>Occasional</b> 3.61 to 5.56	Teachers <b>agree</b> that they talk informally about instruction and share and discuss student work with other teachers, some <b>agree</b> and some <b>disagree</b> that they discuss assumptions about student learning; they have conversations about how students learn best and managing student behavior <b>two to three times a month</b> , and have conversations about developing new curriculum and school goals <b>less than two to three times a month</b> .
<b>Regular</b> 5.56 to 9.31	Teachers <b>agree</b> that they talk informally about instruction, share and discuss student work with other teachers, and discuss assumptions about student learning; they also have conversations with other teachers about how students learn best and managing student behavior <b>more than once or twice a month</b> ; and have conversations about developing new curriculum and school goals from <b>once to three times a month</b> .
<b>Frequent</b> 9.31 to 10.00	Teachers <b>strongly agree</b> that they talk informally about instruction, share and discuss student work with other teachers, and discuss assumptions about student learning; they also have conversations with other teachers about how students learn best, managing student behavior, developing new curriculum, and school goals <b>almost daily</b> .

**Focus on Student Learning.** This measures the extent to which teachers feel that the school's goals and actions are focused on student learning. Questions ask teachers if the school has well-defined learning expectations for all students, sets high standards for academic performance, makes decisions based on what is best for student learning, and works to develop students' social skills. *High levels indicate that the school is working to improve every student's learning.* (Reliability coefficient = 0.81)

*Items:* Teachers agree that this school:

- Really works at developing students' social skills.
- Focuses on what's best for student learning when making decisions.
- Has well defined learning expectations for all students.

- Sets high standards for academic performance.
- Organizes the school day to maximize instructional time.

Category	In this school:
<b>No focus</b>  0.00 to 3.55	Teachers <b>disagree</b> or <b>strongly disagree</b> with all items on the scale.
<b>Not very focused</b>  3.55 to 4.73	Teachers agree that the school maximizes instruction time; some <b>agree</b> and some <b>disagree</b> that the school sets high standards for academic performance, has well-defined learning expectations for students, and makes decisions based on what is best for students; they <b>disagree</b> that the school works at developing students' social skills.
<b>Focused</b>  4.73 to 8.09	Teachers <b>agree</b> with all items on the scale.
<b>Very focused</b>  8.09 to 10.00	Teachers <b>strongly agree</b> that the school day is organized to maximize instruction time; they <b>agree</b> or <b>strongly agree</b> that the school sets high standards for academic performance, has well-defined learning expectations for students, makes decisions based on what is best for students, and works at developing students' social skills.

**Collective Responsibility.** This is a measure of teachers' assessment of the strength of their shared commitment to improve the school so that all students learn. Questions ask teachers how many colleagues feel responsible for students' academic and social development, set high standards for professional practice, and take responsibility for school improvement. *High levels indicate a strong sense of shared responsibility among faculty.* (Reliability coefficient = 0.92)

**Items:** Most teachers in this school:

- Feel responsible when students fail.
- Feel responsible to help each other do their best.
- Help maintain discipline in the entire school.
- Take responsibility for improving the school.
- Feel responsible for helping students develop self control.
- Set high standards for themselves.
- Feel responsible that all students learn.

Category	In this school:
<b>Very limited</b> 0.00 to 3.49	<b>None</b> or <b>about half</b> of the teachers feel responsible that all students learn; <b>some</b> or <b>none</b> set high standards for themselves, help students with their self-control, take responsibility for school improvement, help discipline all students, help each other, and feel responsible when students fail.
<b>Limited</b> 3.49 to 4.87	<b>About half</b> of the teachers feel responsible that all students learn, set high standards for themselves, and help students with their self-control; <b>some</b> or <b>about half</b> take responsibility for school improvement, help discipline all students, and help each other; <b>some</b> feel responsible when students fail.
<b>Fairly strong</b> 4.87 to 7.24	<b>Most</b> teachers feel responsible that all students learn, set high standards for themselves, and help students with their self-control; <b>about half</b> or <b>most</b> take responsibility for school improvement, help discipline all students, help each other, and feel responsible when students fail.
<b>Strong</b> 7.24 to 10.00	<b>Most</b> or <b>nearly all</b> teachers embrace the items on this scale.

**Orientation Toward Innovation.** This is a measure of teachers' perceptions of whether or not they are continually learning and seeking new ideas, have a "can do" attitude, and are encouraged to try new ideas in their teaching. *High levels indicate that there is a strong orientation toward improvement and a willingness to be part of an active learning environment.* (Reliability coefficient = 0.89)

*Items:* Teachers agree that in this school:

- Most teachers are willing to take risks to make the school better.
- Most teachers are eager to try new ideas.
- Most teachers have a "can do" attitude.
- All teachers are encouraged to "stretch and grow."
- Teachers are continually learning and seeking new ideas.
- Most teachers are really trying to improve their teaching.

Category	In this school:
<b>Minimal</b> 0.00 to 2.96	<b>None</b> or <b>some</b> of the teachers really try to improve their teaching; they <b>disagree</b> or <b>strongly disagree</b> that teachers are continually learning, are encouraged to grow, and have a “can do” attitude; and <b>none</b> or <b>some</b> of their teachers try new ideas and take risks.
<b>Limited</b> 2.96 to 3.76	<b>About half</b> of the teachers really try to improve their teaching; some teachers <b>agree</b> and others <b>disagree</b> that teachers at their school are continually learning, are encouraged to grow, and have a “can do” attitude; only <b>some</b> of the teachers in their school try new ideas and take risks.
<b>Moderate</b> 3.76 to 5.68	<b>About half</b> or <b>most</b> of the teachers really try to improve their teaching; they <b>agree</b> that teachers are continually learning, are encouraged to grow, and have a “can do” attitude; and <b>about half</b> of the teachers try new ideas and take risks.
<b>Extensive</b> 5.68 to 10.00	<b>Most</b> or <b>nearly all</b> of the teachers really try to improve their teaching; they <b>agree</b> or <b>strongly agree</b> that teachers are continually learning, are encouraged to grow, and have a “can do” attitude; and <b>most</b> or <b>nearly all</b> of the teachers try new ideas and take risks.

**Teacher Commitment to School.** This measure assesses the extent to which teachers feel loyal and committed to their school. Questions ask teachers if they look forward to going to work, would rather work somewhere else, and if they would recommend the school to parents. *High levels indicate teachers are deeply committed to the school.* (Reliability coefficient = 0.79)

*Items:* Teachers report that they:

- Wouldn't want to work in any other school.
- Would recommend this school to parents.
- Often look forward to each working day at this school.
- Feel loyal to this school.

Category	In this school:
<b>None</b> 0.00 to 2.92	Teachers <b>disagree</b> or <b>strongly disagree</b> with all items on the scale.
<b>Minimal</b> 2.92 to 4.60	Teachers <b>agree</b> that they feel loyal to their school; some <b>agree</b> and some <b>disagree</b> that they look forward to school each day; all <b>disagree</b> that they would recommend the school to other parents and would not want to work at other schools.
<b>Strong</b> 4.60 to 8.50	Teachers <b>strongly agree</b> or <b>agree</b> that they feel loyal to their school; <b>agree</b> that they look forward to school each day, would recommend the school to other parents, and would not want to work at other schools.
<b>Very strong</b> 8.50 to 10.00	Teachers <b>strongly agree</b> that they feel loyal to their school; <b>agree</b> or <b>strongly agree</b> that they look forward to school each day, would recommend the school to other parents, and would not want to work at other schools.

## Measures of Parent and Community Involvement

**Teacher Outreach to Parents.** This is a measure of the school's effort to work with parents to develop common goals, good communication, and strengthen student learning. Questions ask teachers about their efforts to understand parents' problems, invite parents to visit classrooms, seek parents' feedback, and build relations with parents. *High levels indicate mutually supportive relationships among parents and teachers.* (Reliability coefficient=0.85)

**Items:** Teachers agree that at this school:

- Teachers work closely with parents to meet students' needs.
- Parents are invited to visit classrooms.
- Teachers communicate with parents about how they can help their kids learn.
- Teachers communicate to parents support needed to advance school mission.
- Teachers encourage feedback from parents and the community.
- The principal pushes teachers to communicate regularly with parents.
- Teachers really try to understand parents' problems and concerns.
- Parents are greeted warmly when they call or visit.

Category	In this school:
<p><b>None</b></p> <p>0.00 to 1.54</p>	Teachers <b>disagree</b> or <b>strongly disagree</b> with all items on the scale.
<p><b>Moderate</b></p> <p>1.54 to 3.42</p>	Teachers <b>agree</b> that parents are greeted warmly when they visit the school, teachers try to understand parents' problems, the principal pushes teachers to communicate with parents, and the school encourages feedback from parents; some <b>agree</b> and some <b>disagree</b> that the school works at communicating with parents about advancing the school mission and helping children learn; they <b>disagree</b> that parents are invited to the classroom or teachers work closely with parents.
<p><b>Significant</b></p> <p>3.42 to 6.84</p>	Teachers <b>agree</b> with all items on the scale.
<p><b>Broad</b></p> <p>6.84 to 10.00</p>	Teachers <b>strongly agree</b> or <b>agree</b> with all items on this scale.

**Parent Involvement in School.** This is a measure of teachers' reports on the level of parent involvement, and support for the school. Questions ask teachers how often parents pick up report cards; attend parent-teacher conferences and school events; volunteer to help in the classroom; and participate in fund-raising events. *High levels indicate that many parents are actively engaged with the school.* (Reliability coefficient=0.82)

*Items:* Teachers report that of the students they taught this year, most of the parents:

- Volunteered to help in the classroom.
- Helped raise funds for the school.
- Attended school-wide special events.
- Attended parent/teacher conferences when requested.
- Showed up for school events or conferences intended for them.
- Picked up their child's last report card.

Category	In this school:
<b>Minimal</b> 0.00 to 1.97	Teachers report <b>none</b> or <b>about half</b> of the parents picked up their child's report cards and attended school events; <b>none</b> or <b>some</b> attended parent/teacher conferences and special school-wide events; <b>none</b> of the parents helped raise funds for the school or volunteered in the classroom.
<b>Limited</b> 1.97 to 4.09	Teachers report <b>about half</b> or <b>most</b> of the parents picked up their child's report cards and attended school events; <b>some</b> or <b>about half</b> attended parent/teacher conferences; <b>some</b> attended special school-wide events and helped raise funds for the school; <b>none</b> of the parents volunteered in the classroom.
<b>Moderate</b> 4.09 to 6.97	Teachers report <b>most</b> or <b>nearly all</b> parents picked up their child's report cards and attended school events and parent/teacher conferences; <b>some</b> or <b>about half</b> attended special school-wide events and helped raise funds for the school; <b>only some</b> volunteered in the classroom.
<b>High</b> 6.97 to 10.00	Teachers report <b>nearly all</b> parents picked up their child's report cards and attended school events and parent/teacher conferences; <b>most</b> or <b>nearly all</b> attended special school-wide events and <b>about half</b> to <b>nearly all</b> helped raise funds for the school and volunteered in the classroom.

**Teachers' Use of Community Resources.** This is a measure of the extent of teachers' use of the local community as a resource in both their teaching and in their efforts to understand students better. Questions ask teachers how often they invite guest speakers from the community to the school, consult community members, and use examples from the community in their teaching. *High levels indicate that teachers are taking greater advantage of community resources and making more of an effort to engage the communities where their students live.* (Reliability coefficient=0.68)

**Items:** Teachers report that at least three times this school year, they have:

- Brought in a guest speaker from the school's community.
- Taken students on a field trip in the school's community.
- Collected materials to use in class from community businesses.
- Consulted with community members to better understand students.
- Told students about community agencies that can help with problems.
- Used people or events from the community as examples.

Category	In this school in the last year:
<b>No use</b> 0.00 to 2.80	Teachers report they used people/events from the community as an example and told students about community agencies <b>once or twice</b> , or <b>never</b> ; <b>never</b> consulted with community members to understand students better, collected materials from the business community for class, took students on a field trip or brought in guest speakers from the community.
<b>Occasional</b> 2.80 to 5.81	Teachers report they used people/events from the community as an example and told students about community agencies <b>once to four times</b> ; consulted with community members to better understand students and collected materials from community businesses for class <b>once or twice</b> ; took students on a field trip or brought in guest speakers from the school community <b>once or twice</b> , or <b>never</b> .
<b>Frequent</b> 5.81 to 7.74	Teachers report they used people/events from the community as an example and told students about community agencies <b>five to nine times</b> ; consulted with community members to better understand students and collected materials from community businesses for class <b>three to four times</b> ; took students on a field trip or brought in guest speakers from the school's community <b>once or twice</b> .
<b>Extensive</b> 7.74 to 10.00	Teachers report they used people/events from the community as an example and told students about community agencies <b>more than 10 times</b> ; consulted with community members to better understand students and collected materials from community businesses for class <b>more than five times</b> ; took students on a field trip or brought in guest speakers from the school's community <b>more than three or four times</b> .

**Teachers' Ties to Community.** This measure assesses the extent to which teachers interact with the school's surrounding community, specifically how often they visit students' homes, shop, and attend religious and recreational events in the community where students are present. *High levels indicate that teachers are more involved in the school's surrounding community and therefore more able to play an extended role in students' lives.* (Reliability coefficient=0.66)

**Items:** Teachers report that at least two to three times a month they:

- Visit students' homes.
- Attend religious services where the students attend.
- Attend civic and recreational events in the school's community.
- Shop in the school's community.
- They have friends who live in the school's community (%yes).

Category	In this school:
<b>Weak</b> 0.00 to 1.90	<b>Some</b> teachers have friends who live in the community; they shop in the school community <b>less than once a month</b> , but <b>never</b> attend recreational activities in the school community or religious services where students attend, or visit the homes of students.
<b>Slight</b> 1.90 to 6.20	Teachers reported they <b>have friends</b> who live in the school community; they shop in the school community <b>once or twice a month</b> ; attend recreational activities in the school community <b>two or three times a month</b> ; and attend religious services where students attend and visit the homes of students <b>less than once a month</b> .
<b>Strong</b> 6.20 to 8.68	Teachers reported they <b>have friends</b> who live in the community; they shop in the school community <b>fewer than two or three times a month</b> ; attend recreational activities in the school community <b>less than once a month</b> ; but <b>never</b> attend religious services where students attend or visit the homes o students.
<b>Very strong</b> 8.68 to 10.00	Teachers reported they <b>have friends</b> who live in the school community; they shop in the school community <b>almost daily</b> ; attend recreational activities in the school community <b>at least once or twice a week</b> ; and attend religious services where students attend and visit the homes of students <b>at least two or three times a month</b> .

**Teachers' Knowledge of Students' Culture.** This is a measure of teachers' reports about their efforts to better understand their students. Questions ask teachers how many of their colleagues talk with students about their culture and home lives, and whether they know about the issues facing the surrounding community. *High levels indicate that many teachers are committed to learning more about their students and the community where they live.* (Reliability coefficient=0.70)

**Items:** Most teachers in this school:

- Read books/watch documentaries to learn about S's cultural backgrounds.
- Talk with students about their lives at home.
- Talk with students about their cultures.
- Are knowledgeable of issues and concerns in the community.

Category	In this school:
<b>Minimal</b> 0.00 to 2.92	<b>None</b> or only <b>some</b> of the teachers engage in these activities.
<b>Limited</b> 2.92 to 4.17	<b>About half</b> of the teachers know about community issues; <b>some</b> or <b>about half</b> talk with students about their homes and cultures; and <b>some</b> try to learn about students' cultural backgrounds.
<b>Significant</b> 4.17 to 6.11	<b>Most</b> teachers know community issues; <b>about half</b> or <b>most</b> talk with students about their homes and cultures; and <b>about half</b> try to learn about students' cultural backgrounds.
<b>Extensive</b> 6.11 to 10.00	<b>Most</b> or <b>nearly all</b> teachers engage in these activities.

**Human and Social Resources in Students' Community.** This is a measure of students' assessments of the level of their trust in and reliance upon neighbors and community members, and whether they feel adults in the community know and care about them and each other. Questions ask students if adults know who the local children are, make sure they are safe, and can be trusted. *High levels indicate that many students can turn to community resources for support.* (Reliability coefficient = 0.75)

**Items:** Students agree or disagree that in their neighborhood:

- Neighbors get together to deal with problems.
- People can be trusted.
- You can count on adults to see that children are safe.
- The equipment and building in the park/playground are well kept.
- There are adults that children can look up to.
- Adults know who the local children are.
- During the day, it is safe for children to play in the park.
- Someone cares about what happens here.

Category	In this school:
<p><b>None</b></p> <p>0.00 to 1.91</p>	Students <b>disagree</b> or <b>strongly disagree</b> that people in the neighborhood care about what happens there; they <b>strongly disagree</b> with the remaining items on the scale.
<p><b>Scarce</b></p> <p>1.91 to 4.56</p>	Students <b>agree</b> and others <b>disagree</b> that people in the neighborhood care about what happens there; they <b>disagree</b> that the parks are safe for kids to play in during the day and there are adults in the neighborhood who know the local kids and whom the kids can lookup to; they <b>disagree</b> or <b>strongly disagree</b> that adults make sure neighborhood kids are safe, people in the neighborhood can be trusted, and neighbors deal with any problems in the neighborhood.
<p><b>Some</b></p> <p>4.56 to 8.09</p>	Students <b>agree</b> or <b>strongly agree</b> that people in the neighborhood care about what happens there; they <b>agree</b> that the parks are safe for kids to play in during the day and there are adults in the neighborhood who know the local kids and whom the kids can look up to; some <b>agree</b> and others <b>disagree</b> that adults make sure neighborhood kids are safe, people in the neighborhood can be trusted, and the neighbors deal with any problems in the neighborhood.
<p><b>Many</b></p> <p>8.09 to 10.00</p>	Students <b>strongly agree</b> that people in the neighborhood care about what happens there, the parks are safe for kids to play in during the day, and there are adults in the neighborhood who know the local kids and whom the kids can look up to; they <b>agree</b> or <b>strongly agree</b> that adults make sure neighborhood kids are safe, people in the neighborhood can be trusted, and the neighbors deal with any problems in the neighborhood.

## Measures of Relational Trust

**Teacher-Principal Trust.** This measure assesses the extent to which teachers feel their principal respects and supports them. Questions ask teachers if the principal looks out for their welfare, has confidence in their expertise, and if they respect the principal as an educator. *High levels indicate that teachers share deep mutual trust and respect with the principal.* (Reliability coefficient=0.89)

*Items:* Teachers agree that:

- It's OK to discuss feelings and worries with the principal.

- The principal looks out for the personal welfare of the faculty.
- They trust the principal at his or her word.
- The principal is an effective manager.
- The principal places the needs of children before personal interests.
- The principal has confidence in the expertise of teachers.
- The principal takes personal interest in faculty professional development.
- They feel respected by their principal.

Category	In this school:
<b>No trust</b>  0.00 to 2.58	Teachers feel respected by their principal <b>not at all</b> ; they <b>disagree</b> or <b>strongly disagree</b> that they respect their principal as an educator, that the principal takes an interest in teachers' professional development, has confidence in teachers' expertise, places students' needs before personal needs, is an effective manager or looks out for teachers' welfare, that they trust their principal, or it is OK to discuss worries with the principal.
<b>Minimal trust</b>  2.58 to 4.50	Teachers feel respected by their principal <b>a little</b> ; they <b>disagree</b> that they respect their principal as an educator, that the principal takes an interest in teachers' professional development, has confidence in teachers' expertise, places students' needs before personal needs, is an effective manager, looks out for teachers' welfare, that they trust their principal, and it is OK to discuss worries with the principal.
<b>Strong trust</b>  4.50 to 7.67	Teachers feel respected by the principal <b>some</b> or <b>to a great extent</b> ; they <b>agree</b> that they respect their principal as an educator, that the principal takes an interest in teachers' professional development, has confidence in teachers' expertise, places students' needs before personal needs, is an effective manager, looks out for teachers' welfare, that they trust their principal, and it is OK to discuss worries with the principal.
<b>Very strong trust</b>  7.67 to 10.00	Teachers feel respected by their principal <b>to a great extent</b> ; they <b>strongly agree</b> that they respect their principal as an educator, that the principal takes an interest in teachers' professional development, has confidence in teachers' expertise, places students' needs before personal needs, is an effective manager, looks out for teachers' welfare, that they trust their principal; they <b>agree</b> or <b>strongly agree</b> that it is OK to discuss worries with the principal..

**Teacher-Teacher Trust.** This measures the extent to which teachers feel they have mutual respect for each other, for those who lead school improvement efforts, and

for those that are experts at their craft. Questions also ask teachers if they feel comfortable discussing their feelings and worries and really care about each other. *High levels indicate teachers trust and respect each other.* (Reliability coefficient=0.82)

*Items:* Teachers agree that in this school:

- Most teachers really care about each other.
- Teachers trust each other.
- It's OK to discuss feelings and worries with other teachers.
- Teachers respect colleagues who lead school improvement efforts.
- Teachers respect those colleagues who are expert at their craft.
- They feel respect from other teachers.

Category	In this school:
<b>No trust</b>  0.00 to 3.57	Teachers feel respected by <b>none</b> or <b>some</b> of the other teachers; they <b>disagree</b> or <b>strongly disagree</b> that teachers respect colleagues who are expert at their craft or who lead school improvement efforts, it is OK to discuss worries with other teachers, and teachers trust each other; and they feel that <b>none</b> of the teachers care about each other.
<b>Minimal trust</b>  3.57 to 5.56	Teachers feel respected by <b>some</b> of the other teachers; they <b>agree</b> that teachers respect colleagues who are expert at their craft or who take the lead in school improvement efforts, and it is OK to discuss worries with other teachers; some <b>agree</b> and some <b>disagree</b> that teachers trust each other at this school; and <b>none</b> to <b>some</b> of the teachers care about each other.
<b>Strong trust</b>  5.56 to 7.06	Teachers feel respected by other teachers <b>to a great extent</b> ; they <b>agree</b> that teachers respect colleagues who are expert at their craft or who take the lead in school improvement efforts, it is OK to discuss worries with other teachers and teachers trust each other; and they feel that <b>about half</b> of the teachers in the school care about each other.
<b>Very strong trust</b>  7.06 to 10.00	Teachers feel respected by other teachers <b>to a great extent</b> ; they <b>strongly agree</b> that teachers respect colleagues who are expert at their craft or who take the lead in school improvement efforts; they <b>agree</b> or <b>strongly agree</b> it is OK to discuss worries with other teachers and that teachers trust each other; and they feel <b>most</b> or <b>nearly all</b> teachers in the school care about each other.

**Student-Teacher Trust.** This is a measure of students' perceptions about the quality of their relationships with teachers. Questions ask students if teachers care about them, keep promises, listen to their ideas, and try to be fair. *High levels indicate that there is trust and open communication between students and teachers.* (Reliability coefficient=0.78)

**Items:** Students agree that their teachers:

- Always keep their promises.
- [Do not] punish kids without knowing what happened.
- Make them feel safe and comfortable.
- Always try to be fair.
- Will always listen to students' ideas.
- [Do not] get mad whenever the students make a mistake.
- Really care about students.
- [Do] care about what the students think.
- Have a good reason when they tell the students not to do something.

Category	In this school:
<p><b>No trust</b></p> <p>0.00 to 1.34</p>	<p>Students <b>disagree</b> that their teacher has a good reason for telling them not to do something, cares about them and what they think, does not get mad when they make mistakes, will always listen to students' ideas, always tries to be fair, makes them feel safe and comfortable, and can be trusted; they <b>disagree</b> or <b>strongly disagree</b> that their teacher does not punish students without knowing what happened and keeps his or her promises.</p>
<p><b>Minimal trust</b></p> <p>1.34 to 2.84</p>	<p>Some students <b>agree</b> and others <b>disagree</b> that their teacher has a good reason for telling them not to do something, and cares about what they think; they <b>disagree</b> that their teacher really cares about them, gets mad when they make mistakes, will always listen to students' ideas, always tries to be fair, makes them feel safe and comfortable, can be trusted, does not punish students without knowing what happened, and keeps his or her promises.</p>
<p><b>Strong trust</b></p> <p>2.84 to 6.42</p>	<p>Students <b>agree</b> that their teacher has a good reason for telling them not to do something, and cares about what they think, does not get mad when they make mistakes, will always listen to students' ideas, always tries to be fair, makes them feel safe and comfortable, and can be trusted; some <b>agree</b> and others <b>disagree</b> that their teacher does not punish students without knowing what happened and keeps his or her promises.</p>

Category	In this school:
<p><b>Very strong trust</b></p> <p>6.42 to 10.00</p>	<p>Students <b>strongly agree</b> that their teacher has a good reason for telling them not to do something, and cares about what they think, does not get mad when they make mistakes, will always listen to students' ideas, always tries to be fair, makes them feel safe and comfortable, and can be trusted; <b>agree</b> or <b>strongly agree</b> that their teacher does not punish students without knowing what happened and keeps his or her promises.</p>

**Teacher-Parent Trust.** This is a measure of teachers' perception of the degree of mutual respect between themselves and parents, and their support of each other's efforts to improve student learning. Questions ask teachers if they consider themselves partners with parents in educating children, if they receive strong parental support, if the school staff works hard to build trust with parents, and if teachers have respect for parents. *High levels indicate mutually supportive relationships among parents and teachers.* (Reliability coefficient=0.58)

**Items:** At this school, teachers agree or disagree that:

- Most students' parents do their best to help their children learn.
- Most teachers feel good about parents' support for their work.
- Most students' parents support my teaching efforts.
- Teachers and parents think of each other as partners in educating kids.
- It [isn't] difficult overcoming cultural barriers between teachers and parents.
- Parents have confidence in teachers' expertise.
- Staff members work hard to build trusting relationships with parents.
- Teachers feel respect from the parents of their students.

Category	In this school:
<b>No trust</b> 0.00 to 2.03	Teachers respect and feel respected by parents <b>not at all or a little</b> ; they <b>disagree</b> or <b>strongly disagree</b> that talking with parents helps them understand students better, there is no conflict between parents and teachers, and teachers and parents are partners in educating children; <b>none</b> of the parents support their teaching efforts or do their best to help their children learn, and <b>none</b> of the teachers care about the community or feel good about parental support.
<b>Minimal trust</b> 2.03 to 5.14	Teachers respect and feel respected by parents <b>to some extent</b> ; they <b>agree</b> that talking with parents helps them understand students better; but some <b>agree</b> and some <b>disagree</b> that there is no conflict between parents and teachers, and that teachers and parents are partners in educating children; <b>none</b> to <b>some</b> of the parents support their teaching efforts or do their best to help their children learn, and <b>none</b> to <b>some</b> of the teachers care about the community or feel good about parental support.
<b>Strong trust</b> 5.14 to 8.11	Teachers respect and feel respected by parents <b>to a great extent</b> ; they <b>agree</b> or <b>strongly agree</b> that talking with parents helps them understand their students better, and <b>agree</b> that there is no conflict between parents and teachers, and that teachers and parents are partners in educating children; <b>about half of parents</b> support their teaching efforts or do their best to help their children learn, and <b>about half of teachers</b> care about the community or feel good about parental support.
<b>Very strong trust</b> 8.11 to 10.00	Teachers respect and feel respected by parents <b>to a great extent</b> ; they <b>strongly agree</b> that talking with parents helps them understand students better, there is no conflict between parents and teachers, and that teachers and parents are partners in educating children; <b>most</b> or <b>nearly all parents</b> support their teaching efforts and help their children learn, and <b>most</b> or <b>nearly all</b> teachers care about the community or feel good about parental support.

### Measure of School Instructional Program Coherence

This is a measure of the degree to which teachers feel the programs at their school are coordinated with each other and with the school's mission. Questions ask teachers if instructional materials are consistent within and across grades and if there is sustained attention to quality program implementation. *High levels indicate that the*

*school's programs are coordinated and consistent with its goals for student learning.*  
(Reliability coefficient=0.75)

*Items:* Teachers agree that at this school:

- You can see continuity from one program to another.
- Many special programs [do not] come and go.
- Once we start a new program, we follow-up with it.
- Curriculum and instruction are well coordinated across grades.
- We [do not] have so many programs that I can't keep track.
- Curriculum and instruction are consistent among teachers in same grade.
- Coordination/focus of instruction has changed for better in last two years.

Category	In this school:
<b>None</b> 0.00 to 1.50	Teachers believe the focus of the instructional programs has changed <b>for the worse</b> ; they <b>strongly disagree</b> with all other items on the scale.
<b>Little</b> 1.50 to 4.70	Teachers believe that there has been <b>no change</b> in the focus of instructional programs in their school; some <b>agree</b> and some <b>disagree</b> that changes in the school promote the school's goals for student learning; they <b>disagree</b> with the remaining items on the scale.
<b>Moderate</b> 4.70 to 8.20	Teachers <b>agree</b> with the items on this scale and believe that the focus of instructional programs has changed <b>for the better</b> .
<b>Strong</b> 8.20 to 10.00	Teachers <b>strongly agree</b> with the items on this scale and believe that the focus of instructional programs has changed <b>for the better</b> .

### **Teacher Professional Development and Support for Change Measures**

**Teacher Participation in Professional Development.** This is an indicator of the frequency with which teachers participated in formal, planned professional development activity. Items used for this indicator asked respondents to report the number of times during the school year that they participated in professional development activity provided by a variety of sources. These include activities and courses organized by teachers' own schools, networks of teachers from other schools,

outside professional groups or organizations, colleges and universities, the Chicago Public Schools, and the Chicago Teachers Union.

The items that compose this indicator do not form a scale like other measures used in this report. There are no categories or cut points. *High levels of this indicator mean frequent participation in professional development activity across different sources.*

**Quality Professional Development.** This is a measure of teachers’ assessment of the degree to which professional development has influenced their teaching, helped them understand students better, and provided them with opportunities to work with colleagues and teachers from other schools. *High levels indicate that teachers are involved in sustained professional development focused on important school goals.* (Reliability coefficient=0.84)

*Items:* Teachers agree that at this school their professional development experiences:

- Included opportunities to work with teachers from other schools.
- Included opportunities to think about, try, and evaluate new ideas.
- Addressed the needs of the students in my classroom.
- Deepened my understanding of subject matter.
- Helped me understand my students better.
- Have been sustained and coherently focused rather than short-term and unrelated.
- Included opportunities to work with colleagues in my school.
- Let me to make changes in my teaching.
- Have been closely linked to my school’s school improvement plan (SIP).

Category	In this school:
<p><b>Very low quality</b></p> <p>0.00 to 1.95</p>	<p>Teachers <b>disagree</b> or <b>strongly disagree</b> that their professional development experiences were closely connected to the SIP, led to changes in their teaching, provided opportunities to work with colleagues, or provided a deeper understanding of the subject matter; they <b>strongly disagree</b> that it shifted their approach to teaching, included enough time to think about and judge the new ideas, or provided opportunities to work with teachers from other schools.</p>
<p><b>Low quality</b></p> <p>1.95 to 4.22</p>	<p>Some teachers <b>agree</b> and others <b>disagree</b> that their professional development experiences were closely connected to the SIP; teachers <b>disagree</b> that it led to changes in their teaching, provided opportunities to work with colleagues, or helped them understand their students better; they <b>disagree</b> or <b>strongly disagree</b> that it shifted their approach to teaching, included enough time to think about and judge the new ideas, or provided opportunities to work with teachers from other schools.</p>

Category	In this school:
<b>High quality</b> 4.22 to 7.42	Teachers <b>agree</b> that their professional development experiences were closely connected to the SIP, provided opportunities to work with other colleagues, were sustained and focused, helped them understand their subject matter better, addressed students' needs, and included enough time to think about and judge the new ideas; some <b>agree</b> and others <b>disagree</b> that it provided opportunities to work with teachers from other schools.
<b>Very high quality</b> 7.42 to 10.00	Teachers <b>strongly agree</b> that their professional development experiences were closely connected to the SIP, provided opportunities to work with other colleagues, were sustained and focused, and addressed students' needs; they <b>agree</b> or <b>strongly agree</b> it shifted their approach to teaching, included enough time to think about and judge the new ideas, and provided the opportunity to work with teachers from other schools.

**Support for Change.** This is a measure of the level of support for change that teachers receive from their principal and colleagues. Questions ask teachers if their principal encourages them to take risks and try new methods of instruction, and to assess whether the faculty as a whole embraces change initiatives. *High levels indicate a school-wide environment supportive of change.* (Reliability coefficient=0.82)

**Items:** Teachers agree that in this school:

- Changes [do not] involve only a few teachers.
- Teachers receive adequate professional development for changes they introduce.
- Changes gain support among teachers.
- Changes receive strong support from the principal.

Category	In this school:
<p><b>None</b></p> <p>0.00 to 1.81</p>	<p>Teachers <b>disagree</b> or <b>strongly disagree</b> with all items on the scale.</p>
<p><b>Minimal</b></p> <p>1.81 to 4.29</p>	<p>Some teachers <b>agree</b> and some <b>disagree</b> that the principal encourages them to try new methods and is willing to make changes, and that changes introduced at the school receive strong support from the principal; they <b>disagree</b> that the principal encourages teachers to take risks, changes introduced at the school gain support among teachers, adequate professional development is provided for changes that are made, and changes involve many teachers.</p>
<p><b>Moderate</b></p> <p>4.29 to 7.43</p>	<p>Some teachers <b>agree</b> or <b>strongly agree</b> that the principal encourages them to try new methods and is willing to make changes, and that changes introduced at the school receive strong support from the principal; they <b>agree</b> that the principal encourages teachers to take risks, changes introduced at the school gain support among teachers, adequate professional development support is provided for changes that are made, and changes introduced at the school involve many teachers.</p>
<p><b>Strong</b></p> <p>7.43 to 10.00</p>	<p>Teachers <b>strongly agree</b> that the principal encourages them to try new methods, is willing to make changes, encourages teachers to take risks, and that changes introduced at the school receive strong support from the principal and gain support among teachers, and that adequate professional development support is provided for changes that are made; they <b>agree</b> that changes introduced at the school involve many teachers.</p>

## Appendix F

### The Productivity Index<sup>132</sup>

To assess differences in student academic achievement between Annenberg and demographically similar non-Annenberg schools, we used the Consortium's productivity index. The index estimates six-year trends in ITBS reading and math scores (1995 to 2001) using hierarchical linear modeling and taking into account four basic elements: (a) initial achievement status, (b) base gain, (c) input trend, and (d) gain trend. The productivity index is the gain trend adjusted for the other three elements. Since gain trend is correlated with initial status, base gain, and especially with the input trend, adjusting the gain trend for these three factors takes into consideration schools' starting points and produces a more powerful indicator than the unadjusted gain trend.<sup>133</sup> Taking into account demographic characteristics (listed in Appendix G), differences between Annenberg schools and non-Annenberg schools and between Breakthrough schools and non-Breakthrough Annenberg schools in three adjusted gain trends were compared to zero to determine statistical significance.

Calculation of the productivity index begins with identifying a stable group of students; that is, a specific group of students of the same age or grade level who received instruction for at least one full academic year in a school. The learning gain for each student in this group in each year is computed by subtracting the output status—the student's ITBS test score at the end of the academic year—from the input status—the student's ITBS test score from the preceding year.

Initial status refers to the average of these students' spring 1995 test scores. Base gain begins with the base period of the 1995-96 school year and is calculated as the difference in the initial status compared to the students' test scores in the spring of 1996. The base gain shows how much knowledge and skill students had gained at the end of a year of instruction. The input trend shows the variation in a student groups' input status from 1995 through 2000. The output trend shows the variation in their output status from 1996 through 2001. The resulting gain trend varies with initial and output status.

Using the productivity index allowed us to examine student performance across the years in ways that adjust for changes in CPS testing practices and related policies that affect scores. For example, a common inclusion standard for bilingual education students is used across the entire time period of the index even though CPS policy of whose scores are included in school averages has changed over that time period. In addition, comparative analyses of student achievement using the productivity index group children by age rather than grade level. This allowed us to minimize effects of the CPS retention policy on our results.

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<sup>132</sup> See Rosenkranz (2002) and Easton, Rosenkranz, and Bryk (2001) for details on recent CPS ITBS trends and the construction and use of productivity index.

<sup>133</sup> For detail on the development of the productivity index see Bryk, Thum, Easton, and Luppescu (1998).

As we explained earlier, we use the productivity index to conduct this report's comparative analysis of student achievement in different groups of schools. However, because the productivity index is difficult to interpret, we present figures describing the ITBS trends in grade equivalent gains, a reporting practice also used in the Consortium's annual review of test score trends. Grade equivalents align students' raw scores with a standard national average score at a particular grade. For spring testing, as is done in CPS, this standard score is the equivalent of the grade level plus eight months. Therefore, a grade equivalent of 4.8 is equal to the test score national average for fourth graders. If fourth graders in Chicago averaged a 3.8 grade equivalent in 1992, they scored one year below what students achieved nationally.

Using yearly GE gains to describe achievement trends is complicated because CPS used three different ITBS forms between 1993 and 2001. The different forms and the years in which they were administered are as follows: Form K (1993, 1995, 2000); Form L (1994, 1996, 1998, 2001); Form M (1997, 1999). Our measures of GE gains do not take into account any effect of using different forms from year to year. As can be seen in the findings, there are yearly fluctuations in GE gains that coincide with the use of different forms. One way to take into account the use of different forms is to compare GE gains in years that have the same form-to-form changes. So when considering the findings in Part Two, it may be useful to compare gains in 1994, 1996, and 2001, the years in which Form L was administered and the years for which gains are computed on the basis of changes from Form K to Form L. Likewise, it may be useful to compare gains in 1997 and 1999, the years in which Form M was administered and the years for which gains are computed on the basis of changes from Form L to Form M.

# Appendix G

## Detailed Results of ITBS Analyses

Tables G1 and G2 present trends in reading and math one-year grade equivalent (GE) gains made by students in Annenberg schools and those in demographically similar schools not in Annenberg networks. Details on the measurement of student achievement using ITBS scores are contained in Part One of the report and in Appendix F.

Tables G3 through G6 contain the results of productivity analyses in reading and math achievement for the period before the Annenberg Challenge and the period of the Challenge. Details on how these analyses were conducted are contained in Appendix F.

Variables contained in each report of parameter estimates are defined as follows.

- Annenberg School is a dummy variable coded 0 and 1 so that the coefficient gives the difference between Annenberg and non-Annenberg schools.
- Breakthrough School is a dummy variable coded 0 and 1 so that the coefficient gives the difference between Breakthrough and other Annenberg schools.

The following variables are for the year 2000.

- Crime rate is the composite crime rate in the neighborhood of the school.
- Home tenancy is the average number of years of tenancy per home owner in the school's neighborhood.
- Poverty concentration is the mean concentration of poverty in the school's neighborhood.
- Social status is the mean social status in the school's neighborhood, not considering income.
- Percent LEP students is the percentage of students in the school with limited English proficiency.
- Percent low-income students is the percentage of low-income students in the school, based on eligibility for free- or reduced-priced lunch.
- Predominantly African-American is a dummy variable (0 and 1) indicating that the school's enrollment was at least 85 percent African-American.
- Predominantly Latino is a dummy variable (0 and 1) indicating that the school's enrollment was at least 85 percent Latino.
- Predominantly minority is a dummy variable (0 and 1) indicating that the school's enrollment was at least 85 percent African-American and Latino combined.

- Mixed race is a dummy variable (0 and 1) indicating that the school's enrollment is between 15 percent and 30 percent white.
- Small school is a dummy variable (0 and 1) indicating that the school's enrollment is not more than 350 students.

Student mobility is the number of students transferring in plus number of students transferring out of school, divided by beginning enrollment.



**Table G1 Trends in Reading and Math GE Gains by Year and Grade for Students in Annenberg Schools**

Trends in Reading Gains, GE

	Grade 3	Grade 4	Grade 5	Grade 6	Grade 7	Grade 8
1994, K to L	0.71	1.03	0.98	0.82	0.91	0.62
1995, L to K	0.65	1.06	1.05	0.76	1.13	0.90
1996, K to L	0.84	1.11	1.07	0.97	1.08	0.95
1997, L to M	0.78	1.14	1.19	0.86	1.15	1.07
1998, M to L	0.83	1.09	1.06	1.00	1.12	0.98
1999, L to M	0.75	1.14	1.11	0.86	1.11	1.04
2000, M to K	0.78	1.17	0.96	0.84	1.29	0.95
2001, K to L	0.79	1.06	0.98	1.00	1.08	0.93
Average	0.77	1.10	1.05	0.89	1.11	0.93

Average for reading  
0.97

Trends in Math Gains, GEs

	Grade 3	Grade 4	Grade 5	Grade 6	Grade 7	Grade 8
1994, K to L	0.67	0.78	0.86	0.94	0.55	0.78
1995, L to K	0.65	0.84	0.92	1.02	0.77	0.97
1996, K to L	0.81	0.87	0.97	1.06	0.69	0.97
1997, L to M	0.78	0.97	1.07	1.20	0.90	1.33
1998, M to L	0.85	0.96	0.93	1.08	0.74	0.98
1999, L to M	0.81	0.95	1.03	1.14	0.85	1.22
2000, M to K	0.93	0.98	0.94	1.20	0.82	1.08
2001, K to L	0.82	0.77	0.87	1.01	0.62	0.95
Average	0.79	0.89	0.95	1.08	0.74	1.03

Average for math  
0.91

Average across subjects	0.78	1.00	1.00	0.99	0.93	0.98
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**Table G2. Trends in Reading and Math GE Gains by Year and Grade for Students in Demographically Similar Schools not in Annenberg**

Trends in Reading Gains, GE

	Grade 3	Grade 4	Grade 5	Grade 6	Grade 7	Grade 8
1994, K to L	0.72	1.01	0.96	0.82	0.95	0.64
1995, L to K	0.70	1.06	1.07	0.80	1.17	0.92
1996, K to L	0.84	1.08	1.06	0.99	1.07	0.90
1997, L to M	0.81	1.17	1.19	0.88	1.15	1.07
1998, M to L	0.85	1.06	1.04	1.02	1.09	0.98
1999, L to M	0.79	1.10	1.13	0.88	1.08	1.07
2000, M to K	0.77	1.13	0.94	0.84	1.27	0.98
2001, K to L	0.86	1.06	0.99	1.05	1.08	0.96
Average	0.79	1.08	1.05	0.91	1.11	0.94

Average for reading  
0.98

Trends in Math Gains, GEs

	Grade 3	Grade 4	Grade 5	Grade 6	Grade 7	Grade 8
1994, K to L	0.68	0.78	0.86	0.96	0.58	0.78
1995, L to K	0.69	0.86	0.94	1.08	0.84	0.96
1996, K to L	0.81	0.87	0.98	1.09	0.68	0.95
1997, L to M	0.82	1.01	1.04	1.21	0.87	1.27
1998, M to L	0.85	0.93	0.90	1.15	0.75	1.01
1999, L to M	0.84	0.95	1.02	1.18	0.82	1.18
2000, M to K	0.95	0.96	0.93	1.24	0.85	1.11
2001, K to L	0.87	0.75	0.87	1.07	0.63	0.94
Average	0.81	0.89	0.94	1.12	0.75	1.02

Average for Math  
0.92

Average across subjects	0.83	0.98	0.97	1.09	0.92	0.99
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**Table G3. Productivity Analyses: Average Adjusted Gain Trend for Reading Achievement on the ITBS, 1987-88 to 1995-96**

Analyses of Variance

Source	DF	Sum of Squares	Mean Square	F Value	Pr > F
Model	14	0.00493	0.00035232	4.0	<.0001
Error	442	0.03897	0.00008816		
Corrected Total	456	0.04390			

Parameter Estimates

Variable	DF	Parameter Estimate	Standard Error	T Value	Pr >  t
Intercept	1	-0.01065	0.00399	-2.67	0.0079
Annenberg School	1	-0.00042569	0.00099004	-0.43	0.6674
Breakthrough School	1	0.00074093	0.00271	0.27	0.7843
Crime rate in school neighborhood	1	-0.00231	0.00066670	-3.46	0.0006
Home tenancy	1	0.00018194	0.00008400	2.17	0.0309
Poverty concentration	1	-0.00134	0.00077437	-1.74	0.0833
Social status of neighborhood	1	-0.00115	0.00067793	-1.69	0.0909
Percent LEP students	1	-0.00001327	0.00005468	-0.24	0.8084
Percent low-income students	1	0.00009179	0.00004364	2.10	0.0360
Predominantly African-American	1	0.00009629	0.00189	0.05	0.9593
Predominantly Latino	1	0.00085389	0.00195	0.44	0.6614
Predominantly minority	1	0.00252	0.00190	1.32	0.1859
Mixed race	1	0.00645	0.00232	2.78	0.0056
Small school	1	-0.00031511	0.00158	-0.20	0.8417
Student mobility	1	-0.00002302	0.00004039	-0.57	0.5689

**Table G4. Productivity Analyses: Average Adjusted Gain Trend for Reading Achievement on the ITBS, 1996-97 to 2000-01**

Analyses of Variance

Source	DF	Sum of Squares	Mean Square	F Value	Pr > F
Model	14	0.00477	0.00034047	2.14	0.0091
Error	446	0.07081	0.00015878		
Corrected Total	460	0.07558			

Parameter Estimates

Variable	DF	Parameter Estimate	Standard Error	T Value	Pr >  t
Intercept	1	0.00140	0.00534	0.26	0.7938
Annenberg School	1	0.00047149	0.00133	0.35	0.7228
Breakthrough School	1	0.00274	0.00363	0.75	0.4514
Crime rate in school neighborhood	1	-0.00032718	0.00088838	-0.37	0.7128
Home tenancy	1	-0.00011291	0.00011178	-1.01	0.3130
Poverty concentration	1	0.00230	0.00104	2.22	0.0271
Social status of neighborhood	1	0.00001943	0.00090475	0.02	0.9829
Percent LEP students	1	0.00011648	0.00007133	1.63	0.1032
Percent low-income students	1	-0.00001830	0.00005578	-0.33	0.7430
Predominantly African-American	1	-0.00065295	0.00248	-0.26	0.7922
Predominantly Latino	1	0.00305	0.00261	1.17	0.2441
Predominantly minority	1	0.00256	0.00253	1.01	0.3116
Mixed race	1	0.00139	0.00311	0.45	0.6551
Small school	1	0.00250	0.00209	1.20	0.2316
Student mobility	1	-0.00000654	0.00003387	-0.19	0.8470

**Table G5. Productivity Analyses: Average Adjusted Gain Trend for Math Achievement on the ITBS, 1987-88 to 1995-96**

Analyses of Variance

Source	DF	Sum of Squares	Mean Square	F Value	Pr > F
Model	14	0.01064	0.00076032	4.47	<.0001
Error	442	0.07521	0.00017015		
Corrected Total	456	0.08585			

Parameter Estimates

Variable	DF	Parameter Estimate	Standard Error	T Value	Pr >  t
Intercept	1	-0.01881	0.00555	-3.39	0.0008
Annenberg School	1	-0.00070324	0.00138	-0.51	0.6094
Breakthrough School	1	0.00264	0.00376	0.70	0.4819
Crime rate in school neighborhood	1	-0.00239	0.00092619	-2.58	0.0102
Home tenancy	1	0.00012835	0.00011669	1.10	0.2720
Poverty concentration	1	-0.00293	0.00108	-2.73	0.0067
Social status of neighborhood	1	-0.00205	0.00094180	-2.18	0.0300
Percent LEP students	1	-0.00003486	0.00007596	-0.46	0.6465
Percent low-income students	1	0.00019082	0.00006063	3.15	0.0018
Predominantly African-American	1	0.00071666	0.00262	0.27	0.7848
Predominantly Latino	1	-0.00176	0.00271	-0.65	0.5161
Predominantly minority	1	0.00622	0.00265	2.35	0.0192
Mixed race	1	0.01259	0.00322	3.91	0.0001
Small school	1	0.00322	0.00219	1.47	0.1425
Student mobility	1	-0.00003560	0.00005610	-0.63	0.5261

**Table G6. Productivity Analyses: Average Adjusted Gain Trend for Math Achievement on the ITBS, 1996-97 to 2000-01**

Analyses of Variance

Source	DF	Sum of Squares	Mean Square	F Value	Pr > F
Model	14	0.00561	0.00040095	0.80	0.6743
Error	445	0.22426	0.00050395		
Corrected Total	459	0.22987			

Parameter Estimates

Variable	DF	Parameter Estimate	Standard Error	T Value	Pr >  t
Intercept	1	-0.00126	0.00951	-0.13	0.8950
Annenberg School	1	-0.00246	0.00237	-1.04	0.2983
Breakthrough School	1	0.00216	0.00647	0.33	0.7382
Crime rate in school neighborhood	1	0.00078880	0.00158	0.50	0.6187
Home tenancy	1	-0.00021696	0.00019972	-1.09	0.2779
Poverty concentration	1	0.00260	0.00185	1.41	0.1590
Social status of neighborhood	1	0.00304	0.00161	1.89	0.0598
Percent LEP students	1	0.00002790	0.00012770	0.22	0.8271
Percent low-income students	1	0.00008263	0.00010025	0.82	0.4102
Predominantly African-American	1	0.00018581	0.00442	0.04	0.9665
Predominantly Latino	1	0.00145	0.00466	0.31	0.7564
Predominantly minority	1	0.00453	0.00451	1.01	0.3147
Mixed race	1	0.00296	0.00554	0.53	0.5933
Small school	1	0.00312	0.00372	0.84	0.4021
Student mobility	1	-0.00009171	0.00006630	-1.38	0.1672

# Appendix H

## Detailed Results of Survey Analyses

The tables below present findings from statistical analyses that address the following questions: (1) Did CPS elementary schools change on measures of the Essential Supports between 1994 and 2001? (2) Were Annenberg elementary schools any different on measures of the Essential Supports than demographically similar non-Annenberg schools? (3) Were Annenberg Breakthrough elementary schools any different on measures of the Essential Supports than comparable non-Breakthrough Annenberg schools?

Table H1 reports the means and standard deviations of all measures of the Essential Supports for all elementary schools in CPS in 1994, 1997, 1999, and 2001. Table H2 reports the means of all measures of the Essential Supports for Annenberg and demographically similar non-Annenberg schools. This table also identifies differences between Annenberg and non-Annenberg schools that are statistically significant at the 0.01 level.

Table H3 reports standardized change unit differences across years on measures of the Essential Supports among Annenberg elementary schools. These differences were calculated by subtracting the base year mean from the comparison year mean for Annenberg schools and dividing the difference by the standard deviation of the system mean (all elementary schools) for the base year. For example, the standardized change unit difference for student academic engagement in Annenberg schools between 1994 and 2001 was calculated by subtracting the 2001 mean for student engagement in Annenberg schools from the 1994 mean for student engagement in Annenberg schools and then dividing the difference by the standard deviation of the 1994 mean for student engagement for all schools in the system.

Table H4 reports the standardized change unit differences between Annenberg and demographically similar non-Annenberg schools in 1994, 1997, 1999, and 2001 in comparison to the system mean in the baseline year. The baseline year is either 1994 or 1997, depending on when data on the measure were first available. For Annenberg schools, differences were calculated by subtracting the system mean in the baseline year (either 1994 or 1997) from the Annenberg school mean in a particular year (e.g., 1994, 1997, 1999, 2001) and then dividing that difference by the standard deviation of the system mean for the baseline year. For example, the standardized change unit difference for student academic engagement between Annenberg schools and the system in 1999 was calculated by subtracting the

1994 system mean from the 1999 Annenberg mean and then dividing the difference by the standard deviation of the system mean for student engagement in 1994. The same procedure was used to calculate differences of non-Annenberg schools from the system baseline mean.

Table H5 and H6 present detailed findings of analyses of Breakthrough Schools and other Annenberg schools. Table H5 presents means of all measures of the Essential Supports for Breakthrough and other Annenberg schools. This table also identifies differences between these groups of schools that are statistically significant at the 0.05 and 0.01 levels. Finally Table H6 presents standardized change unit differences between Breakthrough and other Annenberg in 1994, 1997, 1999, and 2001 in comparison to the system mean in the baseline year. The same procedure was used to calculate standardized change unit differences for Breakthrough Schools and other Annenberg schools as was used to calculate effect size differences for Annenberg and demographically similar non-Annenberg schools, as described above.

### **Interpreting Standardized Change Unit Differences**

Standardized change unit differences are reported in standard deviation units and are similar to effect size differences. When we measure differences in standardized change unit, zero is equivalent to no difference. A positive difference in a measure indicates a positive change while a negative difference in a measure indicates a negative change. Magnitude of differences range from + 3 to – 3 standard deviations.

While this way to provide a standard measure of differences may be understandable to those versed in statistics, we need to interpret it in a more general manner. A standard deviation is based on a standard normal curve distribution of values at a given time. If we equate the amount of change in a group of schools with a standard deviation we can see if a change would move a school from being very similar to the typical or average school to being either very different, like the top performing schools, or being just a little different from average.

Listed below are some approximate reference points that show the relative meaning of standardized change unit differences we report. These reference points are based on an assumption that schools are normally distributed on their scores for each measure under investigation. For example, if a 1994 to 2001 change in a measure is around 3 standard deviations, this is a very significant change. A positive change of 3 standard deviations refers to a change equal to moving from the average condition at schools in 1994 to a condition equal to that found in the top one

percent of schools in 1994. Likewise a negative change of 3 standard deviations represents a change from average conditions to that of the bottom one percent of schools. The following examples give an approximate idea of the magnitude of other sizes of changes:

+3 standard deviations from 50 <sup>th</sup> to 99 <sup>th</sup> %ile	} Large change
+2 standard deviations from 50 <sup>th</sup> to 98 <sup>th</sup> %ile	
+1 standard deviation from 50 <sup>th</sup> to 84 <sup>th</sup> %ile	
+0.5 standard deviation from 50 <sup>th</sup> to 69 <sup>th</sup> %ile	Moderate change
+0.25 standard deviation from 50 <sup>th</sup> to 51 <sup>st</sup> %ile	} Small or no change
0 standard deviation no change	
-0.25 standard deviation from 50 <sup>th</sup> to 49 <sup>th</sup> %ile	
-0.5 standard deviation from 50 <sup>th</sup> to 31 <sup>st</sup> %ile	Moderate change
-1 standard deviation from 50 <sup>th</sup> to 16 <sup>th</sup> %ile	} Large change
-2 standard deviation from 50 <sup>th</sup> to 2 <sup>nd</sup> %ile	
-3 standard deviation from 50 <sup>th</sup> to 1 <sup>st</sup> %ile	

In other words, changes smaller than a 0.5 standard deviation are probably not very significant. Any change over 1 standard deviation is likely to be quite significant.

**Table H1: Means and Standard Deviations of Measures of the Essential Supports in Chicago Elementary Schools, 1994 to 2001**

Measures	1994 Mean (SD)	1997 Mean (SD)	1999 Mean (SD)	2001 Mean (SD)
Student Social and Psychological Outcomes				
Student Academic Engagement	4.54 (0.26)	4.83 (0.20)	4.67 (0.21)	4.66 (0.21)
Student Classroom Behavior	5.47 (0.21)	5.43 (0.22)	5.38 (0.21)	5.34 (0.25)
Student Social Competence	NA	4.19 (0.21)	4.11 (0.22)	4.07 (0.23)
Student Self-Efficacy	NA	4.82 (0.27)	4.64 (0.22)	4.73 (0.22)
Instruction				
Demand for Authentic Intellectual Work	NA	5.04 (0.13)	5.19 (0.14)	5.35 (0.20)
Emphasis on Writing	NA	4.84 (0.39)	4.86 (0.41)	5.72 (0.32)
Use of Didactic Instructional Strategies	NA	4.11 (0.23)	4.16 (0.20)	4.17 (0.20)
Use of Interactive Instructional Strategies	NA	4.57 (0.15)	4.74 (0.18)	4.92 (0.18)
Student Learning Climate				
Classroom Personalism	4.16 (0.26)	4.56 (0.31)	4.94 (0.40)	5.01 (0.43)
School Safety	4.89 (0.58)	5.89 (0.51)	5.93 (0.41)	5.97 (0.37)
Press Toward Academic Achievement	4.91 (0.21)	4.85 (0.23)	4.74 (0.20)	4.92 (0.21)
Peer Support for Academic Work	6.00 (0.31)	5.85 (0.35)	5.64 (0.30)	5.61 (0.35)
Leadership				
Inclusive Leadership	6.43 (1.39)	6.16 (1.46)	6.40 (1.17)	6.16 (1.37)
Joint Problem Solving	NA	5.16 (0.93)	5.30 (0.80)	5.20 (0.93)
Teacher Influence in Decision Making	5.32 (0.63)	5.60 (0.81)	5.69 (0.80)	5.56 (0.87)
Principal Instructional Leadership	6.32 (1.11)	6.46 (1.19)	6.73 (0.96)	6.55 (1.05)

Measures	1994 Mean (SD)	1997 Mean (SD)	1999 Mean (SD)	2001 Mean (SD)
Teacher Professional Community				
Peer Collaboration	5.01 (0.95)	5.51 (1.17)	5.60 (0.97)	5.40 (1.11)
Reflective Dialogue	6.08 (0.44)	6.12 (0.39)	6.16 (0.36)	6.08 (0.35)
Focus on Student Learning	5.81 (0.84)	5.93 (1.12)	6.16 (1.03)	5.96 (1.12)
Collective Responsibility	5.62 (0.92)	5.65 (0.83)	5.69 (0.74)	5.55 (0.79)
Orientation Toward Innovation	5.33 (0.79)	5.49 (0.95)	5.62 (0.89)	5.48 (0.90)
School Commitment	6.17 (1.02)	6.03 (1.22)	6.11 (1.12)	5.87 (1.31)
Parent and Community Involvement				
Teacher Outreach to Parents	4.72 (0.73)	5.54 (0.84)	5.54 (0.72)	5.30 (0.77)
Parent Involvement in School	4.30 (0.92)	4.66 (0.91)	4.72 (0.97)	4.47 (0.95)
Teachers' Use of Community Resources	NA	4.83 (0.35)	4.99 (0.35)	4.85 (0.32)
Teachers' Ties to the School Community	NA	4.84 (0.64)	4.84 (0.50)	4.81 (0.53)
Teachers' Knowledge of Students' Culture	NA	5.53 (0.64)	5.54 (0.54)	5.48 (0.52)
Human and Social Resources in the Community	NA	4.85 (0.29)	5.03 (0.30)	4.96 (0.32)
Social Trust				
Teacher-Principal Trust	5.95 (0.85)	6.21 (1.08)	6.33 (0.89)	6.24 (0.99)
Teacher-Teacher Trust	5.00 (0.62)	5.28 (0.67)	5.33 (0.59)	5.26 (0.61)
Teacher-Parent Trust	5.09 (0.65)	5.33 (0.55)	5.46 (0.51)	5.21 (0.53)
Teacher-Student Trust	NA	4.75 (0.41)	4.83 (0.39)	4.80 (0.39)
Instructional Program Coherence	5.23 (0.67)	5.25 (0.75)	5.30 (0.63)	5.08 (0.66)
Teacher Participation in Professional Development	4.46 (0.32)	4.41 (0.33)	4.47 (0.28)	4.59 (0.35)
Quality of Teacher Professional Development	NA	5.10 (0.42)	5.22 (0.42)	5.25 (0.28)
Support for Change in School	NA	5.44 (0.82)	5.48 (0.68)	5.24 (0.65)

*Note.* "NA" indicates that a measure is not available in a particular year.

Table H2: Means of Measures of the Essential Supports in Chicago Annenberg Schools and Demographically Similar Non-Annenberg Schools, 1994 to 2001.

Measures	1994	1997	1999	2001
Student Social and Psychological Outcomes				
Student Academic Engagement				
Annenberg Schools	4.58	4.87	4.69	4.66
Non-Annenberg Schools	4.53	4.82	4.67	4.66
Student Classroom Behavior				
Annenberg Schools	5.51	5.46	5.39	5.35
Non-Annenberg Schools	5.46	5.42	5.37	5.34
Student Social Competence				
Annenberg Schools	NA	4.25	4.08	4.03
Non-Annenberg Schools	NA	4.16	4.13	4.09
Student Self-Efficacy				
Annenberg	NA	4.80	4.70	4.72
Non-Annenberg	NA	4.81	4.63	4.73
Instruction				
Demand for Authentic Intellectual Work				
Annenberg Schools	NA	5.03	5.23	5.36
Non-Annenberg Schools	NA	5.04	5.18	5.34
Emphasis on Writing				
Annenberg Schools	NA	4.88	4.90	5.77
Non-Annenberg Schools	NA	4.83	4.84	5.70
Use of Didactic Instructional Strategies				
Annenberg Schools	NA	4.02	4.09	4.06
Non-Annenberg Schools	NA	4.15**	4.19	4.21

Measures		1994	1997	1999	2001
Use of Interactive Instructional Strategies Annenberg Schools Non-Annenberg Schools		NA NA	4.58 4.57	4.79 4.72	4.97 4.90
	Student Learning Climate				
Classroom Personalism Annenberg Schools Non-Annenberg Schools		4.19 4.15	4.62 * 4.53	4.94 4.95	5.03 5.01
	School Safety Annenberg Schools Non-Annenberg Schools	4.86 4.91	5.92 5.88	5.93 5.93	5.96 5.98
Press Toward Academic Achievement Annenberg Schools Non-Annenberg Schools		4.91 4.91	4.90 * 4.84	4.74 4.74	4.94 4.92
	Peer Support for Academic Work Annenberg Schools Non-Annenberg Schools	6.00 6.00	5.90 * 5.83	5.65 5.63	5.63 5.60
Leadership					
Inclusive Leadership Annenberg Schools Non-Annenberg Schools		6.61 6.36	6.42 6.06	6.67 ** 6.29	6.13 6.17
	Joint Problem Solving Annenberg Schools Non-Annenberg Schools	NA NA	5.37 ** 5.08	5.50 ** 5.22	5.23 5.19
Teacher Influence in Decision Making Annenberg Schools Non-Annenberg Schools		5.40 5.29	5.76 ** 5.53	5.93 ** 5.59	5.61 5.54

Measures	1994	1997	1999	2001
Principal Instructional Leadership Annenberg Schools Non-Annenberg Schools	6.52 6.24	6.54 6.43	6.87 6.68	6.48 6.58
Teacher Professional Community				
Peer Collaboration Annenberg Schools Non-Annenberg Schools	5.12 4.96	5.64 5.46	5.81 5.52	5.44 5.38
Reflective Dialogue Annenberg Schools Non-Annenberg Schools	6.10 6.07	6.17 6.09	6.26 6.12	6.12 6.06
Focus on Student Learning Annenberg Schools Non-Annenberg Schools	5.77 5.83	6.03 5.89	6.30 6.11	5.88 5.99
Collective Responsibility Annenberg Schools Non-Annenberg Schools	5.57 5.64	5.73 5.61	5.77 5.66	5.57 5.54
Orientation Toward Innovation Annenberg Schools Non-Annenberg Schools	5.42 5.30	5.65 5.43	5.78 5.56	5.51 5.46
School Commitment Annenberg Schools Non-Annenberg Schools	6.11 6.19	6.24 5.95	6.28 6.05	5.77 5.91
Parent and Community Involvement				
Teacher Outreach to Parents Annenberg Schools Non-Annenberg Schools	4.77 4.70	5.61 5.51	5.64 5.50	5.28 5.31
Parent Involvement in School Annenberg Schools Non-Annenberg Schools	4.27 4.31	4.68 4.66	4.77 4.70	4.45 4.48

Measures	1994	1997	1999	2001
Teachers' Use of Community Resources Annenberg Schools Non-Annenberg Schools	NA NA	4.83 4.83	5.05 4.97	4.90 4.83
Teachers' Ties to the School Community Annenberg Schools Non-Annenberg Schools	NA NA	4.94 4.80	4.89 4.82	4.86 4.79
Teachers' Knowledge of Students' Culture Annenberg Schools Non-Annenberg Schools	NA NA	5.58 5.51	5.61 5.51	5.54 5.45
Human and Social Resources in the Community Annenberg Schools Non-Annenberg Schools	NA NA	4.89 4.84	5.00 5.04	4.92 4.97
Social Trust				
Teacher-Principal Trust Annenberg Schools Non-Annenberg Schools	6.07 5.91	6.37 6.15	6.47 6.28	6.18 6.27
Teacher-Teacher Trust Annenberg Schools Non-Annenberg Schools	5.02 5.00	5.39 5.23	5.38 5.31	5.27 5.25
Teacher-Parent Trust Annenberg Schools Non-Annenberg Schools	5.09 5.08	5.39 5.31	5.52 5.43	5.23 5.20
Teacher-Student Trust Annenberg Schools Non-Annenberg Schools	NA NA	4.83 4.71	4.82 4.84	4.78 4.81
Instructional Program Coherence Annenberg Schools Non-Annenberg Schools	5.18 5.25	5.18 5.28	5.24 5.33	4.91 5.15**

Measures	1994	1997	1999	2001
Teacher Participation in Professional Development Annenberg Schools	4.50	4.52 **	4.62 **	4.65
Non-Annenberg Schools	4.44	4.36	4.42	4.57
Quality of Teacher Professional Development Annenberg Schools	NA	5.18	5.35	5.29
Non-Annenberg Schools	NA	5.07	5.17	5.23
Support for Change in School Annenberg Schools	NA	5.57	5.68 **	5.23
Non-Annenberg Schools	NA	5.38	5.40	5.24

*Note.* “NA” indicates that a measure is not available in a particular year. \*\*  $p \leq .01$ .

**Table H3: Standardized Change Unit Differences in Measures of the Essential Supports Among Chicago Annenberg Schools from CPS System-Wide Baseline Year Means, 1994 to 2001**

Measures	1994-2001	1997-2001	1997-1999	1999-2001
Student Social and Psychological Outcomes				
Academic Engagement	0.31 **	-1.05	-0.90	-0.14
Classroom Behavior	-0.76	-0.50	-0.32	-0.19
Social Competence	NA	-1.05 **	-0.81	-0.23
Self-Efficacy	NA	-0.30 **	-0.37	0.09 **
Instruction				
Demand for Authentic Intellectual Work	NA	2.54 **	1.54	0.93 **
Emphasis on Writing	NA	2.28 **	0.15	2.12 **
Use of Didactic Instructional Strategies	NA	0.17	0.30	-0.15
Use of Interactive Instructional Strategies	NA	2.60 **	1.40	1.00 **
Student Learning Climate				
Classroom Personalism	3.23 **	1.32	1.03	0.22 **
School Safety	1.90 **	0.08	0.02	0.07
Press Toward Academic Achievement	0.14	0.17	-0.70	1.00 **
Peer Support for Academic Work	-1.19 **	-0.77	-0.71	-0.07 **
Human and Social Resources in the Community	NA	0.10	0.38	-0.27
Leadership				
Inclusive Leadership	-0.34	-0.20	0.17	-0.46 **
Joint Problem Solving	NA	-0.15	0.14	-0.23
Teacher Influence in Decision Making	0.33 **	-0.19	0.21	-0.40 **
Principal Instructional Leadership	-0.04	-0.05	0.28	-0.41 **

Measures	1994-2001	1997-2001	1997-1999	1999-2001
Teacher Professional Community				
Peer Collaboration	0.34 **	-0.17	0.15	-0.38 **
Reflective Dialogue	0.05	-0.13	0.23	-0.39
Focus on Student Learning	0.13 **	-0.13	0.24	-0.41 **
Collective Responsibility	0.00	-0.19	0.05	-0.27 **
Orientation Toward Innovation	0.11 **	-0.15	0.14	-0.30 **
School Commitment	-0.33 **	-0.39	0.03	-0.46 **
Parent and Community Involvement				
Teacher Outreach to Parents	0.70 **	-0.39	0.04	-0.50 **
Parent Involvement in School	0.20 **	-0.25	0.10	-0.33 **
Teachers' Use of Community Resources	NA	0.20	0.63	-0.43 **
Teachers' Ties to the School Community	NA	-0.13	-0.08	-0.06
Teachers' Knowledge of Students' Culture	NA	-0.06	0.05	-0.13
Social Trust				
Teacher-Principal Trust	0.13 **	-0.18	0.09	-0.33
Teacher-Teacher Trust	0.40 **	-0.18	-0.01	-0.19
Teacher-Parent Trust	0.22 **	-0.29	0.24	-0.57 **
Teacher-Student Trust	NA	-0.12	-0.02	-0.10
Instructional Program Coherence	-0.40 **	-0.36	0.08	-0.52 **
Teacher Participation in Professional Development	0.47 **	0.39	0.30	0.11 **
Quality of Teacher Professional Development	NA	0.26 **	0.40	-0.14
Support for Change in School	NA	-0.41 **	0.13	-0.66 **

*Note.* "NA" indicates that a measure is not available in a particular year (e.g., 1994). Baseline means are for the first year of each year-to-year comparison. \*\*  $p \leq .01$ .

**Table H4: Standardized Change Unit Differences in Measures of the Essential Supports for Chicago Annenberg and Demographically Similar Non-Annenberg Schools from CPS System-Wide Baseline Means, 1994 to 2001**

Measures	1994	1997	1999	2001
Student Social and Psychological Outcomes				
Student Academic Engagement				
Annenberg Schools	0.15	1.27	0.58	0.46
Non-Annenberg Schools	-0.04	1.08	0.50	0.46
Student Classroom Behavior				
Annenberg Schools	0.19	-0.05	-0.38	-0.57
Non-Annenberg Schools	-0.05	-0.24	-0.48	-0.62
Student Social Competence				
Annenberg Schools	NA	0.29	-0.52	-0.76
Non-Annenberg Schools	NA	-0.14	-0.29	-0.48
Student Self-Efficacy				
Annenberg	NA	-0.07	-0.44	-0.37
Non-Annenberg	NA	-0.04	-0.70	-0.33
Instruction				
Demand for Authentic Intellectual Work				
Annenberg Schools	NA	-0.08	1.46	2.46
Non-Annenberg Schools	NA	0.00	1.08	2.31
Emphasis on Writing				
Annenberg Schools	NA	0.10	0.15	2.38
Non-Annenberg Schools	NA	-0.03	0.00	2.21
Use of Didactic Instructional Strategies				
Annenberg Schools	NA	-0.39	0.09	-0.22
Non-Annenberg Schools	NA	0.17	0.35	0.43

Measures	1994	1997	1999	2001
Use of Interactive Instructional Strategies Annenberg Schools Non-Annenberg Schools	NA NA	0.07 0.00	1.47 1.00	2.67 2.20
Student Learning Climate				
Classroom Personalism Annenberg Schools Non-Annenberg Schools	0.16 -0.04	1.77 1.42	3.00 3.04	3.35 3.27
School Safety Annenberg Schools Non-Annenberg Schools	-0.05 0.03	1.78 1.71	1.79 1.79	1.84 1.88
Press Toward Academic Achievement Annenberg Schools Non-Annenberg Schools	0.00 0.00	-0.05 -0.33	-0.81 -0.81	0.14 0.05
Peer Support for Academic Work Annenberg Schools Non-Annenberg Schools	0.00 0.00	-0.32 -0.55	-1.13 -1.19	-1.19 -1.29
Leadership				
Inclusive Leadership Annenberg Schools Non-Annenberg Schools	0.13 -0.05	-0.01 -0.27	0.17 -0.10	-0.22 -0.19
Joint Problem Solving Annenberg Schools Non-Annenberg Schools	NA NA	0.23 -0.09	0.37 0.06	0.08 0.03
Teacher Influence in Decision Making Annenberg Schools Non-Annenberg Schools	0.13 -0.05	0.70 0.33	0.97 0.43	0.46 0.35

Measures	1994	1997	1999	2001
Principal Instructional Leadership Annenberg Schools Non-Annenberg Schools	0.18 -0.07	0.20 0.10	0.50 0.32	0.14 0.23
Teacher Professional Community				
Peer Collaboration Annenberg Schools Non-Annenberg Schools	0.12 0.05	0.66 0.47	0.84 0.54	0.45 0.39
Reflective Dialogue Annenberg Schools Non-Annenberg Schools	0.05 -0.02	0.20 0.02	0.41 0.05	0.09 -0.05
Focus on Student Learning Annenberg Schools Non-Annenberg Schools	-0.05 0.02	0.26 0.10	0.58 0.36	0.08 0.21
Collective Responsibility Annenberg Schools Non-Annenberg Schools	-0.05 0.02	0.12 -0.01	0.16 0.04	-0.05 -0.09
Orientation Toward Innovation Annenberg Schools Non-Annenberg Schools	0.11 -0.04	0.41 0.13	0.57 0.29	0.23 0.16
School Commitment Annenberg Schools Non-Annenberg Schools	-0.06 0.02	0.07 -0.22	0.11 -0.12	-0.39 -0.25
Parent and Community Involvement				
Teacher Outreach to Parents Annenberg Schools Non-Annenberg Schools	0.07 -0.03	1.22 1.08	1.26 1.07	0.77 0.81
Parent Involvement in School Annenberg Schools Non-Annenberg Schools	-0.03 0.01	0.41 0.39	0.51 0.43	0.16 0.20

Measures	1994	1997	1999	2001
Teachers' Use of Community Resources Annenberg Schools Non-Annenberg Schools	NA NA	0.00 0.00	0.63 0.40	0.20 0.00
Teachers' Ties to the School Community Annenberg Schools Non-Annenberg Schools	NA NA	0.16 -0.06	0.08 -0.03	0.03 -0.08
Teachers' Knowledge of Students' Culture Annenberg Schools Non-Annenberg Schools	NA NA	0.08 -0.03	0.13 -0.03	0.02 -0.13
Human and Social Resources in the Community Annenberg Schools Non-Annenberg Schools	NA NA	0.14 -0.03	0.52 0.66	0.24 0.41
Social Trust				
Teacher-Principal Trust Annenberg Schools Non-Annenberg Schools	0.12 -0.05	0.49 0.24	0.62 0.39	0.27 0.38
Teacher-Teacher Trust Annenberg Schools Non-Annenberg Schools	0.03 0.00	0.63 0.37	0.61 0.50	0.44 0.40
Teacher-Parent Trust Annenberg Schools Non-Annenberg Schools	0.00 -0.02	0.46 0.32	0.66 0.52	0.22 0.17
Teacher-Student Trust Annenberg Schools Non-Annenberg Schools	NA NA	0.20 -0.10	0.17 0.22	0.07 0.15
Instructional Program Coherence Annenberg Schools Non-Annenberg Schools	-0.07 0.03	-0.07 0.07	0.01 0.15	-0.48 -0.12

Measures	1994	1997	1999	2001
Teacher Participation in Professional Development Annenberg Schools	0.13	0.19	0.50	0.59
Non-Annenberg Schools	-0.06	-0.31	-0.13	0.34
Quality of Teacher Professional Development Annenberg Schools	NA	0.19	0.59	0.45
Non-Annenberg Schools	NA	-0.07	0.17	0.31
Support for Change in School Annenberg Schools	NA	0.16	0.29	-0.26
Non-Annenberg Schools	NA	-0.07	0.05	-0.24

*Note.* CPS system baselines means are for 1994 or 1997, depending on the earliest year for which data are available.

**Table H5: Means of Measures of the Essential Supports in Annenberg Breakthrough Schools and Other Annenberg Schools, 1994 to 2001**

Measures	1994	1997	1999	2001
Student Social and Psychological Outcomes				
Student Academic Engagement				
Breakthrough Schools	4.52	4.88	4.73	4.57
Other Annenberg Schools	4.59	4.86	4.68	4.67 *
Student Classroom Behavior				
Breakthrough Schools	5.50	5.52	5.40	5.31
Other Annenberg Schools	5.51	5.46	5.39	5.35
Student Social Competence				
Breakthrough Schools	NA	4.06	4.10	3.92
Other Annenberg Schools	NA	4.28	4.08	4.04
Student Self-Efficacy				
Breakthrough Schools	NA	4.79	4.64	4.70
Other Annenberg Schools	NA	4.84	4.66	4.74
Instruction				
Demand for Authentic Intellectual Work				
Breakthrough Schools	NA	4.89	5.24	5.38
Other Annenberg Schools	NA	5.05 *	5.23	5.36
Emphasis on Writing				
Breakthrough Schools	NA	4.62	4.74	5.61
Other Annenberg Schools	NA	4.91	4.92	5.79
Use of Didactic Instructional Strategies				
Breakthrough Schools	NA	3.86	3.95	4.15
Other Annenberg Schools	NA	4.04	4.11	4.05
Use of Interactive Instructional Strategies				
Breakthrough Schools	NA	4.46	4.84	4.87
Other Annenberg Schools	NA	4.60	4.78	4.98

Measures	1994	1997	1999	2001
Student Learning Climate				
Classroom Personalism				
Breakthrough Schools	4.19	4.68	5.07	5.03
Other Annenberg Schools	4.19	4.62	4.92	5.03
School Safety				
Breakthrough Schools	4.77	5.97	5.90	5.90
Other Annenberg Schools	4.87	5.91	5.94	5.97
Press Toward Academic Achievement				
Breakthrough Schools	4.96	4.94	4.80	4.92
Other Annenberg Schools	4.91	4.89	4.73	4.95
Peer Support for Academic Work				
Breakthrough Schools	6.04	5.93	5.64	5.50
Other Annenberg Schools	6.00	5.89	5.66	5.64
Leadership				
Inclusive Leadership				
Breakthrough Schools	7.14	6.86	6.84	6.97 *
Other Annenberg Schools	6.54	6.37	6.65	6.03
Joint Problem Solving				
Breakthrough Schools	NA	5.63	5.63	5.86 *
Other Annenberg Schools	NA	5.33	5.49	5.16
Teacher Influence in Decision Making				
Breakthrough Schools	5.54	6.00	6.34	6.09 *
Other Annenberg Schools	5.38	5.73	5.89	5.56
Principal Instructional Leadership				
Breakthrough Schools	7.00	7.00	7.23	7.13
Other Annenberg Schools	6.46	6.49	6.83	6.40

Measures		1994	1997	1999	2001
Teacher Professional Community					
Peer Collaboration					
Breakthrough Schools	5.57	6.15	6.43	6.45 **	
Other Annenberg Schools	5.07	5.58	5.74	5.32	
Reflective Dialogue					
Breakthrough Schools	6.28	6.27	6.41	6.39 *	
Other Annenberg Schools	6.08	6.15	6.25	6.09	
Focus on Student Learning					
Breakthrough Schools	5.85	6.35	6.63	6.61 *	
Other Annenberg Schools	5.76	5.99	6.26	5.79	
Collective Responsibility					
Breakthrough Schools	5.66	6.07	6.17	6.16 *	
Other Annenberg Schools	5.56	5.69	5.72	5.50	
Orientation Toward Innovation					
Breakthrough Schools	5.64	5.94	6.17	6.28 **	
Other Annenberg Schools	5.40	5.62	5.74	5.42	
School Commitment					
Breakthrough Schools	5.79	6.32	6.47	6.71 **	
Other Annenberg Schools	6.14	6.23	6.25	5.66	
Parent and Community Involvement					
Teacher Outreach to Parents					
Breakthrough Schools	4.72	5.61	5.61	5.23	
Other Annenberg Schools	4.78	5.61	5.65	5.29	
Parent Involvement in School					
Breakthrough Schools	4.22	4.68	4.83	4.71	
Other Annenberg Schools	4.28	4.68	4.76	4.42	
Teachers' Use of Community Resources					
Breakthrough Schools	NA	4.70	5.07	4.95	
Other Annenberg Schools	NA	4.84	5.05	4.90	
Measures	1994	1997	1999	2001	
Teachers' Ties to the School Community					
Breakthrough Schools	NA	4.57	4.58	4.70	

Other Annenberg Schools	NA	4.99**	4.93*	4.88
Teachers' Knowledge of Students' Culture				
Breakthrough Schools	NA	5.58	5.61	5.54
Other Annenberg Schools	NA	5.51	5.51	5.45
Human and Social Resources in the Community				
Breakthrough Schools	NA	4.85	5.01	4.96
Other Annenberg Schools	NA	4.90	5.00	4.92
Social Trust				
Teacher-Principal Trust				
Breakthrough Schools	6.49	6.73	6.66	6.84*
Other Annenberg Schools	6.02	6.33	6.45	6.10
Teacher-Teacher Trust				
Breakthrough Schools	5.08	5.55	5.62	5.69*
Other Annenberg Schools	5.01	5.37	5.35	5.23
Teacher-Parent Trust				
Breakthrough Schools	4.95	5.42	5.56	5.30
Other Annenberg Schools	5.11	5.38	5.51	5.23
Teacher-Student Trust				
Breakthrough Schools	NA	4.96	4.98	4.79
Other Annenberg Schools	NA	4.82	4.80	4.78

Measures	1994	1997	1999	2001
Instructional Program Coherence				
Breakthrough Schools	5.10	5.43	5.42	5.17
Other Annenberg Schools	5.19	5.15	5.22	4.88
Teacher Participation in Professional Development				
Breakthrough Schools	4.69	4.52	4.73	4.66
Other Annenberg Schools	4.47	4.52	4.61	4.65
Quality of Teacher Professional Development				
Breakthrough Schools	NA	5.30	5.48	5.59 *
Other Annenberg Schools	NA	5.16	5.33	5.25
Support for Change in School				
Breakthrough Schools	NA	5.92	5.92	5.61
Other Annenberg Schools	NA	5.53	5.65	5.18

Note. "NA" indicates that a measure is not available in a particular year. \*  $p \leq .05$ . \*\*  $p \leq .01$ .

**Table H6: Standardized Change Unit Differences in Measures of the Essential Supports for Chicago Annenberg Breakthrough Schools and Other Annenberg Schools from CPS Systemwide Baseline Means, 1994 to 2001**

Measures	1994	1997	1999	2001
Student Social and Psychological Outcomes				
Student Academic Engagement				
Breakthrough Schools	-0.08	1.31	0.73	0.12
Other Annenberg Schools	0.19	1.23	0.54	0.50
Student Classroom Behavior				
Breakthrough Schools	0.14	0.24	-0.33	-0.76
Other Annenberg Schools	0.19	-0.05	-0.38	-0.57
Student Social Competence				
Breakthrough Schools	NA	-0.62	-0.43	-1.29
Other Annenberg Schools	NA	0.43	-0.52	-0.71
Student Self-Efficacy				
Breakthrough Schools	NA	-0.11	-0.67	-0.44
Other Annenberg Schools	NA	0.07	-0.59	-0.30
Instruction				
Demand for Authentic Intellectual Work				
Breakthrough Schools	NA	-1.15	1.54	2.62
Other Annenberg Schools	NA	0.08	1.46	2.46
Emphasis on Writing				
Breakthrough Schools	NA	-0.56	-0.26	1.97
Other Annenberg Schools	NA	0.18	-0.21	2.44
Use of Didactic Instructional Strategies				
Breakthrough Schools	NA	-1.09	-0.70	0.17
Other Annenberg Schools	NA	-0.30	0.00	-0.26

Measures	1994	1997	1999	2001
Use of Interactive Instructional Strategies				
Breakthrough Schools	NA	-0.73	1.80	2.00
Other Annenberg Schools	NA	0.20	1.40	2.73
Student Learning Climate				
Classroom Personalism				
Breakthrough Schools	0.11	2.00	3.50	3.35
Other Annenberg Schools	0.11	1.77	2.92	3.35
School Safety				
Breakthrough Schools	-0.21	1.86	1.74	1.74
Other Annenberg Schools	-0.03	1.76	1.81	1.86
Press Toward Academic Achievement				
Annenberg Schools	0.24	0.14	-0.52	0.05
Non-Annenberg Schools	0.00	-0.10	-0.86	0.19
Peer Support for Academic Work				
Breakthrough Schools	0.13	-0.23	-1.16	-1.61
Other Annenberg Schools	0.00	-0.35	-1.10	-1.16
Leadership				
Inclusive Leadership				
Breakthrough Schools	0.51	0.31	0.29	0.39
Other Annenberg Schools	0.08	-0.04	0.16	-0.29
Joint Problem Solving				
Breakthrough Schools	NA	0.51	0.51	0.75
Other Annenberg Schools	NA	0.18	0.35	0.00
Teacher Influence in Decision Making				
Breakthrough Schools	0.35	1.08	1.62	1.22
Other Annenberg Schools	0.10	0.65	0.86	0.38

Measures	1994	1997	1999	2001
Principal Instructional Leadership				
Breakthrough Schools	0.61	0.61	0.82	0.73
Other Annenberg Schools	0.13	0.15	0.46	0.07
Teacher Professional Community				
Peer Collaboration				
Breakthrough Schools	0.59	1.20	1.49	1.52
Other Annenberg Schools	0.06	0.60	0.77	0.33
Reflective Dialogue				
Breakthrough Schools	0.39	0.43	0.15	0.70
Other Annenberg Schools	0.00	0.16	0.39	0.02
Focus on Student Learning				
Breakthrough Schools	0.05	0.64	0.98	0.95
Other Annenberg Schools	-0.06	0.21	0.54	-0.02
Collective Responsibility				
Breakthrough Schools	0.04	0.49	0.60	0.59
Other Annenberg Schools	-0.07	0.08	0.11	-0.13
Orientation Toward Innovation				
Breakthrough Schools	0.39	0.77	1.06	1.20
Other Annenberg Schools	0.09	0.37	0.52	0.11
School Commitment				
Breakthrough Schools	-0.37	0.15	0.29	0.53
Other Annenberg Schools	-0.03	0.06	0.08	-0.50
Parent and Community Involvement				
Teacher Outreach to Parents				
Breakthrough Schools	0.00	1.22	1.22	0.70
Other Annenberg Schools	0.08	1.22	1.27	0.78
Parent Involvement in School				
Breakthrough Schools	-0.09	0.41	0.58	0.45
Other Annenberg Schools	-0.02	0.41	0.50	0.13

Measures	1994	1997	1999	2001
Teachers' Use of Community Resources				
Breakthrough Schools	NA	-0.37	0.69	0.34
Other Annenberg Schools	NA	0.03	0.63	0.20
Teachers' Ties to the School Community				
Breakthrough Schools	NA	-0.42	-0.41	-0.22
Other Annenberg Schools	NA	0.23	0.14	0.06
Teachers' Knowledge of Students' Culture				
Breakthrough Schools	NA	0.08	0.13	0.02
Other Annenberg Schools	NA	-0.03	-0.03	-0.13
Human and Social Resources in the Community				
Breakthrough Schools	NA	0.00	0.55	0.38
Other Annenberg Schools	NA	0.17	0.52	0.24
Social Trust				
Teacher-Principal Trust				
Breakthrough Schools	0.64	0.92	0.84	1.05
Other Annenberg Schools	0.08	0.45	0.59	0.18
Teacher-Teacher Trust				
Breakthrough Schools	0.13	0.89	1.00	1.11
Other Annenberg Schools	0.02	0.60	0.56	0.37
Teacher-Parent Trust				
Breakthrough Schools	-0.22	0.51	0.72	0.32
Other Annenberg Schools	0.03	0.45	0.65	0.22
Teacher-Student Trust				
Breakthrough Schools	NA	0.51	0.56	0.10
Other Annenberg Schools	NA	0.17	0.12	0.07
Instructional Program Coherence				
Breakthrough Schools	-0.19	0.30	0.28	-0.09
Other Annenberg Schools	-0.06	-0.12	-0.01	-0.52

Measures	1994	1997	1999	2001
Teacher Participation in Professional Development				
Breakthrough Schools	0.72	0.19	0.84	0.63
Other Annenberg Schools	0.03	0.19	0.47	0.59
Quality of Teacher Professional Development				
Breakthrough Schools	NA	0.48	0.90	1.17
Other Annenberg Schools	NA	0.14	0.55	0.36
Support for Change in School				
Breakthrough Schools	NA	0.59	0.59	0.21
Other Annenberg Schools	NA	0.11	0.26	-0.32

*Note.* CPS system baseline means are for 1994 or 1997, depending on the earliest year for which data are available. "NA" indicates that a measure is not available in a particular year. \*  $p \leq .05$ . \*\*  $p \leq .01$

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